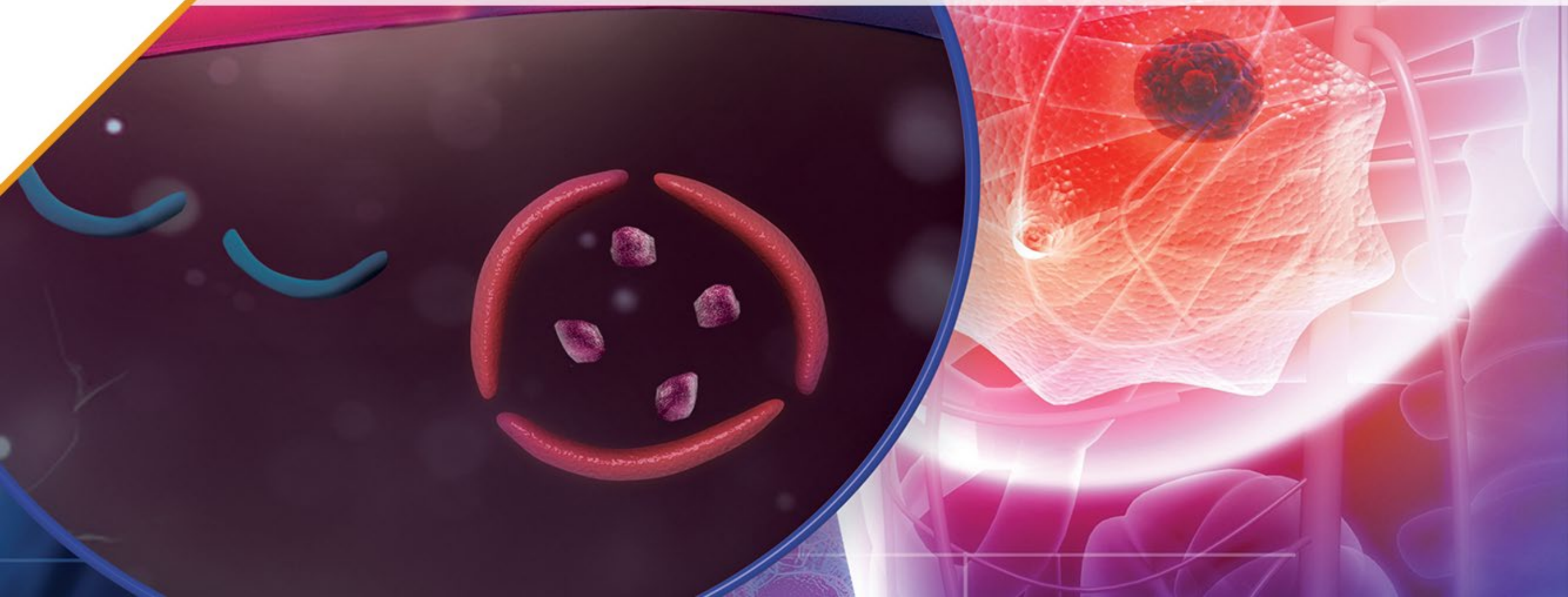


Improving Outcomes and Addressing Racial Disparities in Patients With HR+/HER2- Early Breast Cancer



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Learning Objectives

Upon completion of this activity, participants should be better able to:

1. Outline evidence-based molecular testing and risk assessment strategies for patients with eBC
2. Appraise emerging data and accumulating evidence supporting the efficacy of CDK4/6 inhibitors for appropriate HR+/HER2- eBC patients
3. Examine disparities in the diagnosis and management of patients with eBC leading to poor outcomes
4. Select appropriate mitigation and management strategies for CDK4/6 inhibitor-related and ET-related AEs to prevent and reduce toxicities, treatment delays, and discontinuation

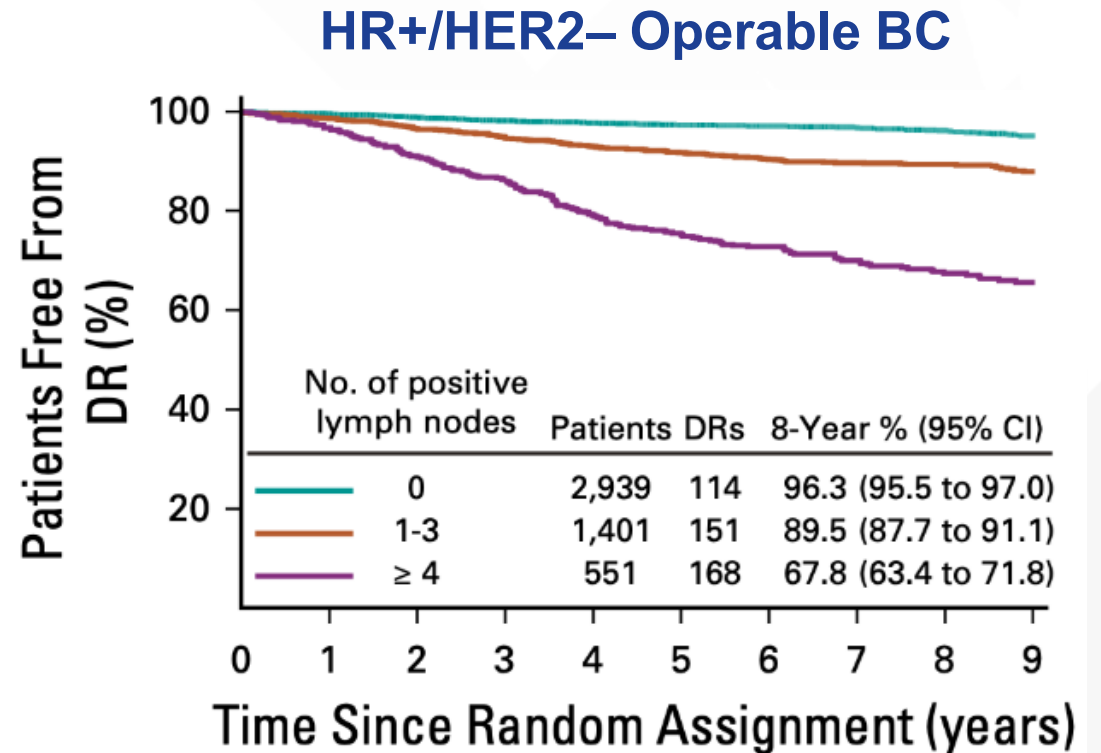
Clinical Significance of Molecular Testing and Assessment of Risk of Recurrence in eBC

Early Breast Cancer

- Disease confined within the breast and/or neighboring lymph nodes
- ~90% of breast cancer diagnoses are early breast cancer (eBC)
 - ~70% of patients with eBC are HR+, HER2-
 - ~20% of patients with eBC experience disease recurrence within 10 years
 - > Risk of recurrence is highest in the first 2 years following diagnosis
 - > Patients with disease recurrence have a worse prognosis
 - > Patients with high-risk clinical and/or pathologic features are more likely to experience recurrence or distant metastases
- Goal of HR+, HER2- eBC treatment: eradicate cancer and prevent disease recurrence
- Standard of care for HR+, HER2- eBC includes locoregional therapies (surgery, radiation therapy) and adjuvant/neoadjuvant systemic therapies (chemotherapy, endocrine therapy)

HR+ eBC: Assessing Risk

- Clinical + pathologic features
- 10-year estimated risk of relapse with current therapies:
 - >30% (ALN ≥ 4)
 - >20% (ALN 1-3 + another poor prognostic factor)



Risk of Early Breast Cancer Recurrence

Approximately 20-30% of patients with eBC experience relapse^{1,2}

Factors that affect risk of recurrence in people with eBC³⁻⁶:

- Young age at diagnosis

- Tumor morphology (ductal versus lobular)

- Larger tumor size

- Higher tumor grade

- Symptomatic presentation

- Presence of lymphovascular invasion

- Axillary node involvement

- Negative ER or HER2 overexpression

- Positive or close margins

- PR negativity

- High proliferation rate (eg, high Ki-67)

- Metaplastic (vs. non-metaplastic) carcinoma

1. Early Breast Cancer Trialists' Collaborative Group (EBCTCG). *Lancet*. 2005;365(9472):1687-1717. 2. Early Breast Cancer Trialists' Collaborative Group (EBCTCG). *Lancet*. 2015;386(10001):1341-1352. 3. Győrffy B, et al. *Breast Cancer Res*. 2015;17(1):11. 4. Dang CM, Giuliano AE. *Oncology (Williston Park)*. 2011;25(10):895-899. 5. Stuart-Harris R, et al. *Breast*. 2019;44:153-159. 6. Reddy TP, et al. *Breast Cancer Res*. 2020;22(1):121.
eBC, early breast cancer; ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; PR, progesterone receptor.

