

Getting on Board With Real-World Evidence About CDK 4/6 Inhibitors for HR+/HER2- mBC:

Stay on Track with Shared Decision Making

This transcript has been edited for style and clarity and includes all slides from the presentation.



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Richard Finn, MD



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▶ **Richard Finn, MD:**

Hello, I'm Dr. Richard Finn from the David Geffen School of Medicine at the University of California Los Angeles. I'd like to welcome you to our oncology clinic on CDK4/6 inhibitors in hormone-receptor positive, HER2-negative metastatic breast cancer.

There is strong clinical evidence supporting the use of CDK4/6 inhibitors combined with endocrine therapy for hormone-receptor positive, HER2-negative

metastatic breast cancer. Real-world evidence shows that CDK4/6 inhibitors are safe and effective treatments for patients with hormone-receptor positive, HER2-negative metastatic breast cancer. Real-world evidence can supplement clinical-trial evidence and be more applicable to relevant community-based populations and real-world clinical practice settings. Optimal care of metastatic breast cancer involves the use of effective therapies that are supported

by the latest evidence and guidelines, selected through a shared decision-making process and individualized to each patient's needs.

Today, I'll be illustrating my approach to shared decision-making and the utilization of real-world evidence that complements clinical-trial evidence through clinical vignettes with a patient who has stage IV breast cancer. Let's get started.

Patient Case Introduction/Presentation

- 70 y/o female diagnosed with left breast cancer
 - At 65 y/o she was found to have a 2.5 cm ductal carcinoma
 - ER+, PR+, HER2-
 - Status post bilateral mastectomy with SLND and reconstruction: lymph-node negative
 - Prior medical history: type 2 DM, hypertension, coronary artery disease, nonalcoholic steatohepatitis
 - No family history of breast cancer, otherwise healthy
- Given adjuvant letrozole for 5 years
- 4 years after completing adjuvant aromatase inhibitor therapy she develops bone pain
 - Diagnosed with ER+, PR+, HER2-recurrent breast cancer with lytic bone lesions
 - ECOG PS 2



DM, diabetes mellitus; ECOG PS 2; Eastern Cooperative Oncology Group performance status 2; ER+, estrogen receptor-positive; HER2-, human epidermal growth factor receptor-negative; PR+, progesterone receptor-positive; SLND, sentinel lymph node dissection.

▶ Paulette is a 70-year-old female diagnosed with left breast cancer. At age 65, she was found to have a 2.5-centimeter ductal carcinoma. Her tumor was ER-positive, PR-positive and HER2-negative. She had a bilateral mastectomy with sentinel lymph node dissection and breast reconstruction with a negative lymph nodes. Her past medical history includes diabetes mellitus type 2, hypertension, coronary artery disease, and nonalcoholic steatohepatitis. She has no family history of breast cancer and is otherwise healthy.

She was treated with adjuvant letrozole for 5 years. Four years after completing adjuvant aromatase inhibitor therapy, she developed bone pain and was found to have recurrent ER-positive, PR-positive, HER2-negative breast cancer with lytic lesions. Her ECOG performance status is 2. Today she is in my office to discuss her treatment options.

Patient Vignette #1: Modeling Why Real-World Evidence With CDK4/6 Inhibitors Matters

Hi, Paulette. How are you today?

Paulette: I'm doing well. But I knew something was off with the pain I am experiencing. I am feeling anxious about the cancer returning. But I'm hoping that we can find another successful treatment.

Dr. Finn: I'm glad you're doing okay. We do have a few treatment options that we can discuss today. First, tell me about your preferences and goals for treatment. What is important to you to consider for your next treatment?

Paulette: I prefer oral medication, like the letrozole that I am taking. Is that a given option or do I need chemotherapy?

Dr. Finn: We do have very effective treatment options I'd like to discuss with you today called CDK4/6 inhibitors, which are oral medications that are given with endocrine treatment. There are three CDK4/6 inhibitors that are FDA approved: abemaciclib,

palbociclib, and ribociclib. The use of the CDK4/6 inhibitors is supported by clinical evidence and complemented by real-world data, which I'd like to review today to help in your decision making about treatment.

Paulette: Yes, I would like to hear more about this treatment.

Dr. Finn: Great. I want to start by telling you about the value of real-world evidence and how it differs from clinical evidence. Real-world evidence comes from data that is collected typically after a drug receives FDA approval. For FDA approval, drugs need to go through very rigorous clinical trials that have very specific endpoints and inclusion and exclusion criteria. By and large, not every patient we see in clinic will qualify for a clinical trial. Real-world evidence is looking at a broader patient population. And it's called real-world because it includes the patients we see every day in clinic. While clinical trial data is used for FDA approvals, real-world evidence can be used to complement those data.

Strengths and Limitations of RCTs and RW Studies



RCT: “Gold standard” for efficacy and safety data for the authorization of new medicines

RCT + RWE



RWE: Complex, statistically validated, accepted and reliable source of relevant scientific and clinical data

- + Robust study design
- + Randomization and blinding
- + Accepted by stakeholders
- Limited application to general population
- Focused endpoints
- Difficult to assess rare/long-term events
- Expensive and timely



- + Broader population
- + Rare and long-term outcomes
- + Broad outcomes of clinical interest
- + Relatively inexpensive and quick
- No randomization or blinding
- Risk of bias/confounding
- Non-standardized/varied data quality

Improved clinical practice

Note: Observational retrospective analyses are designed to evaluate associations among variables and cannot establish causality; they are not intended for direct comparison with clinical trials.



RCT, randomized controlled trial; RW, real-world; RWE, real-world evidence.
 1. Akobeng AK. *Arch Dis Child*. 2005;90:840-844. 2. Sanson-Fisher RW et al. *Am J Prev Med*. 2007;33:155-161. 3. Schmidt AF et al. *J Clin Epidemiol*. 2013;66:599-607.
 4. Glasgow RE et al. *Am J Public Health*. 2003;93:1261-1267. 5. Booth CM and Tannock IF. *Br J Cancer*. February 6, 2023. 2014;110:551-555.
 6. Center for Medical Technology Policy. Valengias P et al. https://www.npcnow.org/system/files/research/download/experimental_nonexperimental_study_final.pdf.