Molecular Testing In Breast Cancer

- Biomarker testing for tumor ER, PR, and HER2 status is recommended for all patients
 - Ki-67 testing recently removed as a recommendation for HR+/HER2- patients who are being considered for abemaciclib
 - Methods for testing include: PCR, NGS, FISH, and IHC
- Genetic counseling and testing is recommended for patients considered to be at high risk for hereditary BC, who have TNBC, or who may be candidates for adjuvant olaparib
- Molecular profiling tests help to determine whether to add chemotherapy to ET for patients with HR+/HER2- eBC
- Gene expression assays critical in determining need for adjuvant chemotherapy:
 - The 21-gene assay (Oncotype Dx) is preferred by the NCCN for prognosis and prediction of chemotherapy benefit
 - Other prognostic assays: 70-gene (MammaPrint), 50-gene (Prosigna), 12-gene (EndoPredict), and Breast Cancer Index (BCI)

Gradishar WJ, et al. NCCN Guidelines. Breast Cancer. Version 2.2024. Markopoulos C, et al. *Eur J Surg Oncol*. 2020;46(4 Pt A):656-666. Blanchette P, et al. *Curr Oncol*. 2022;29(4):2599-2615.

BC, breast cancer; eBC, early breast cancer; ER, estrogen receptor; ET, endocrine therapy; FISH, fluorescence in situ hybridization; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; IHC, immunohistochemistry; NCCN, National Comprehensive Cancer Network; NGS, next-generation sequencing; PCR, polymerase chain reaction; PR, progesterone receptor; TNBC, triple-negative breast cancer.

Aligning Clinical Practice With the Latest Clinical Evidence in Treating HR+/HER2- eBC

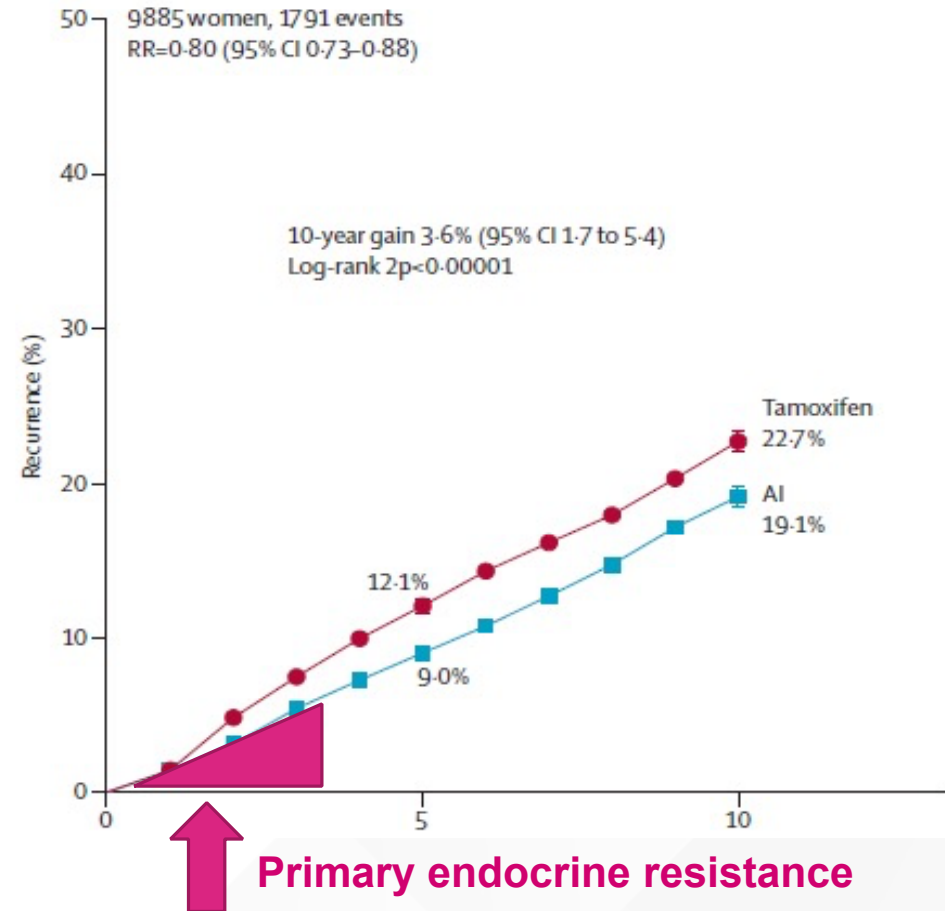
Endocrine Therapy in ER+ EBC

Endocrine Therapy

- Tamoxifen, aromatase inhibitors
- Ovarian suppression (LHRH analogues) in high-risk premenopausal women
- Extended adjuvant therapy (10 years vs. 5 years)

Unmet Need

- Understanding who does/does not need adjuvant chemotherapy
- Identifying those with primary endocrine resistance HR-positive BC, and preventing or delaying recurrence with additional therapy

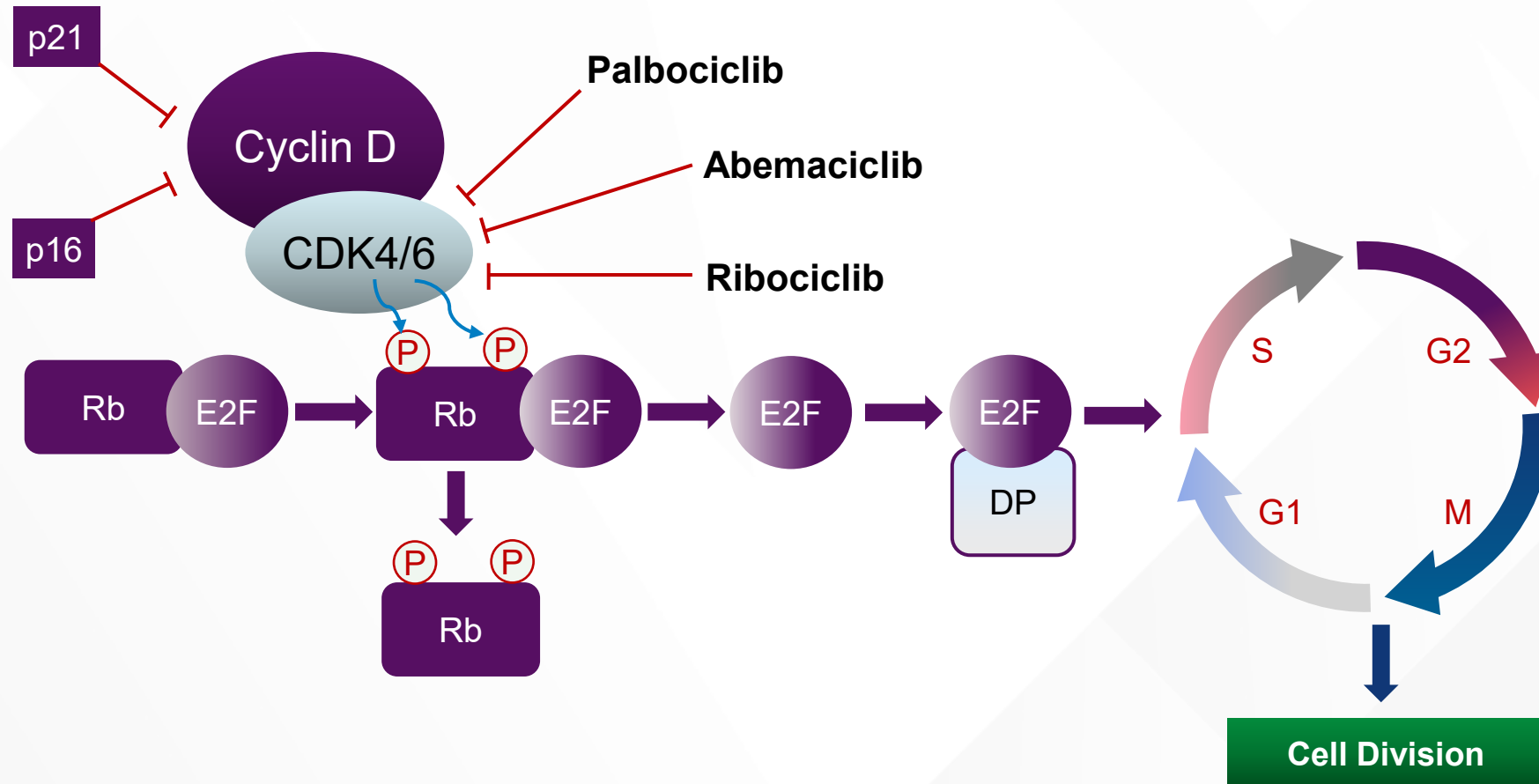


Guidelines Overview: Adjuvant Endocrine Therapy

- Adjuvant ET for 5 years results in a substantial reduction in the risk of local recurrence, contralateral BC, distant recurrence, and risk of death
- The addition of chemotherapy to adjuvant ET is recommended in certain patients with HR+/HER2- breast cancer based on recurrence risk (Oncotype Dx 21-gene assay)
 - Postmenopausal patients with pT1-3, and pN0 and pN1 (1-3 positive nodes) tumors and a risk score ≥ 26
 - Premenopausal patients with pN0 tumors and a risk score ≥ 26
 - Premenopausal patients with pT1-3 and pN1 (1-3 positive nodes) tumors and a risk score ≥ 26
- The NCCN recommends considering adjuvant abemaciclib or ribociclib for eligible patients with HR+/HER2- high-risk eBC
 - 2 years of abemaciclib with ET
 - > ≥ 4 positive lymph nodes (confirmed preoperatively and/or at surgery)
 - > Or 1-3 positive lymph nodes with either grade 3 disease or tumor size ≥ 5 cm (on pre-operative imaging and/or at surgery)
 - 3 years of ribociclib with AI
 - > Any lymph node involvement (excluding microscopic nodal involvement)
 - > Or if no nodal involvement either tumor size > 5 cm, or if tumor size 2-5 cm, either Grade 2 (and high genomic risk or Ki-67 $\geq 20\%$), or Grade 3

Efficacy Data of CDK4/6 Inhibitors in HR+/HER2- eBC

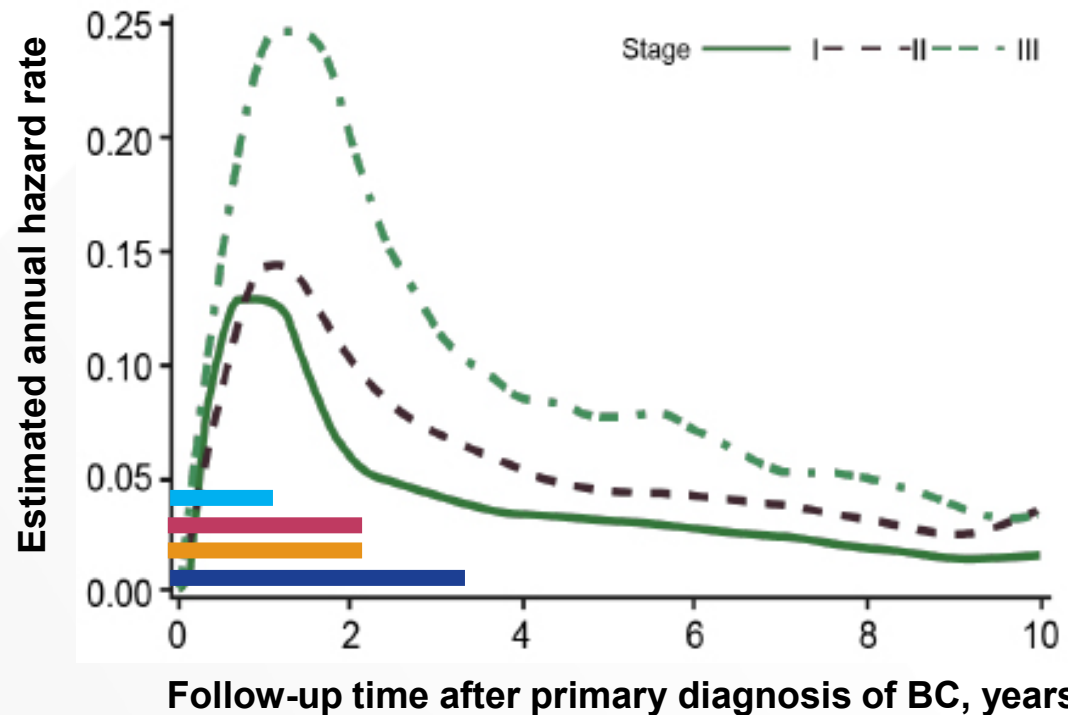
Inhibition of CDK4/6 is Critical to Improving Outcomes in ER+ Breast Cancer







Slide courtesy of Sara M. Tolaney, MD, MPH.
CDK, cyclin-dependent kinase; ER, estrogen receptor.

CDK4/6 Inhibitors for High-Risk, HR+ eBC

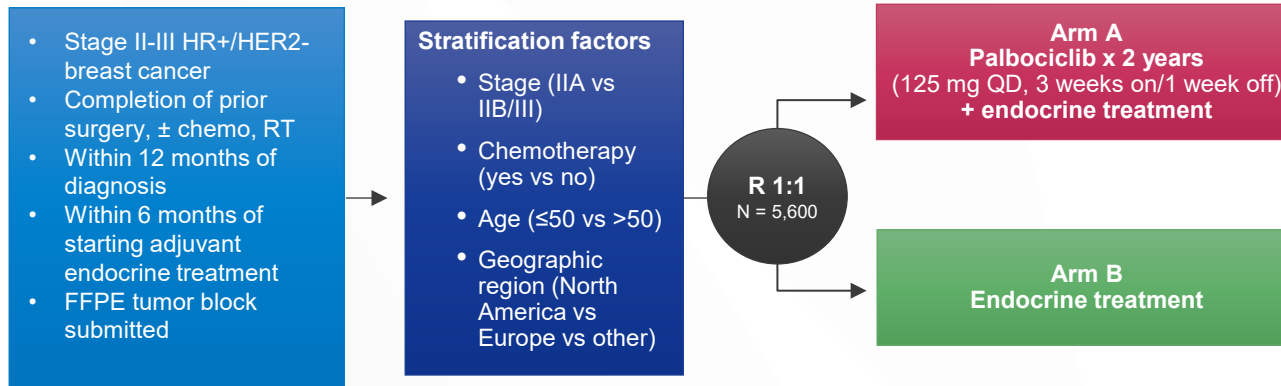
Risk of recurrence by tumor stage



-  PENELOPE-B: palbociclib (after neoadjuvant, high risk)¹
-  monarchE: abemaciclib (high-risk CPR factors, Ki-67)^{2,3}
-  PALLAS: palbociclib (stage II, III)⁴
-  NATALEE: ribociclib (stage II, III)⁵

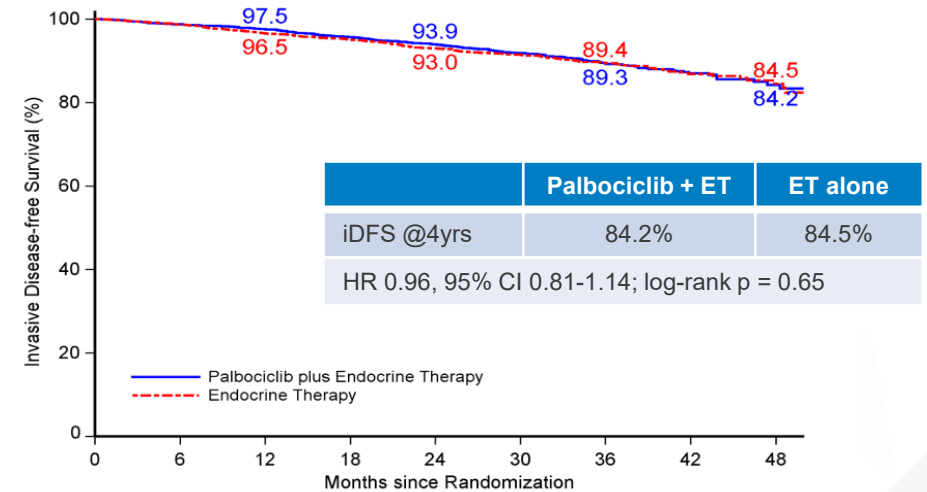
1. Loibl S, et al. *J Clin Oncol*. 2021;39(14):1518-1530. 2. Johnston S, et al. *J Clin Oncol*. 2020;38(34):3987-3998. 3. Harbeck N, et al. *Ann Oncol*. 2021;32(12):1571-1581. 4. Mayer EL, et al. *Lancet Oncol*. 2021;22(2):212-222. 5. Slamon DJ, et al. *J Clin Oncol*. 2019;37(15_suppl):TPS597. BC, breast cancer; CDK, cyclin-dependent kinase; CPR, clinicopathologic recurrence; eBC, early breast cancer; HR, hormone receptor.

PALLAS Primary Endpoint: iDFS



Primary endpoint: invasive disease-free survival (iDFS)

PALLAS: Palbociclib



No. at Risk:	0	6	12	18	24	30	36	42	48
P+ET	2884	2686	2593	2494	2098	1542	939	382	107
ET	2877	2651	2560	2481	2102	1548	960	393	113

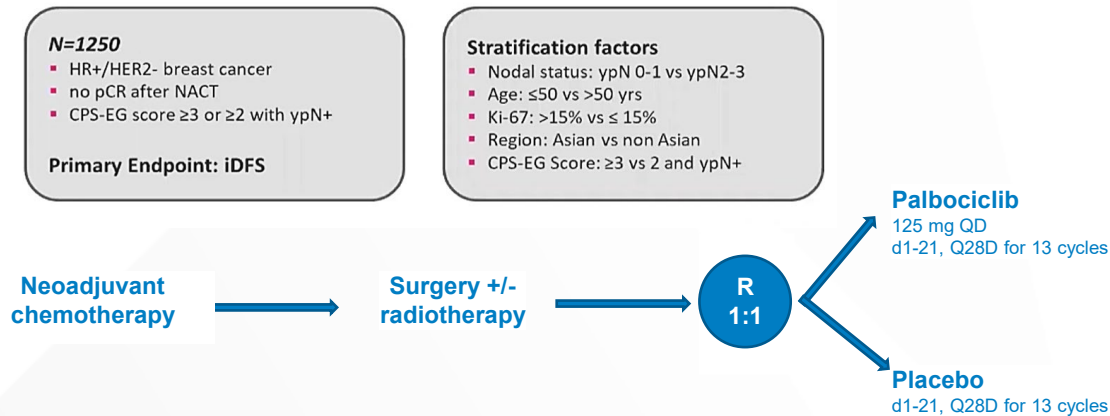
- There were 253 vs 263 iDFS events in the palbociclib + ET vs ET only arms, but no difference in event categories, including distant recurrences, second primaries, local, regional, contralateral, or deaths without recurrence
- At a median follow-up of 31 months, no significant difference in 4-year iDFS was observed
- Most common AEs in palbociclib + ET arm: neutropenia, leukopenia, fatigue
- Anemia, thrombocytopenia, alopecia, and upper respiratory tract infections also more common in Palbociclib + ET arm
- 13.0% of patients in palbociclib + ET arm experienced ≥1 SAE (versus 7.9% in ET arm)
- No deaths related to study treatment in either arm

Mayer EL, et al. *Ann Oncol.* 2020;31(suppl_4):LBA12. Mayer EL, et al. *Lancet Oncol.* 2021;22(2):212-222. Mayer EL, et al. ESMO 2020. Abstract LBA12. Gnant M. SABCS 2021. Abstract GS1-07. Gnant M, et al. *J Clin Oncol.* 2022;40(3):282-293.