► **Dr. Finn:**

So, our goal today will be to talk about an overview of the benefits and limitations of real-world evidence. Specifically, the value of real-world evidence picks up where randomized controlled trials lead off, meaning that randomized control trials are very strictly controlled in regards to their inclusion and exclusion criteria. And in that sense, real-world data complements the dataset for patients who are not necessarily included in those randomized controlled studies. Real-world evidence, by its name, suggests that this is a dataset derived from patients we see in the clinic that may not otherwise qualify for a clinical trial.

Still, obviously, the gold standard for FDA approvals and regulatory approvals and guidelines are randomized controlled trials. However, there is a large amount of real-world evidence that’s been collected now with CDK4/6 inhibitors, and we’ll discuss how this data looks and why it may be important in selecting treatments for our patients.

So, this slide highlights some of the differences between a randomized control trial and real-world datasets. Again, real-world data is meant to complement a randomized control trial, or RCT, it is not of the level of evidence to replace an RCT. An RCT really has very robust endpoints and is designed to answer a specific question. In the

context of CDK4/6 inhibitors, the phase 3 studies were designed to show that in combination with endocrine treatments in a specific patient population, both frontline and second line, that the addition of CDK inhibitors would improve progression-free survival.

Real-world evidence really is a much broader population. Because it’s broader, it can pick up subgroups of patients that are less commonly enrolled in clinical trials but have relevance to real-world data.

Real-world Data Complement Clinical Trial Data

Real-world data add to the body of evidence and provide information that may aid in clinical practice^{1,2}



Randomized clinical trials

- Measure **efficacy** and **safety** of an intervention in a **specific patient population**²
- Designed to show **causality**¹
- Utilize prespecified, protocol-defined, **uniformly assessed endpoints**³
- **Randomize** patients to treatment or comparator³
- Conducted in a **highly monitored, controlled** environment³



Real-world observational studies

- Measure **effectiveness and safety** of an intervention under real-world conditions but **not causality; hypothesis-generating**^{1,3}
- Assess **patient-reported outcomes** such as quality of life and satisfaction with therapy in real-world setting⁴
- Provide insight into **practice patterns** across diverse clinical settings and geographies and in patients not necessarily included in clinical trials^{3,5}
- **Do not randomize** patients and **may introduce bias** from prescribing patterns and lack of uniform assessment of outcomes⁴



1. Khozin S et al. *J Natl Cancer Inst.* 2017; 109. 2. de Lusignan S et al. *J Innov Health Inform.* 2015;22:388-373. 3. Singal AG et al. *Clin Transl Gastroenterol.* 2014;5:e45. 4. Garrison LP Jr et al. *Value Health.* 2007; 10(5):326-335. 5. Zanotti G et al. *BMC Cancer.* 2017;17(1):393.

► So, by doing a blinded randomized controlled study, we're looking at efficacy and safety, but it's designed to show that your intervention is responsible for the outcome. These are very highly monitored. There's a lot of oversight from both sponsor as well as regulatory bodies.

In contrast, real-world data is really observing effectiveness and safety. However, you cannot really say because of the lack of control and other limits in monitoring, that any specific intervention is responsible for these outcomes. These datasets are not controlled by when imaging is done, how physicians manage patients, how they might do dose reductions, which are otherwise very tightly controlled in randomized studies. However, having a large number of patients can capture how these drugs are used in practice across a diverse population. Also, because there is no strict protocol and physicians know what patients are getting, certainly bias can be introduced.

Real-world Data Play an Increasingly Important Role in Expanding Use of Already Approved Medications

FDA approval of palbociclib + ET in men with HR+/HER2- mBC

Approval of expanded indication based predominately on real-world data

Thursday, April 4, 2019 - 2:57pm EDT

Pfizer (NYSE:PFE) today announced that the U.S. Food and Drug Administration (FDA) approved a supplemental New Drug Application (sNDA) to expand the indications for IBRANCE® (palbociclib) in combination with an aromatase inhibitor or fulvestrant to include men with hormone receptor-positive (HR+), human epidermal growth factor receptor 2-negative (HER2-) advanced or metastatic

.... "The approval is based on data from electronic health records and postmarketing reports of the real-world use of palbociclib in male patients sourced from three databases: IQVIA Insurance database, Flatiron Health Breast Cancer database and the Pfizer global safety database."

"With this approval, we are now able to offer IBRANCE to the underserved male breast cancer community and provide more patients with HR+, HER2- metastatic breast cancer the opportunity to access an innovative medicine," said Chris Boshoff, M.D., Ph.D., Chief Development Officer, Oncology, Pfizer Global Product Development. "We appreciate that our partnership with the FDA has allowed us to take a significant step forward in the use of real-world data to bring medicines to patients who are most in need."



ET, endocrine therapy; HER2-, human epidermal growth factor receptor 2-negative; HR+, hormone receptor-positive; mBC, metastatic breast cancer. Extracted from Pfizer [Internet]. U.S. FDA approves IBRANCE® (palbociclib) for the treatment of men with HR+, HER2- metastatic breast cancer. 2019 Apr 4 [cited 2019 Sep 3]. Available from: https://www.pfizer.com/news/press-release/press-release-detail_u_s_fda_approves_ibrance_palbociclib_for_the_treatment_of_men_with_hr2_metastatic_breast_cancer

► However, the regulatory bodies are looking at real-world data in a much more progressive way, I would say. We actually have a precedent now since the FDA has used real-world data on which to base FDA approvals. An example of that was the expanded use of palbociclib and endocrine treatment in men with hormone-receptor positive HER2-negative breast cancer. These patients were not included in the phase 3 studies done with palbociclib. However, based on real-world data, the FDA was convinced that they could extend the label to cover this group of patients.

Real-World Evidence

THE NEW ENGLAND JOURNAL OF MEDICINE

SOUNDING BOARD

Real-World Evidence — What Is It and What Can It Tell Us?

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Real-World Evidence

Real-world data (RWD) and real-world evidence (RWE) are playing an increasing role in health care decisions.

- FDA uses RWD and RWE to review products for safety and efficacy and to make regulatory decisions.
- The health care community is using these data to support coverage decisions and to develop guidelines and devices support tools for use in clinical practice.
- Medical product developers are using RWD and RWE to support clinical trial design data, to improve trial, program, clinical trial, and observational evidence, generate innovative, new treatment approaches.

AXIS Medical Education

FDA, US Food and Drug Administration; RWE, real-world evidence; Sherman RE et al. *N Engl J Med*. 2016;375(23):2293-2297. US Food & Drug Administration. October 19, 2022. Real-World Evidence. <https://www.fda.gov/science-research/science-and-research-special-topics/real-world-evidence>.

- ▶ And there have been several commentaries now from the regulatory bodies recognizing the importance of real-world data, and how it can be used to guide further research in the future.

Let's return to our discussion with Paulette to go over the clinical trial data and the real-world evidence we've seen with CDK4/6 inhibitors.

- The FDA recognizes the importance of RWE
- Complements randomized trial data that have inherent limitations
- Can inform therapeutic decisions and future research
- Need to apply rigorous analysis to these studies
 - Understand the setting in which data is sourced, generated, and collected
 - Define the methodology used to conduct the research

Patient Vignette #2: Modeling Personalizing Therapy for Patients With HR+/HER2- mBC

Dr. Finn: Do you have questions for me before we take a look at some of the data?

Paulette: That is very interesting. It is nice to know that real-world data exists. It makes me feel slightly less alone and more optimistic about treatment.

Dr. Finn: Great. Let's go ahead and review the data for CDK4/6 inhibitors to help with our decision making.

As I mentioned, there are three CDK4/6 inhibitors that have been approved by the FDA for the treatment of your type of breast cancer: abemaciclib, ribociclib, and

palbociclib. All of them are approved for patients like you who have had prior endocrine treatment and then their cancer came back. The studies all combined endocrine treatments, like letrozole, which you were on, in combination with the CDK4/6 inhibitors. And all of these studies were designed to show that they delay progression of the cancer. All of them demonstrated very similar results, a very significant benefit for patients receiving these treatments, in delaying their treatment as compared to standard treatment, which was endocrine treatment or letrozole alone.

Two of the drugs, palbociclib and ribociclib, are taken 3 weeks in a row and 1 week off, whereas abemaciclib is dosed

continuously, and letrozole is taken every day or whatever endocrine treatment we decide on.

When we look at real-world evidence, that is, like we said before, the data with these drugs in real-world populations, not in clinical trials, all of these drugs seem to be performing similarly to what we saw in the clinical data.

So, I think by now we have a lot of experience with these drugs. And we're confident that they can help patients with your type of breast cancer and generally are very well tolerated. We even have data now that tells us that these drugs are actually not only slowing the progression of the breast cancer, but helping women live longer and maintain a very high quality of life.

Phase 3 Endocrine Combination Studies with CDK 4/6 Inhibitors¹

	PALOMA-2	MONALEESA-2	MONARCH-3	MONALEESA-7	PALOMA-3	MONALEESA-3	MONARCH-2
Drug	Palbociclib	Ribociclib	Abemaciclib	Ribociclib	Palbociclib	Ribociclib	Abemaciclib
Partner or control	Letrozole	Letrozole	Letrozole or anastrozole	Tamoxifen, letrozole, or anastrozole (plus goserelin)	Fulvestrant	Fulvestrant	Fulvestrant
Size, No.	666	668	493	672	521	725	669
Random assignment	2:1	1:1	2:1	1:1	2:1	2:1	2:1
Menopausal status	Post	Post	Post	Pre	Pre- or peri- and post	Post	Pre- or peri- and post
Study population	First-line advanced	First-line advanced	First-line advanced	First-line advanced	Progressed on ET on or within 1 year of adjuvant therapy or on therapy for aBC (any No. of lines)	<ul style="list-style-type: none"> Newly diagnosed advanced treatment-naïve or progressed on ET Progressed at any time during or after (neo)adjuvant ET and no treatment for metastatic disease Progressed >12 months after adjuvant ET and then progressed after first-line ET for metastatic disease 	<ul style="list-style-type: none"> Progression on previous ET on or within 1 year of adjuvant therapy or on therapy for aBC Only one prior line of ET
Prior chemotherapy	None for advanced disease	None for advanced disease	None for advanced disease	None for advanced disease	One-line for advanced disease	None for advanced disease	None for advanced disease
Response rate (measurable)	55.3% v 44%	52.7% v 37.1%	59% v 44%	50.9% v 36.45%	25% v 11%	40.9% v 28.7%	48.1% v 21.3%
PFS	27.6 v 14.5 months (HR 0.563; one-sided; $P < .0001$)	25.3 v 16.0 months (HR 0.568; 95% CI, 0.457 to 0.704; $P = 9.63 \times 10^{-8}$)	28.2 v 14.8 months (HR 0.540; 95% CI, 0.418 to 0.698; $P = .000002$)	23.8 v 13.0 months (HR 0.55; 95% CI, 0.44 to 0.69; $P < .0001$)	9.5 v 4.6 months (HR 0.46; 95% CI, 0.38 to 0.59; $P < .0001$)	20.5 v 12.8 months (HR 0.593; 95% CI, 0.480 to 0.732; $P < .0001$)	16.4 v 37.3 months (HR 0.553; 95% CI, 0.449 to 0.681; $P < .0001$)
OS (ITT)	ASCO 2022 53.9 v 51.2 months (HR 0.956; 95% CI 0.777-1.17) ²	63.9 v 51.4 months (HR 0.76; 95% CI, 0.54 to 0.93; $P = 0.004$)	ESMO 2022 IA2 (HR 0.75; $P = .03$, NS) ³	NE vs 40.9 months (HR 0.71; 95% CI, 0.54 to 0.95; $P = .00973$)	34.9 v 28.0 months (HR 0.81; 95% CI, 0.64 to 1.03; $P = .09$)	Not reached v 40.0 months (HR 0.72; 95% CI, 0.57 to 0.92; $P = .00455$)	46.7 v 37.3 months (HR 0.757; 95% CI, 0.606 to 0.945; $P = .01$)



aBC, advanced breast cancer; ASCO, American Society of Clinical Oncology; ET, endocrine therapy; ESMO, European Society for Medical Oncology; IA2, second interim analysis; ITT, intent to treat; NE, not evaluable; NS, not significant; OS, overall survival; PFS, progression-free survival. Modified from 1. McAndrew NP and Finn RS. *JCO Oncol Pract*. 2022;18(5):319-327. 2. Finn RS et al. ASCO 2022. Abstract LBA1003. 3. Goetz M et al. ESMO 2022. Abstract LBA15.

► **Dr. Finn:** So, this slide highlights the phase 3 randomized studies done in ER-positive, HER2-negative breast cancer in both the front line and second line.

Remarkably, in these studies, when we looked at the primary endpoint—the magnitude of benefit—it was very similar. The hazard ratios were all very comparable—in the 0.5 range—and that includes those in the

postmenopausal as well as in the premenopausal subsets.