AE, adverse event; ET, endocrine therapy; FFPE, formalin-fixed, paraffin-embedded; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; iDFS, invasive disease-free survival; QD, once a day; RT, radiotherapy; SAE, serious adverse event.

PENELOPE-B: Palbociclib + Endocrine Therapy in HR+/HER2- With Residual Disease After Neoadjuvant Chemo + Surgery

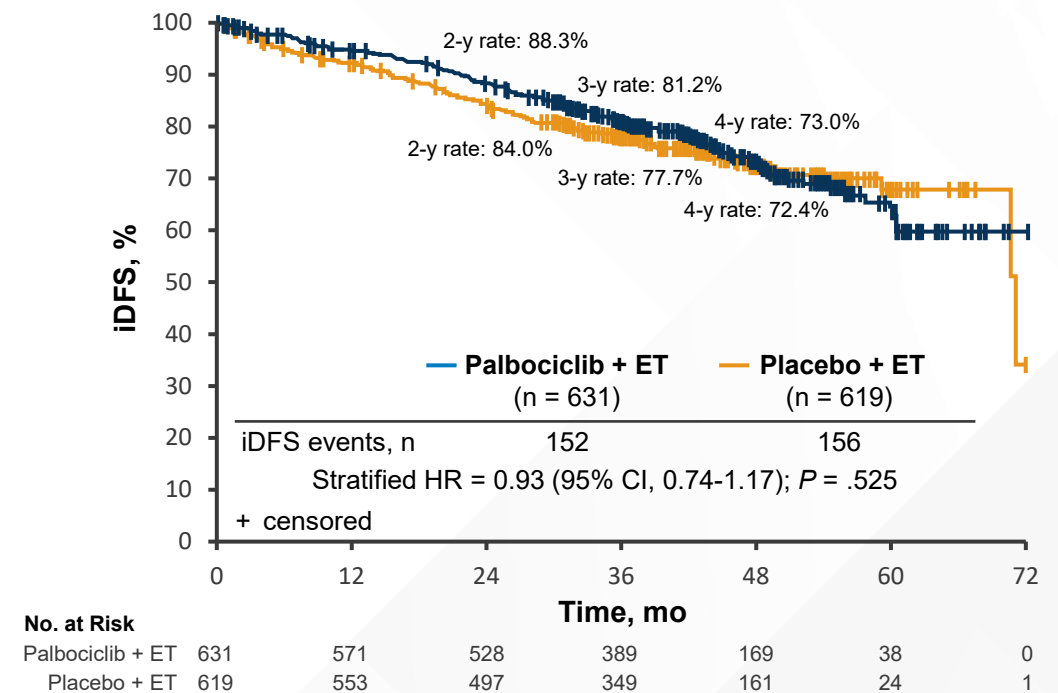
Study Design



- The most frequent AEs in the palbociclib arm were hematologic in nature (any grade: neutropenia 95.7%, leukopenia 99.2%, thrombocytopenia 56.6%, anemia 73.9%)
- Most common related serious adverse events were infections and vascular disorders
- 2 deaths in palbociclib arm (not related to study drug), 6 deaths in placebo arm

iDFS

Median follow-up 42.8 mo

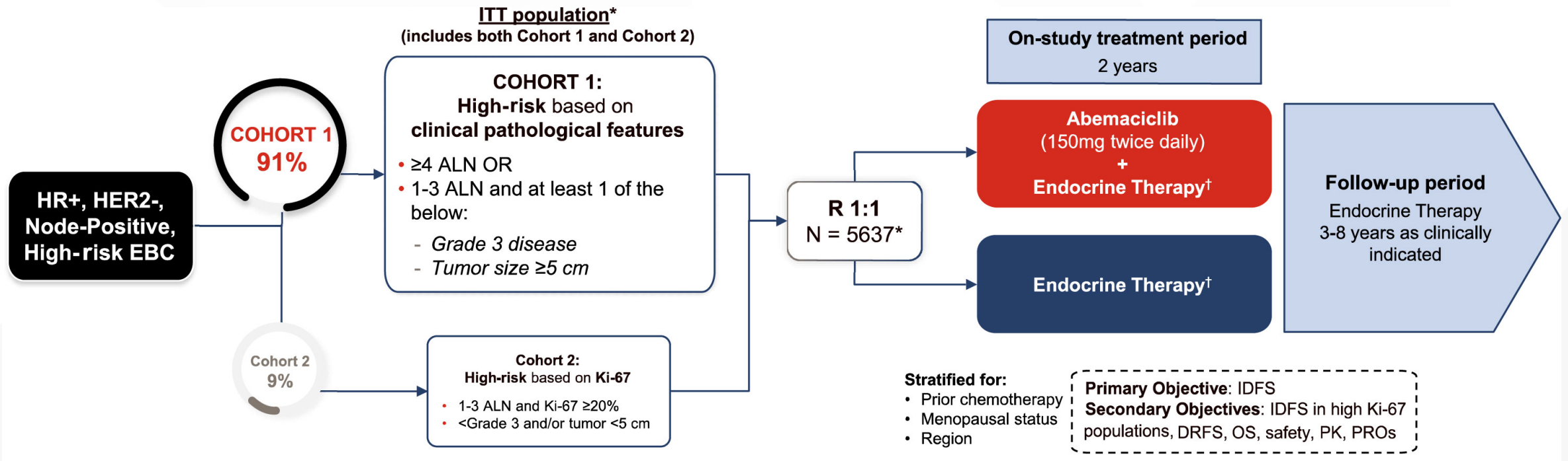


Slide courtesy of Joyce A. O'Shaughnessy, MD.

Loibl S, et al. *J Clin Oncol.* 2021;39(14):1518-1530.

AE, adverse events; CPS-EG, pre-treatment clinical and post-treatment pathological stage, estrogen-receptor status, and grade; ET, endocrine therapy; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; iDFS, invasive disease-free survival; QD, once a day; Q28D, every 28 days; NACT, neoadjuvant chemotherapy; pCR, pathological complete response.

monarchE Study Design (NCT03155997)



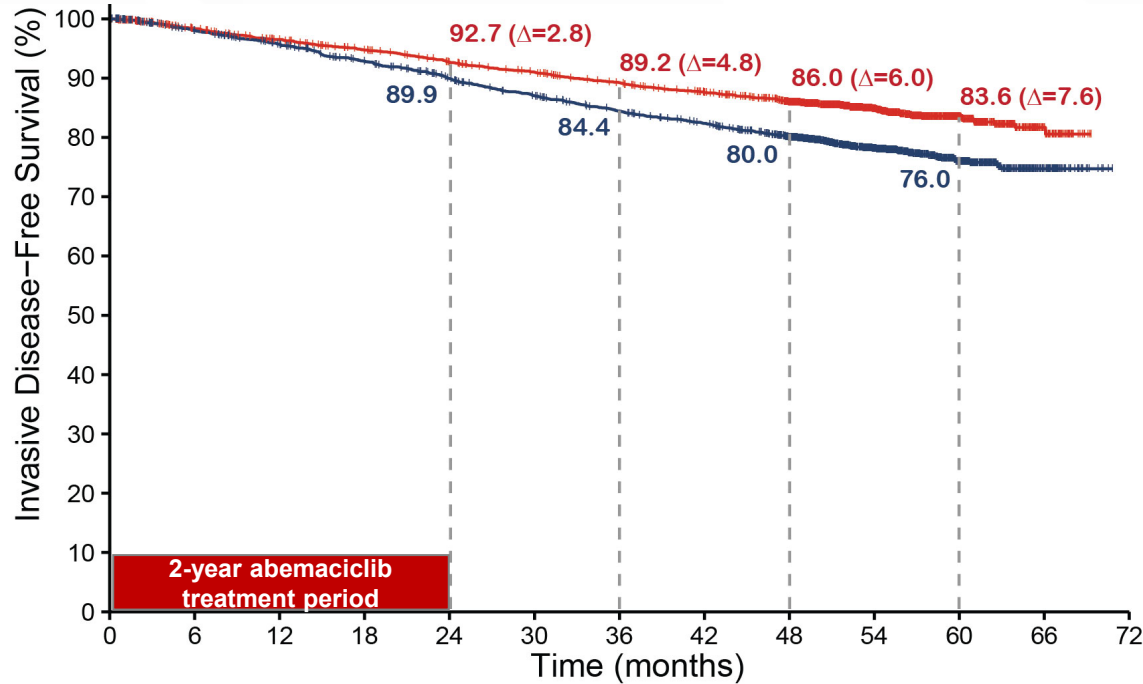
*Recruitment from July 2017 to August 2019.