With that in mind, we now have overall survival data from these studies. And it's very exciting to see that when we look at the data with abemaciclib and ribociclib, all met a secondary endpoint of improving OS. They had a significant numerical improvement in OS, as well as this being

statistically significant. From the PALOMA-2 study, we did see a numerical improvement in OS, but this did not reach statistical significance.

But this is a very exciting dataset because we see now that these drugs are not just improving PFS, but also improving overall survival.

Phase 3 CDK 4/6 Studies

- While studies had similar designs, there were some differences in baseline characteristics and inclusion criteria
- All met PFS, the primary endpoint, with similar magnitude
- Overlapping but distinct side effects profiles
- Studies of ribociclib and abemaciclib showed improved OS, a key secondary endpoint

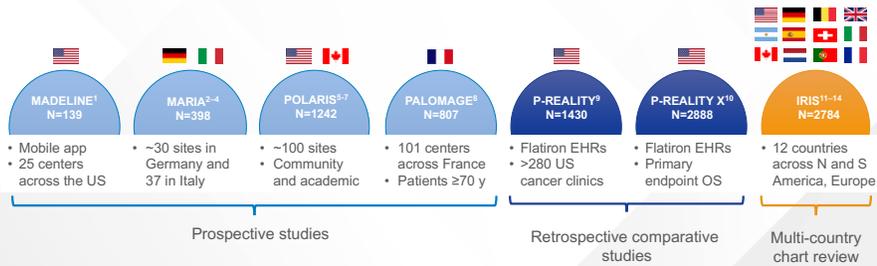
► So, while they all had similar designs, there were some differences in baseline characteristics and inclusion criteria. All met their primary endpoints with similar magnitude. But also, we should notice that while they had overlapping side effects, there were some distinct differences between them. And as I mentioned, ribociclib and abemaciclib showed improvement in OS that was statistically significant in combination with fulvestrant.



CDK, cyclin-dependent kinase; OS, overall survival; PFS, progression-free survival.

Palbociclib Real-world Studies

More than 8000 patients have been included in palbociclib real-world studies, providing a large base of RWE assessing multiple outcomes



Note: Observational retrospective analyses are designed to evaluate associations among variables and cannot establish causality; they are not intended for direct comparison with clinical trials.

*PROs include SF-12, CES-D-10, mood, pain, fatigue, interference of disease or treatment on family life, social life, physical activity, energy and productivity and overall health rating. CES-D-10=10-item Center for Epidemiological Studies Depression Scale; EHR, electronic health record; mOS, median overall survival; mrrPFS, median real-world progression-free survival; OS, overall survival; PRO, patient-reported outcome; QoL, quality of life; RWE, real-world evidence; SF-12, Short Form Health Survey; SR, survival rate.

► Now turning to real-world data, you'll remember that palbociclib was the first CDK4/6 approved in the United States. It got accelerated approval in 2015. And therefore, we have a large dataset from real-world evidence from community sites as well as academic sites to look at with palbociclib. There's also real-world data evolving now with the other two CDK4/6 inhibitors as well.



1. Richardson D et al. Breast Cancer Res Treat. 2021;187:113-12. 2. Du Laurent M et al. SABCS 2019. Poster P5-11-25. 3. Harbeck N et al. Annals Oncol. 2019; Suppl (3):S153. <https://doi.org/10.1093/annonc/mdz100.016.4>. 4. de Placido S et al. ESMO Breast Cancer 2020. Poster P10P. 5. Kishida M et al. SABCS 2020. P107-18. 6. Tripathy D et al. ESMO 2022. Poster 2022. 7. Palbociclib D et al. ESMO 2022. Poster 2022. 8. Cella P et al. ASCO 2021. Abstract 1021. 9. Dalmonde A et al. Breast Cancer Res. 2022;23:37. 10. Rugo HR et al. NPJ Breast Cancer. 2022;8(1):4. 11. Taylor-Stokes G et al. Breast. 2019;43:20-27. 12. Walter J et al. J Glob Oncol. 2019;5:13. Mycock K et al. Future Oncol. 2021;18:349-362. 14. Mycock K et al. Curr Oncol. 2021;28:876-888. Open Access.

P-REALITY X: Palbociclib Real-world First-line Comparative Effectiveness Study Extended

Objective

- To evaluate the effectiveness of first-line PAL + AI vs AI alone in patients with HR+/HER2- mBC treated in real-world clinical practice in the United States

Method

- Retrospective, cohort analysis of electronic health records within the US Flatiron Health Analytic Database

- Postmenopausal women and men aged ≥18 years with HR+/HER2- mBC (N = 2888)
- Index date i.e., starting date of the first-line mBC treatment (PAL plus AI or AI alone) from 03 February 2015 to 31 March 2020
- Follow up from index date to death, study end (data cut-off, 30 September 2020), or last visit, whichever occurred first

Endpoints:

- Primary - OS*
- Secondary - rwPFS†

Statistical Analyses:

- Unadjusted analyses were conducted first
- sIPTW as the primary analysis was performed to balance baseline demographic and clinical characteristics
- 1:1 PSM was conducted as a sensitivity analysis
- Median survival times and 95% CIs for OS and rwPFS were estimated using the weighted Kaplan-Meier method
- Cox proportional hazards model was used to compute the HR and the corresponding 95% CI

PAL + AI
n = 1324

AI
n = 1564

*OS was defined as the time in months from the index date to death from any cause. †rwPFS was defined as the number of months from index date to the date of the first documentation of real-world progressive disease or death due to any cause, whichever occurred first.
AI, aromatase inhibitor; CI, confidence interval; CR, complete response; HER2, human epidermal growth factor receptor-positive; HR, hazard ratio; HR+, hormone receptor positive; mBC, metastatic breast cancer; OS, overall survival; PAL, palbociclib; PSM, propensity score matching; rwPFS, real-world progression-free survival; sIPTW, stabilized inverse probability treatment weighting.
Rappaport et al. ESMO Support Cancer 2022. Poster 100P.

► So, when we look at one of the largest datasets, which is with palbociclib, which is the P-REALITY X study, we have over 2800 patients in this dataset to evaluate the effectiveness of first-line palbociclib and an AI versus an AI alone. Now, this is retrospective, from a large electronic health record specifically the US Flatiron Health Database. And when I say over 2800 patients, this provides for 1300 patients with palbociclib and AI, versus those that got AI alone—about 1500 patients.

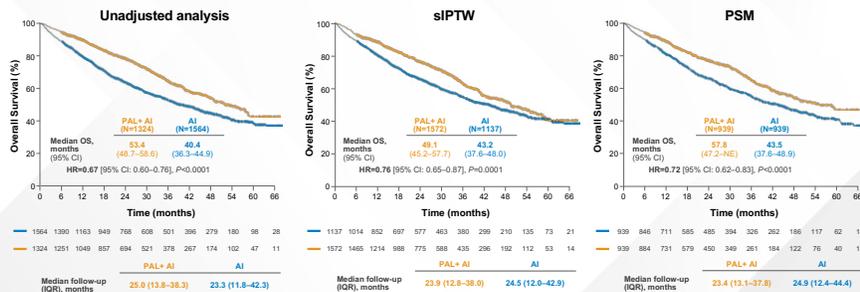
Flatiron EHR Database: Key Features

- Size of database¹**
Over 3 million patient records
75% are from community practice and 25% from academic cancer centers*
- Reflects experience across clinical settings¹**
>280 community cancer centers and 7 major academic centers
Multiple geographically diverse sites of care across the United States
- Single common dataset with a systematic approach to data extraction^{1,2}**
Comprises millions of EHRs in one consistent platform
Technology-enabled abstraction process augments human expertise
Combines structured and unstructured data
Validated composite survival endpoint

*The actual percentage of patients from either community or academic centers in the P-REALITY X study depends on the sites included in the Flatiron database at the time of study analysis.
EHR, electronic health records.
1. Flatiron Health. <https://flatiron.com/about-us/>. Accessed February 6 2022. 2. Curtis MD et al. Health Serv Res. 2018;6:4460-4476.

► So, you know some of the strength to this dataset is that it is a very large database from electronic health records and reflects over 280 community centers, as well as a small number of academic centers from all over the United States, which really represents a diverse population. In addition, all the sites used a common electronic health record, which helps for consistent data extraction.

P-REALITY X: Overall Survival Before and After siPTW and PSM



Median OS* was significantly longer among patients who received PAL+AI vs AI alone before and after siPTW and PSM

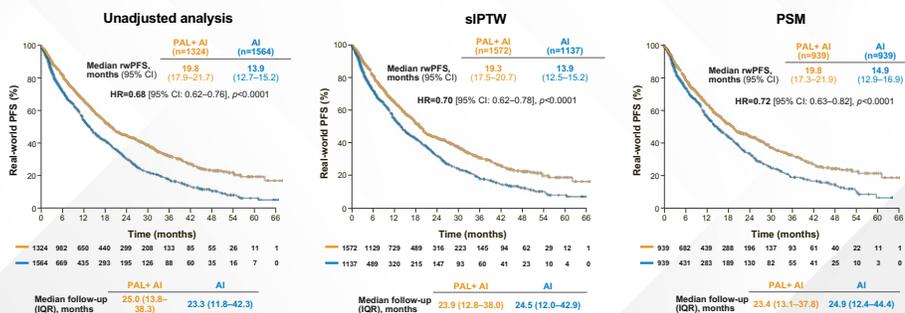
Note: Observational retrospective analyses are designed to evaluate associations among variables and cannot establish causality; they are not intended for direct comparison with clinical trials.



*OS was defined as the time in months from the index date to death from any cause.
AI, aromatase inhibitor; CI, confidence interval; HR, hazard ratio; IQR, interquartile range; OS, overall survival; PAL, palbociclib; PSM, propensity score matching; siPTW, stabilized inverse probability of treatment weighting.
Ruggi H, et al. ESMO Breast Cancer 2022. Poster 169P.

Now, because this is retrospective, and there was no specific inclusion and exclusion criteria, like in a phase 3 study, there are various ways to match the populations in the treatment arm with the control arm. And what you see on this slide is the overall survival data in just an unadjusted analysis and two statistical methods that allow for matching of baseline characteristics, so the populations look a little more similar in regards to relevant clinical factors. You can see that across all these three datasets, there is a consistent improvement in overall survival with palbociclib in this real-world data set.

P-REALITY X: Real-world PFS Before and After siPTW and PSM



Median rwPFS* was significantly longer among patients who received PAL+AI vs AI alone before and after siPTW and PSM

Note: Observational retrospective analyses are designed to evaluate associations among variables and cannot establish causality; they are not intended for direct comparison with clinical trials.



*rwPFS was defined as the number of months from index date to the date of the first documentation of real-world progressive disease or death due to any cause, whichever occurred first.
AI, aromatase inhibitor; CI, confidence interval; HR, hazard ratio; IQR, interquartile range; PAL, palbociclib; PSM, propensity score matching; rwPFS, real-world progression-free survival; siPTW, stabilized inverse probability of treatment weighting.
Ruggi H, et al. ESMO BC 2022. Poster 169P.

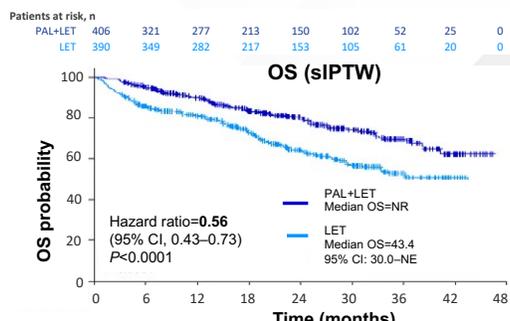
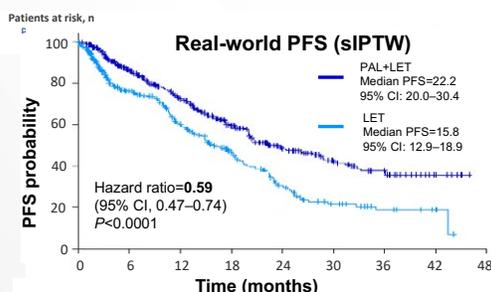
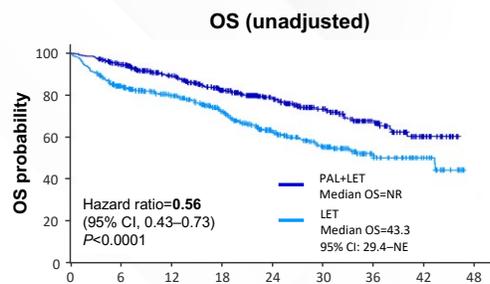
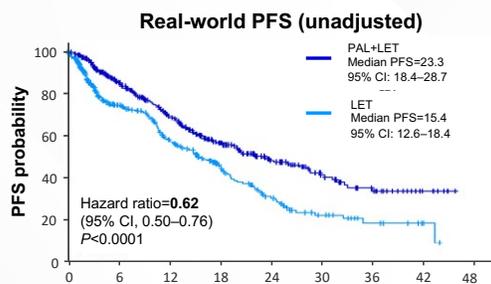
Similarly, when we look at progression-free survival, there is a consistent benefit. Perhaps the magnitude of benefit for PFS is not as great as we saw in the randomized phase 3 study, but certainly the trend is very consistent. And we see that regardless of the statistical method used.