†Endocrine therapy of physician's choice [eg, aromatase inhibitors, tamoxifen, GnRH agonist].

Harbeck N, et al. Adjuvant ESMO 2023. Abstract LBA17.

ALN, axillary lymph node; DRFS, distant relapse-free survival; EBC, early breast cancer; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; iDFS, invasive disease-free survival; ITT, intention-to-treat; OS, overall survival; PK, pharmacokinetics; PRO, patient-reported outcome.

monarchE: Sustained iDFS Benefit in ITT

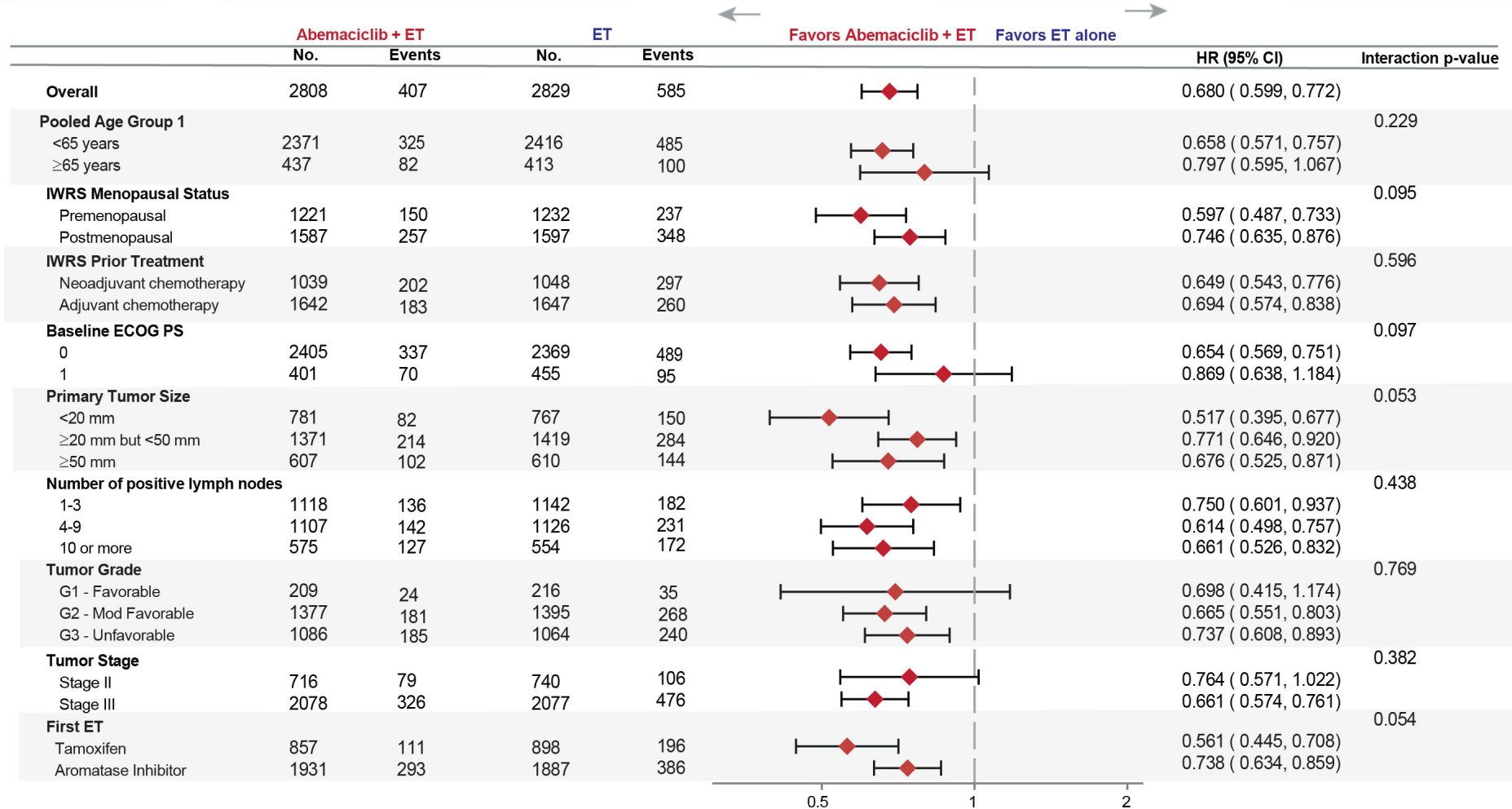


Number of iDFS events	
Abemaciclib + ET	ET Alone
407	585
HR (95% CI): 0.680 (0.599, 0.772)	
Nominal p <0.001	

	0	6	12	18	24	30	36	42	48	54	60	66	72
Abemaciclib + ET	2808	2621	2549	2479	2408	2347	2284	2220	2095	1175	490	74	0
ET alone	2829	2653	2573	2474	2374	2281	2195	2125	1974	1124	473	67	0

32% reduction in the risk of developing an iDFS event
The KM curves continue to separate and the absolute difference in iDFS rates between arms was 7.6% at 5 years

monarchE: Consistent iDFS Benefit Observed in Selected Subgroups*

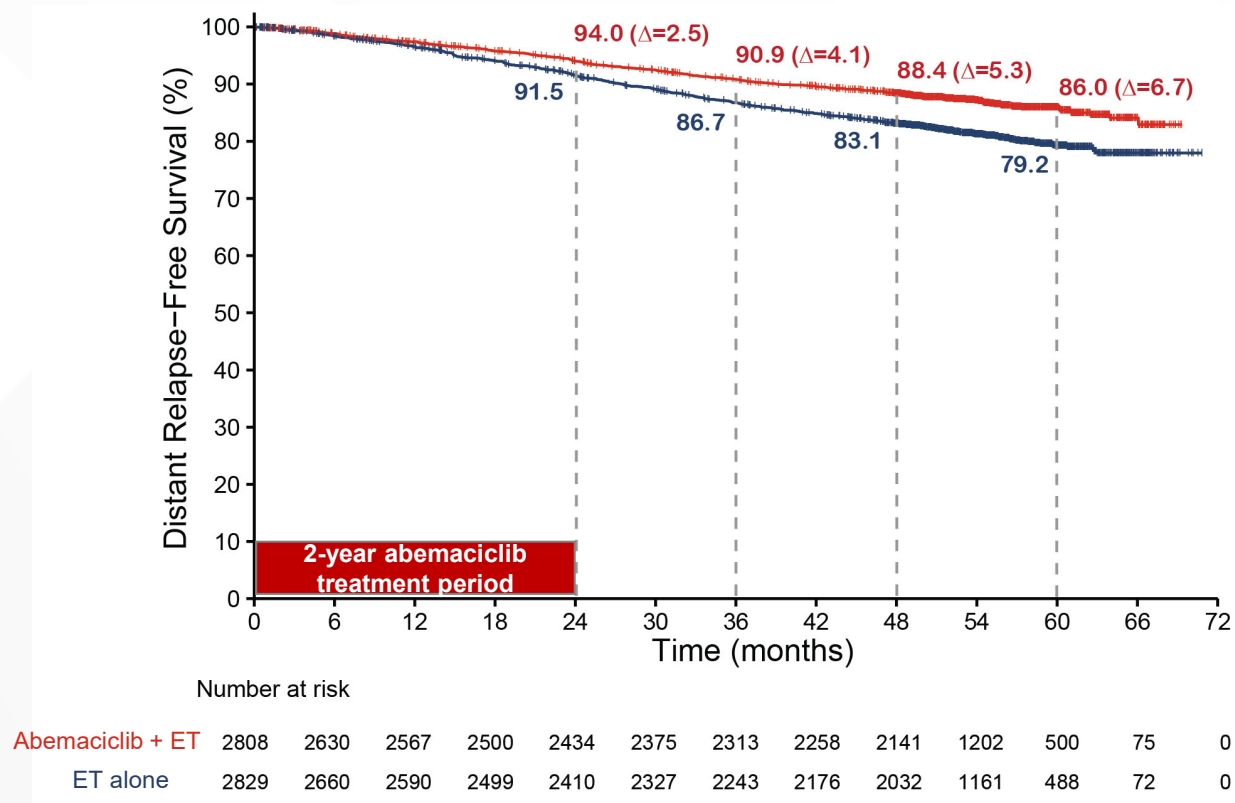


*Region of enrollment and Progesterone status data not shown

Harbeck N, et al. Adjuvant ESMO 2023. Abstract LBA17.