Survival Benefit With Palbociclib + ET Observed in Older Patients

Real-world comparative effectiveness study: FLATIRON database

- Retrospective EHR review
- PAL-based therapies as first-line treatment for HR+/HER2- mBC
- Feb 2015 – Sept 2018
- N = 796 aged ≥65 years
- Median age = 74 years
- Median duration of follow-up
 - PAL + LET 20.2 months
 - LET 18.6 months

Survival outcomes were longer among older patients who received PAL + LET vs LET alone, in both unadjusted and sIPTW analyses



Patients at risk, n		0	6	12	18	24	30	36	42	48
PAL+LET	450	324	226	149	81	47	26	8	2	0
LET	335	146	101	58	31	14	6	2	0	0

Patients at risk, n		0	6	12	18	24	30	36	42	48
PAL+LET	450	406	321	277	213	150	102	52	25	0
LET	390	349	282	217	153	105	61	20	0	0



EHR, electronic health record; ET, endocrine therapy; HER2-, human epidermal growth factor receptor-negative; HR+, hormone receptor-positive; HR, hazard ratio; LET, letrozole; mBC, metastatic breast cancer; OS, overall survival; PAL, palbociclib; PFS, progression-free survival; rwPFS, real-world progression-free survival; sIPTW, stabilized inverse probability of treatment weighting. Rugo HS, et al. ESMO 2021. Poster 236P.

► Here, we're looking at a population of patients which often is not expressed in high numbers in phase 3 studies and is somewhat similar to the patient we're discussing today. And here, looking at patients who are over 65, we had just under 800 patients included in the Flatiron Database for our analysis, and the median age was 74. And again, when we look at PFS or OS using various statistical analyses,

this population clearly gets a benefit from the addition of palbociclib to endocrine treatment.

Having been involved in the development of these drugs for some time, initially, there were some very strong biases about who would benefit and who should get treated with CDK4/6 inhibitors. I think when we look at the phase 3 data, and now real-world data, it is clear that even older

patients can get a significant benefit from the use of these doublets. And really, we need to ask ourselves, why shouldn't we offer a patient one of these doublets? And presumably, that would be driven by some comorbidity or other complication that would convince us that we shouldn't use a doublet in this patient population.

Consistent RWE With All CDK 4/6 Inhibitors

- Ribociclib
 - Staropoli et al 2022¹
 - Wong et al 2022²
- Abemaciclib
 - Smyth et al 2022³



CDK, cyclin-dependent kinases; RWE, real-world evidence.
1. Staropoli N et al. *Curr Oncol*. 2022;29(9):6935-6944. 2. Wong V, et al. *Clin Breast Cancer*. 2022;22(8):792-800.
3. Smyth EN et al. *Drugs Real World Outcomes*. 2022;9(4):681-693.

- ▶ There are also real-world data now coming from the other CDK4/6 inhibitors I mentioned. And these are very consistent with what we've seen with the palbociclib real-world data. That is to say that in the real-world setting, these drugs are recapitulating what we've seen in phase 3 studies.

Now let's resume the discussion with our patient.

Patient Vignette #3: Modeling How Real-World Evidence Can Inform Toxicity Management

Paulette: The treatment seems very effective, but what about side effects? And how do we choose between the three therapies?

Dr. Finn: Yes, we've talked about the benefits. Now let's review some of the risks. All of these drugs have some similar side effect profiles, but they also have some differences. Very common with them is that we need to

watch blood counts because they could lower your white blood cell count, which could put you at risk for infection, but generally that can be managed with dose delays or dose reductions. We do see some GI side effects more so with abemaciclib than the others. What I mean by that is some spectrum of loose stool or diarrhea. Again, generally that can be managed with dose reductions or medicines like Imodium that can help control those symptoms. And ribociclib can affect and interact with some other

medications. So sometimes we need to check an EKG at the beginning to make sure that we're not having an effect on how your heart conducts.

The real-world evidence has shown us that the frequency of adverse events was lower than what we saw in the clinical trials. If you experience any side effects, we can lower the dose of your medication or take a brief treatment break.

Let's now review some of the adverse event information.

Differences Exist Across CDK4/6 Inhibitors in aBC: 1L

Adverse Events (≥20% All Grades and of special interest)	PALOMA-2 ¹ Palbociclib + Letrozole (n=444)			MONALEESA-2 ² Ribociclib + Letrozole (n=334)			MONALEESA-7 ³ Ribociclib + Tamoxifen/NSA (n=335)			MONARCH-3 ⁴ Abemaciclib + NSA (n=327)		
	Total	Grade 3	Grade 4	Total	Grade 3	Grade 4	Total	Grade 3	Grade 4	Total	Grade 3	Grade 4
Hematological AEs												
Neutropenia	80	56	10	74	50	10	76	51	10	41	20	2
Febrile neutropenia		1.8			1.5			2			0.3	
Infections	60	6	1	50	4	<1				39	4	<1
Leukopenia	39	24	1	33	20	1	31	13	1	21	7	<1
Anemia	24	5	<1	18	1	<1	21	10	0	28	6	0
Gastrointestinal AEs												
Nausea	35	<1	0	52	2	0	32	1	0	39	<1	0
Stomatitis	30	1	0	12	<1	0	10	1	0		NR	
Diarrhea	26	1	0	35	1	0	20	1	0	81	9	0
Vomiting	16	1	0	29	4	0	19	1	0	28	1	0
Constipation	19	<1	0	25	1	0	16	0	0	16	<1	0
Abdominal pain	11	1	0	11	1	0	10	1	0	28	1	0
Other												
ALT increased	10	NR	NR	16	7	2	12	4	0	16	6	<1
AST increased	10	NR	NR	15	5	1	13	5	0	15	3	0
Post-baseline QTcF >480/500 ms		1.6/0.2			3.3/NR			7/1			NR	
Thromboembolic events		NR			NR			NR			5	
Alopecia	33	NA	NA	33	NA	NA	19	NA	NA	27	NA	NA
Fatigue	37	2	0	37	2	<1	24	1	0	40	2	0
Discontinuation due to AEs vs PBO (%)		9.7 vs 5.9			7.5 vs 2.1			4 vs 3			19.2 vs 2.5	
Dose Reduction due to AEs vs PBO (%)		36 vs 1.4			50.6 vs 4.2			31 vs 5			43.4 vs 6.2	
Any SAEs vs PBO (%)		19.6 vs 12.6			21.3 vs 11.8			18 vs 12			27.5 vs 14.9	