ECOG, Eastern Cooperative Oncology Group; ET, endocrine therapy; iDFS, invasive disease-free survival; IWRS Interactive Web Response Systems; PS, performance status.

monarchE: Sustained DRFS Benefit in ITT



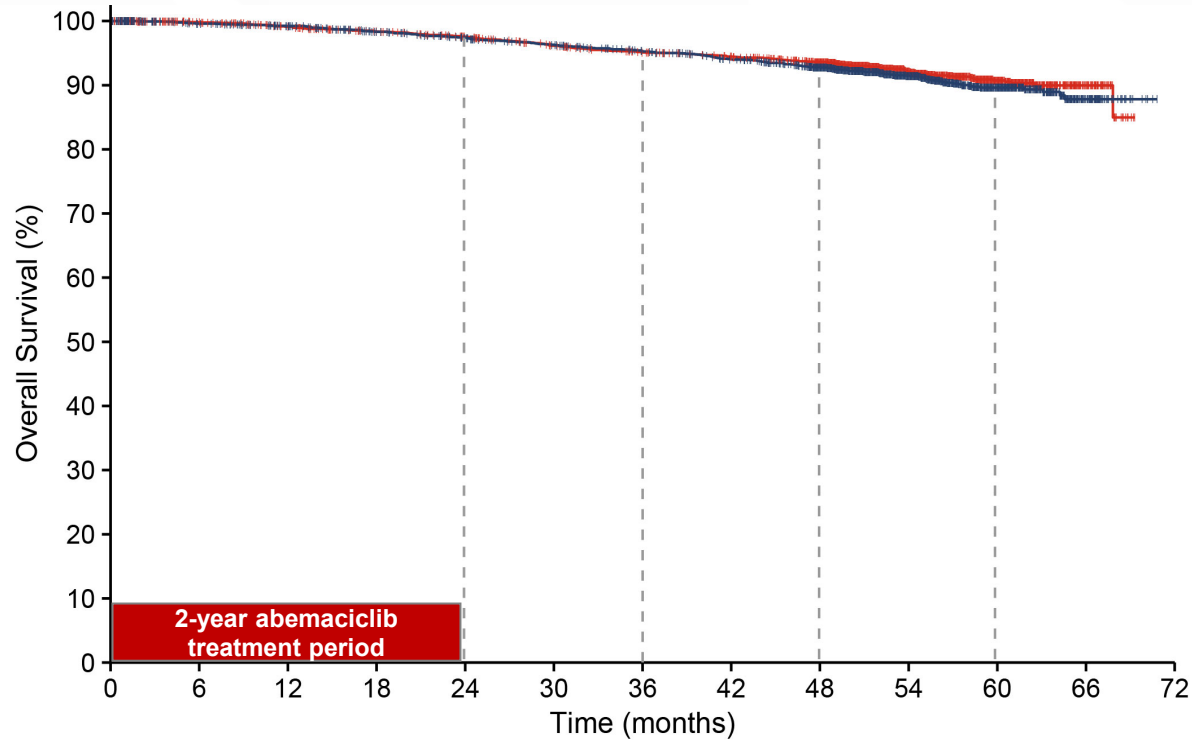
Number of DRFS events

Abemaciclib + ET	ET Alone
345	501

HR (95% CI): 0.675 (0.588, 0.774)
Nominal p <0.001

32.5% reduction in the risk of developing a DRFS event
The KM curves continue to separate and the absolute difference in DRFS rates between arms was 6.7% at 5 years

monarchE: Fewer Deaths in the ITT Abemaciclib Arm



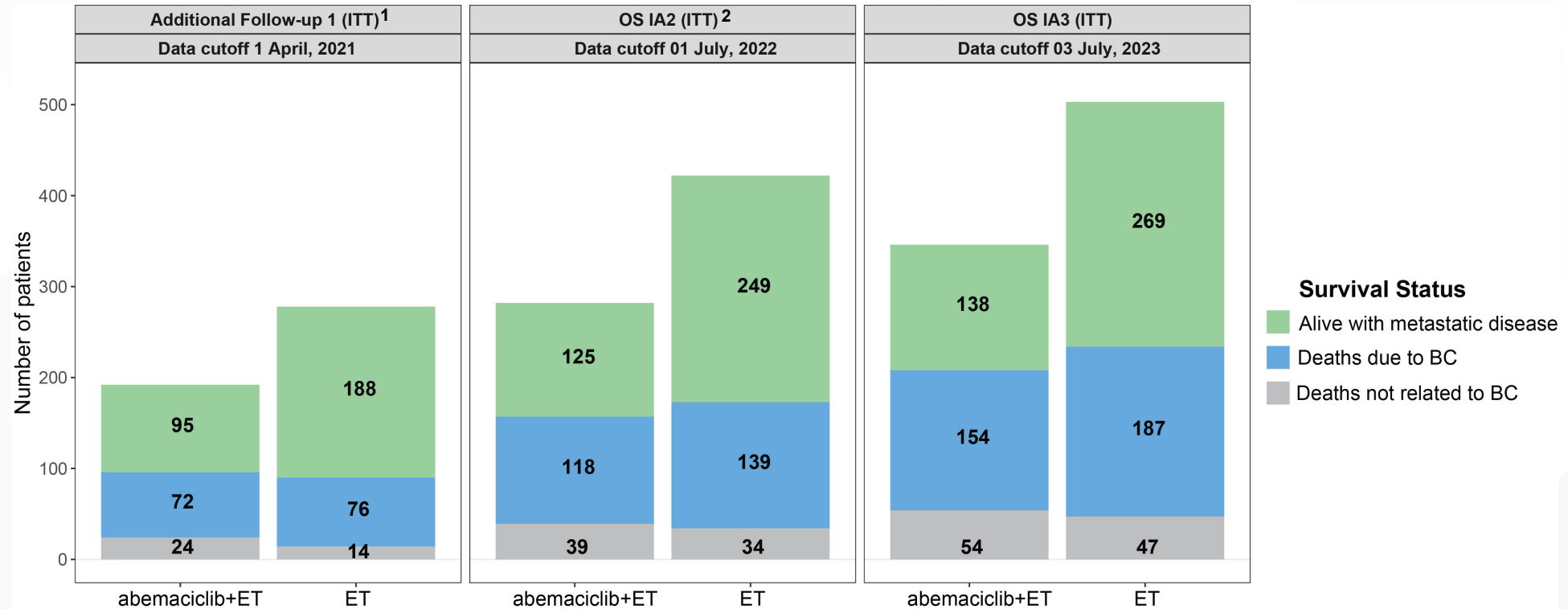
Number of OS events	
Abemaciclib + ET	ET Alone
208	234
HR (95% CI): 0.903 (0.749, 1.088)	
p=0.284	

Number at risk

Abemaciclib + ET	2808	2666	2614	2566	2518	2455	2407	2373	2260	1271	528	80	0
ET alone	2829	2705	2664	2599	2545	2496	2440	2382	2243	1279	538	77	0

At OS IA3 statistical significance was not reached for OS

monarchE: Fewer Patients with Metastatic Disease in the Abemaciclib Arm



The imbalance of incurable metastatic recurrence continues to be substantial at OS IA3

monarchE: Efficacy Outcomes by Cohorts

	Cohort 1		Cohort 2	
	Abemaciclib + ET	ET	Abemaciclib + ET	ET
	n=2555	n= 2565	n=253	n=264
iDFS				
Number of events, n	382	553	25	32
HR (95% CI)	0.670 (0.588, 0.764)		0.827 (0.484, 1.414)	
Nominal p-value	p<0.001		p=0.488	
5-year iDFS rate, % (95% CI)	83.2 (81.5, 84.7)	75.3 (73.4, 77.2)	NR	NR
DRFS				
Number of events, n	325	477	20	24
HR (95% CI)	0.665 (0.577, 0.765)		0.892 (0.485, 1.643)	
Nominal p-value	p<0.001		p=0.714	
5-year DRFS rate, % (95% CI)	85.6 (84.0, 87.1)	78.5 (76.6, 80.3)	NR	NR
OS (immature)				
Number of events, n	197	223	11	11
HR (95% CI)	0.894 (0.738, 1.084)		1.078 (0.465, 2.501)	
Nominal p-value	p=0.254		p=0.861	

Treatment benefit in Cohort 1 was consistent with ITT. Cohort 2 data remain immature

monarchE: Efficacy Outcomes by Ki-67 Index in Cohort 1

	Cohort 1 Ki-67 High		Cohort 1 Ki-67 Low	
	Abemaciclib + ET	ET	Abemaciclib + ET	ET
	n=1017	n= 986	n=946	n=968
iDFS				
Number of events, n	176	251	116	171
HR (95% CI)	0.643 (0.530, 0.781)		0.662 (0.522, 0.839)	
Nominal p-value	p<0.001		p<0.001	
5-year iDFS rate, % (95% CI)	81.0 (78.1, 83.4)	72.0 (68.7, 75.0)	86.3 (83.6, 88.6)	80.2 (77.2, 82.9)
DRFS				
Number of events, n	152	221	96	143
HR (95% CI)	0.634 (0.515, 0.781)		0.664 (0.512, 0.861)	
Nominal p-value	p<0.001		p=0.002	
5-year DRFS rate, % (95% CI)	83.4 (80.7, 85.8)	75.2 (72.1, 78.0)	88.6 (86.1, 90.7)	83.5 (80.7, 86.0)
OS (immature)				
Number of events, n	92	121	56	62
HR (95% CI)	0.717 (0.546, 0.941)		0.911 (0.633, 1.309)	
Nominal p-value	p=0.016		p=0.613	

Within Cohort 1, similar abemaciclib treatment effects were observed regardless of Ki-67 index

Harbeck N, et al. Adjuvant ESMO 2023. Abstract LBA17.