Cross-trial comparisons need to be taken with caution.
 AE, adverse event; ALT, alanine aminotransferase; AST, aspartate aminotransferase; NSA, non-steroidal anti-inflammatory drug; PBO, placebo.
 QTcF, corrected QT interval by Fridericia; SAE, serious adverse event; NR, Not Reported.
 1. Finn et al. *N Engl J Med*. 2016;375:1825-36. 2. IBRANCE prescribing information. Pfizer Inc; 2018. 3. Durairaj et al. *Anti-Cancer Drugs*. 2018;29:271-280. 4. Hortobagyi et al. *N Engl J Med*. 2016;375:1738-1748. 5. KOSQAL prescribing information. Novartis; 2020. 6. Tsimberis et al. *Lancet Oncol*. 2018;19:204-215. 7. Goss et al. *J Clin Oncol*. 2011;29:3638-3646. 8. VERZENOR prescribing information. Eli Lilly; 2020.

► **Dr. Finn:** When we look at the phase 3 data, we can see that there are a lot of similarities between the drugs. A class effect of CDK4/6 inhibitors is neutropenia. However, clearly this is higher-grade and more frequent with both palbociclib and ribociclib. With that being said, febrile neutropenia is quite rare across the phase 3 studies.

One differentiator of abemaciclib versus the other two CDK4/6 inhibitors is a higher frequency and higher grade of diarrhea. Ribociclib uniquely requires EKG monitoring, because it can affect the QT interval. And this would be something that we want to keep in mind, especially for patients who might be on multiple drugs that could interact and affect the QT. And also unique to abemaciclib is this increased risk of thromboembolic events. All of the drugs needed dose reductions or dose breaks higher than we saw in the placebo. However, the frequency was somewhat higher with abemaciclib.

RWE Dosing and Side Effects

Table 3. Adverse events of interest (all-grade) for those AEs occurring in ≥5% of the overall cohort, and associated outcomes of female patients with HR+/HER2- mBC receiving a CDK4/6 inhibitor.

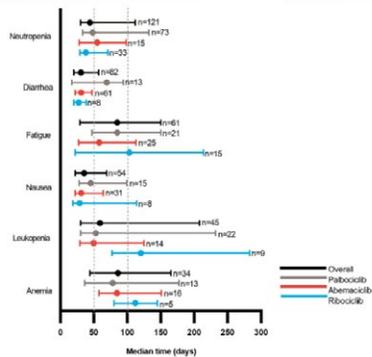
Variable	Overall n=396	Palbociclib n=160	Abemaciclib n=142	Ribociclib n=91
Exposure to ≥ 1 protocol-defined AEs*, n (%)				
Neutropenia	121 (30.6%)	73 (44.8%)	15 (10.6%)	33 (36.3%)
Diarrhea	82 (20.7%)	13 (8.0%)	65 (45.8%)	8 (8.8%)
Fatigue	61 (15.4%)	21 (12.9%)	25 (17.6%)	15 (16.5%)
Nausea	54 (13.6%)	18 (11.3%)	31 (21.8%)	5 (5.5%)
Leukopenia	45 (11.4%)	22 (13.5%)	14 (9.9%)	9 (9.9%)
Anemia	24 (6.0%)	13 (8.0%)	16 (11.3%)	5 (5.5%)
Vomiting	30 (7.6%)	7 (4.3%)	19 (13.4%)	4 (4.4%)
Thrombocytopenia	25 (6.3%)	16 (9.9%)	3 (2.1%)	6 (6.6%)
All incidence rates, per 100 patient-years*				
Neutropenia	62.1	91.9	21.4	72.7
Diarrhea	36.3	10.7	132.1	9.2
Fatigue	25.4	18.7	38.4	23.8
Nausea	21.8	12.7	48.6	12.1
Leukopenia	18.3	19.8	19.9	13.8
Anemia	13.7	11.4	23.3	7.7
Vomiting	11.6	5.7	27.8	5.9
Thrombocytopenia	9.7	13.7	4.0	9.1
Potential Outcomes of AE†				
Treatment holds, n (%)				
Neutropenia	47 (12.0%)	33 (20.2%)	1 (0.7%)	13 (14.4%)
Diarrhea	34 (8.6%)	5 (3.1%)	18 (12.7%)	1 (1.1%)
Fatigue	13 (3.3%)	4 (2.5%)	5 (3.5%)	4 (4.4%)
Nausea	22 (5.6%)	5 (3.1%)	14 (9.9%)	3 (3.3%)
Leukopenia	19 (4.8%)	6 (3.7%)	2 (1.4%)	2 (2.2%)
Anemia	5 (1.3%)	1 (0.7%)	2 (1.4%)	2 (2.2%)
Vomiting	18 (4.5%)	2 (1.2%)	11 (7.8%)	1 (1.1%)
Thrombocytopenia	8 (2.0%)	5 (3.1%)	2 (1.4%)	1 (1.1%)
Dose reductions, n (%)				
Neutropenia	44 (11.1%)	25 (15.6%)	8 (5.6%)	11 (12.1%)
Diarrhea	22 (5.6%)	3 (1.9%)	18 (12.7%)	1 (1.1%)
Fatigue	18 (4.5%)	8 (5.0%)	8 (5.6%)	2 (2.2%)
Nausea	9 (2.3%)	4 (2.5%)	4 (2.8%)	1 (1.1%)
Leukopenia	8 (2.0%)	4 (2.5%)	3 (2.1%)	0
Anemia	6 (1.5%)	3 (1.9%)	3 (2.1%)	1 (1.1%)
Vomiting	4 (1.0%)	3 (1.9%)	2 (1.4%)	1 (1.1%)
Thrombocytopenia	7 (1.8%)	6 (3.7%)	0	1 (1.1%)
Prescription discontinuations, n (%)				
Neutropenia	12 (3.0%)	10 (6.2%)	0	2 (2.2%)
Diarrhea	17 (4.3%)	4 (2.5%)	11 (7.8%)	2 (2.2%)
Fatigue	11 (2.8%)	1 (0.6%)	9 (6.3%)	1 (1.1%)
Nausea	4 (1.0%)	4 (2.5%)	4 (2.8%)	0
Leukopenia	2 (0.5%)	1 (0.6%)	0	0
Anemia	1 (0.3%)	1 (0.6%)	0	0
Vomiting	6 (1.5%)	3 (1.9%)	2 (1.4%)	1 (1.1%)
Thrombocytopenia	1 (0.3%)	1 (0.6%)	0	0