DRFS, distant relapse-free survival; ET, endocrine therapy; HR, hazard ratio; iDFS, invasive disease-free survival; OS, overall survival.

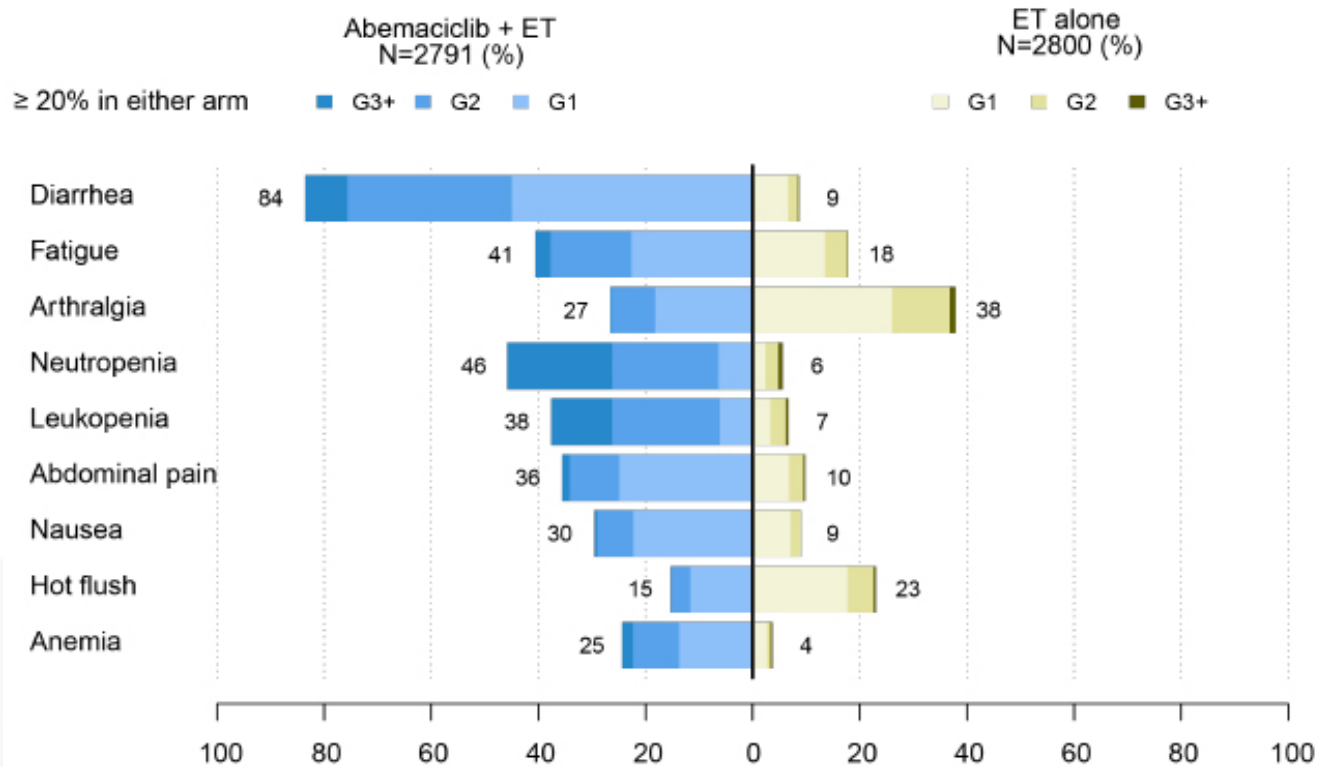
Current Labels and Guidelines for Abemaciclib in High-Risk Early Breast Cancer

	FDA ¹	ASCO ²	NCCN ³	EMA ⁴	ESMO ⁵
Treatment/ Duration	Abemaciclib + ET approved for 2 years	Abemaciclib + ET may be offered for 2 years + ET for ≥5 years	Abemaciclib + ET can be considered for 2 years + ET for ≥5 years	Abemaciclib + ET is indicated	Abemaciclib + ET could be considered for use in high-risk groups, when approved
Patient Population	HR+/HER2-, node-positive eBC at high risk of recurrence	Resected HR+/HER2-, node-positive EBC with high risk of recurrence	HR+/HER2- high-risk breast cancer	HR+/HER2-, node-positive EBC at high risk of recurrence	HR+/HER2- high-risk breast cancer
High-risk Criteria	<ul style="list-style-type: none"> • ≥4 positive ALNs, or • 1 to 3 positive ALNs and at least one: <ul style="list-style-type: none"> • Grade 3 • Tumor ≥5 cm <p>Previous requirement for a Ki-67 score >20% has been removed</p>	<ul style="list-style-type: none"> • ≥ 4 positive ALNs, or • 1 to 3 positive ALNs and one of the following: <ul style="list-style-type: none"> • Grade 3 • Tumor ≥5 cm • Ki-67 ≥20% 		<ul style="list-style-type: none"> • ≥4 positive ALNs, or • 1 to 3 positive ALNs and one of the following: <ul style="list-style-type: none"> • Grade 3 • Tumor ≥5 cm 	

1. FDA.gov. <https://www.fda.gov/drugs/resources-information-approved-drugs/fda-expands-early-breast-cancer-indication-abemaciclib-endocrine-therapy>. 2. Giordano SH, et al; Optimal Adjuvant Chemotherapy and Targeted Therapy Guideline Expert Panel. *J Clin Oncol*. 2022;40(3):307-309. 3. Gradishar WJ, et al. NCCN Guidelines. Breast Cancer. Version 2.2024. 4. European Medicines Agency. Assessment report. Abemaciclib. https://www.ema.europa.eu/en/documents/variation-report/verzenios-h-c-004302-ii-0013-epar-assessment-report-variation_en.pdf. 5. Loibl S, et al. *Ann Oncol*. 2024;35(2):159-182.

ALN, axillary lymph node; ASCO, American Society of Clinical Oncology; eBC, early breast cancer; EMA, European Medicines Agency; ESMO, European Society for Medical Oncology; ET, endocrine therapy; FDA, U.S. Food and Drug Administration; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; NCCN, National Comprehensive Cancer Network.

monarchE: Safety Findings Consistent With Previous Analyses



Median duration of abemaciclib: 23.7 months

Other events of interest, any grade	Abemaciclib + ET N = 2791, %	ET Alone N = 2800, %
VTE	2.5	0.7
PE	1.0	0.1
ILD	3.3	1.3

Abemaciclib dose adjustments due to AEs

- Dose holds: 61.7%
- Dose reductions: 43.6%
- Discontinuations: 18.5% [8.9% after dose reduction]

All patients who received at least one dose of study treatment were included in the safety population. The safety profile of abemaciclib is considered manageable and acceptable for this high-risk population.

monarchE: Dose Adjustments Were More Common in Older Patients

	Abemaciclib + ET		
	Overall	<65	≥65*
	n=2791	n=2361	n=430
Abemaciclib dose adjustments due to AEs, %			
Interruptions	62	60	68
Reductions	44	42	55
Discontinuations	18	15	38
Discontinuations without prior dose reductions	10	8	19

**Adverse event rates were similar in older vs younger patients
Patients ≥75 years had more grade 3 diarrhea and grade 2/3 fatigue**

*Patients ≥ 75 years had higher rates of abemaciclib dose adjustments and discontinuations due to AEs
Hamilton EP, et al. ASCO 2023. Abstract 501.
AE, adverse event; ET, endocrine therapy.