RWE, real-world evidence.
 Price GL et al. *Curr Med Res Opin*. 2022;38:1319-1331. Open Access.

► When we look at the real-world data with these drugs overall, I would say the trend is very similar to what we saw in the phase 3 data. However, it seems like the frequency of neutropenia, for example, was a little less than what was described in the phase 3 studies. Other toxicities were fairly similar between the data collected in real-world data and phase 3 data specifically in severity of the AEs and the type of AEs that are described.

RWE Dosing and Side Effects



► When we look at things like neutropenia, diarrhea, fatigue, nausea, anemia, these are the most common side effects that we see in the phase 3 data. These are also recapitulated in the real-world datasets. You know in this review, the numbers are somewhat small, which makes it a little hard to make conclusions. However, I think at the end of the day, the trends are always similar.

We'll return to Paulette to see if she is ready to make a treatment decision.



RWE, real-world evidence. Price GL et al. *Curr Med Res Opin.* 2022;38:1319-1331. Open Access.

Patient Vignette: Conclusion

Dr. Finn: Paulette, how are you feeling about the information we've gone over today on CDK4/6 inhibitors?

Paulette: This was a lot of information, but it was very helpful. Thank you for discussing the real-world and the clinical evidence with me

and reviewing the side effects. That is my main concern about starting a new medication. I want my life to be as normal as possible.

Dr. Finn: Okay, are you ready to make a treatment decision? I'm happy to answer any further questions you may have as you make your decision.

Paulette: Yes, I would like to start treatment with palbociclib.

Dr. Finn: We'll monitor your labs for low white blood cell counts before and during treatment, but do call my office if you experience any fever or chills.

Key Takeaways

- CDK 4/6 inhibitors have changed the natural history of advanced ER+/HER2- breast cancer
- All three currently approved CDK 4/6 inhibitors met their primary endpoints in the populations studied in randomized double-blind placebo-controlled clinical trials
 - The gold standard for establishing evidence that a treatment "works"
 - All 3 have overlapping but distinct side effect profiles
- RWE has a clear established role in building on the data from phase 3 trials
 - Obtained in a different setting than phase 3 studies
 - RWE gives additional insights in broader, more variable patient populations
- In the context of CDK 4/6 inhibitors, RWE recapitulates the findings of the primary endpoints in the phase 3 studies
 - Supports the use of these agents based on efficacy and safety in a broad population of patients
- It is important to incorporate these data into discussions with patients when supporting your decision to use a specific regimen based on clinical characteristics

► So, in conclusion, all three CDK4/6 inhibitors have demonstrated an important role for patients with ER-positive, HER2-negative breast cancer. This comes from meeting their primary endpoints of improving PFS. All of them have distinct side effect profiles. However, we can use real-world evidence to help build on this phase 3 data to give us better insight into a broader patient population and certainly larger numbers of patients.

And in the context of these drugs, we see that real-world evidence really does recapitulate the primary endpoint in these phase 3 studies, and also supports the use of these agents building on the efficacy and safety in broad patient populations. It's important to keep in mind these data as we have discussions with patients and help support our decisions in selecting a specific recommendation for our patients.

► A shared decision-making guide, like this one, can help us form a conversation with our patients to highlight real-world evidence supporting CDK4/6 inhibitor-based treatment options and integrate shared decision-making strategies to co-create treatment plans that are reflective of patient goals, values, and perspectives.



CDK, cyclin-dependent kinase; ER+, estrogen receptor-positive; HER2-, human epidermal growth factor receptor-negative; RWE, real-world evidence.



**Getting on Board With Real-World Evidence
About CDK 4/6 Inhibitors for HR+/HER2- mBC:**
Stay on Track With Shared Decision Making



- ▶ Thank you for joining me for Oncology Clinic vignettes on shared decision-making and real-world evidence in the management of hormone-receptor positive, HER2-negative metastatic breast cancer with CDK4/6 inhibitors. I hope you found the program useful.

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About AXIS Medical Education, Inc.

AXIS Medical Education, Inc. is a full-service continuing education company that designs and implements live, web-based, and print-based educational activities for healthcare professionals. AXIS provides convenient opportunities to engage learners based on their individual learning preferences through a full spectrum of educational offerings.

The executive leadership of AXIS combines 75 years of experience in adult learning theory, curriculum design/implementation/assessment, continuing education accreditation standards, and medical meeting planning and logistics. Our team has a deep understanding of the governing guidelines overseeing the medical education industry to ensure compliant delivery of all activities.

AXIS employs an experienced team of medical and scientific experts, medical writers, project managers, meeting planners, and logistics professionals. This team is dedicated to meeting the unmet educational needs of healthcare professionals, with the goal of improving patient outcomes.

AXIS believes that partnerships are crucial in our mission to deliver timely, relevant, and high-quality medical education to healthcare professionals. To that end, AXIS partners with other organizations and accredited providers to offer added expertise and assist in expanding access to our educational interventions. AXIS also partners with numerous patient advocacy organizations to provide recommended patient education and caregiver resources in specific disease areas. AXIS finds value in these partnerships because they complement our core clinical curriculum with validated and relevant supplemental resources for busy clinicians and their patients.

The mission of AXIS is to enhance the knowledge, skills, competence, and performance of the interprofessional healthcare team to ensure patients receive quality care, resulting in improved patient outcomes. We engage healthcare professionals in fair-balanced, scientifically rigorous, expert-led certified educational activities designed to foster lifelong learning that is applicable to clinical practice and patient-centered care.

To learn more and to see our current educational offerings, visit us online at www.AXISMedEd.com.