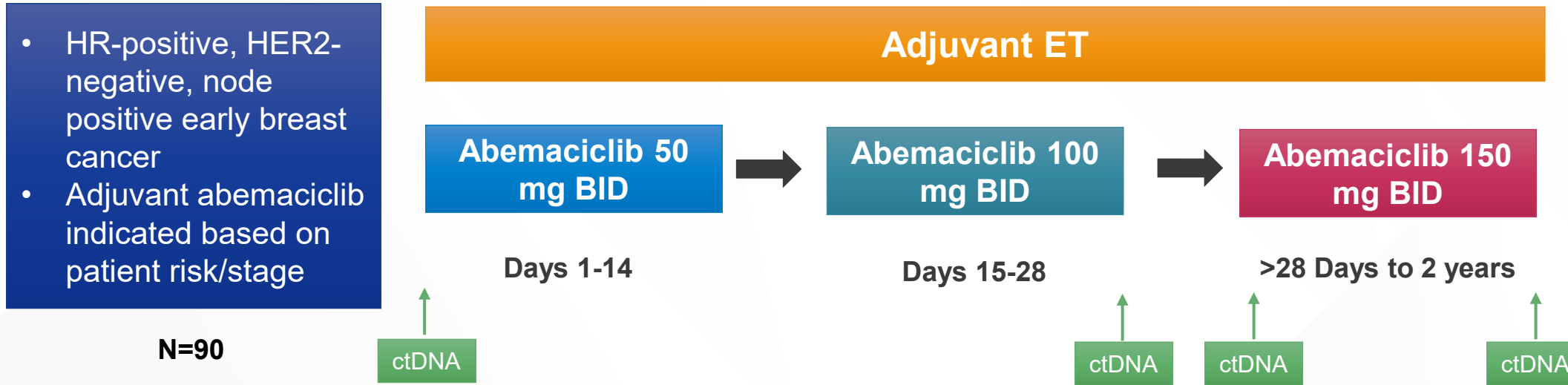
Abemaciclib Efficacy Is Not Compromised By Dose Reductions

Time dependent Cox model in patients treated with abemaciclib

Efficacy Endpoint	HR (95% CI) Staying at full dose vs Being reduced to lower doses
ITT	
iDFS	0.905 (0.727, 1.125)
DRFS	0.942 (0.742, 1.195)
Cohort 1	
iDFS	0.899 (0.718, 1.125)
DRFS	0.958 (0.750, 1.223)

Abemaciclib benefit was similar when given at the full dose of 150 mg compared to reduced doses of 100 mg or 50 mg

TRADE: A Phase 2, Single Arm, Dose-Escalation Trial of Adjuvant Abemaciclib and Endocrine Therapy



Primary endpoint: 12-week Composite Adverse Rate:

Discontinuation of abemaciclib for any reason, and/or need to dose reduce, and/or inability to reach-maintain the full dose.

Secondary endpoints: TRAEs, discontinuation/hold rates, incidence of Grade ≥ 2 diarrhea, adherence to therapy, dose intensity, QOL

Correlative endpoints: serial ctDNA, PBMC, stool studies

NCT06001762 PI: Erica Mayer

ClinicalTrials.gov. NCT06001762.

BID, twice a day; ctDNA, circulating tumor DNA; ET, endocrine therapy; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; PBMC, peripheral blood mononuclear cells QOL, quality of life; TRAE, treatment-related adverse events.

What ET Should Be Combined With Abemaciclib?

Venous thromboembolic events (VTE)

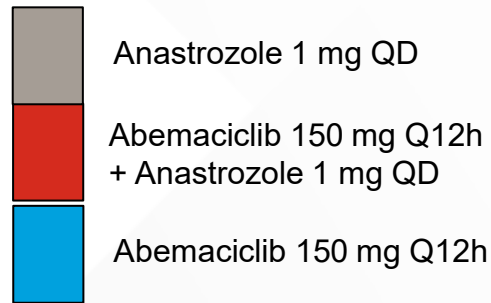
Event term, n (%)	Abemaciclib + ET (N=2791)			
	Any Grade	G1	G2	G≥3
VTE	67 (2.4)	3 (0.1)	27 (1.0)	37 (1.3)
Pe ^a	26 (0.9)	0	0	26 (0.9)
Serious VTE	33 (1.2)			
VTE by First ET	Abemaciclib + ET			
Tamoxifen (Nx=857 [abemaciclib + ET]; 898 [ET alone])	35 (4.1)	2 (0.2)	14 (1.6)	19 (2.2)
Aromatase Inhibitors (Nx=1929 [abemaciclib + ET]; 1892 [ET alone])	32 (1.7)	1 (0.1)	13 (0.7)	18 (0.9)
Time to onset of first VTE event (days); median (range)	182.0 (8.0 – 714.0)			
Discontinuation due to VTE	13 (0.5)			

Use abemaciclib + tamoxifen with caution in patients with risk factors for VTE

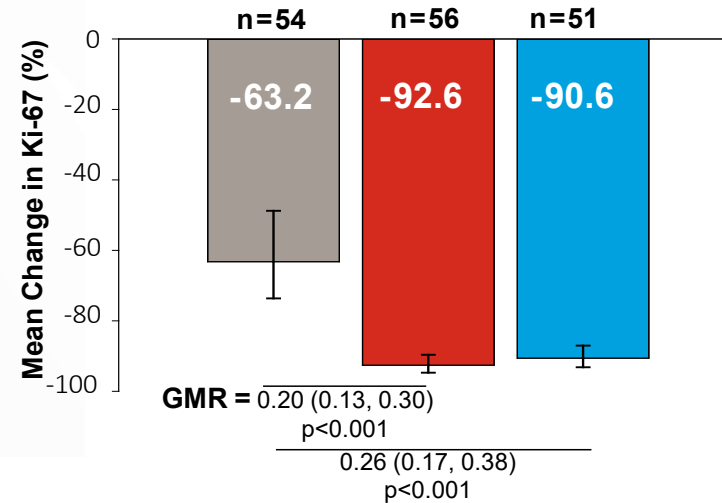
TAMOXIFEN → 4.1% any-grade VTE
AI → 1.7% any-grade VTE

What About Patients Who Need Preop Therapy?

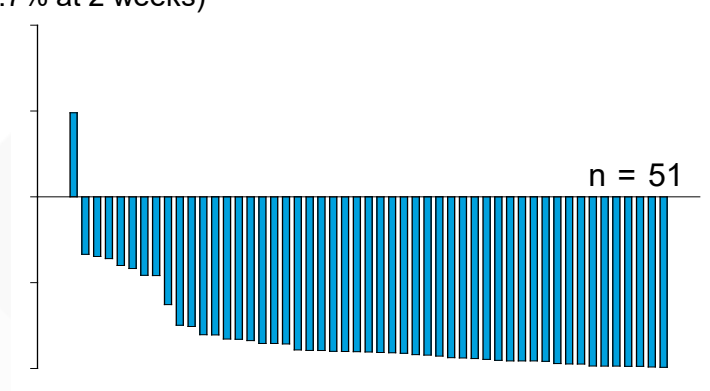
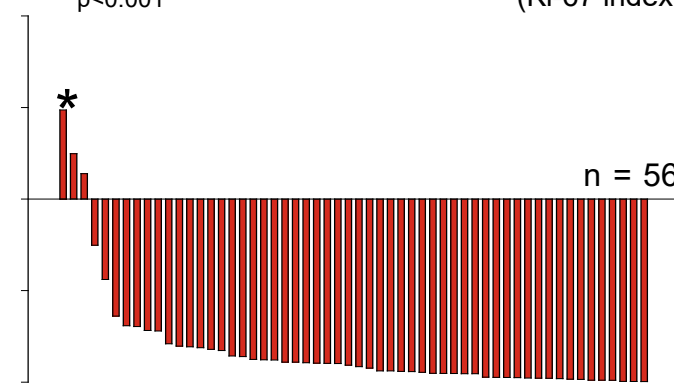
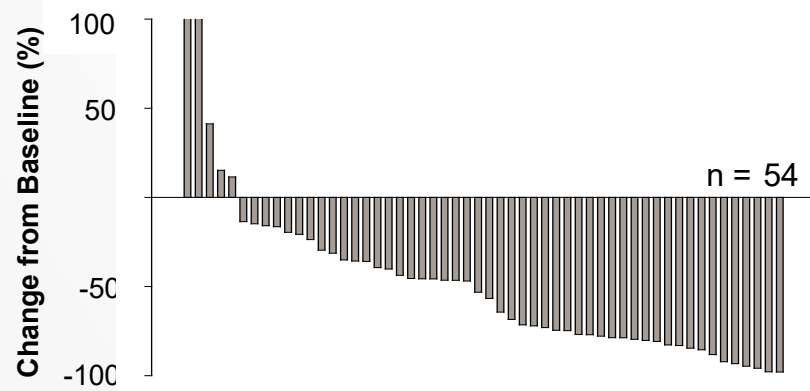
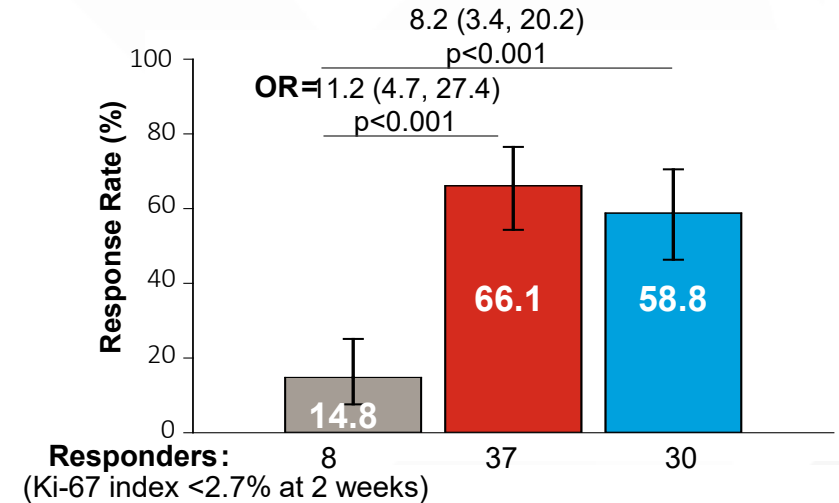
neoMONARCH: Ki-67 Expression and Response at Wk 2



Geometric Mean Change (Primary Endpoint)



Complete Cell Cycle Arrest (CCCA)



What About Patients With gBRCAm?

Prioritize adjuvant olaparib (1 year)

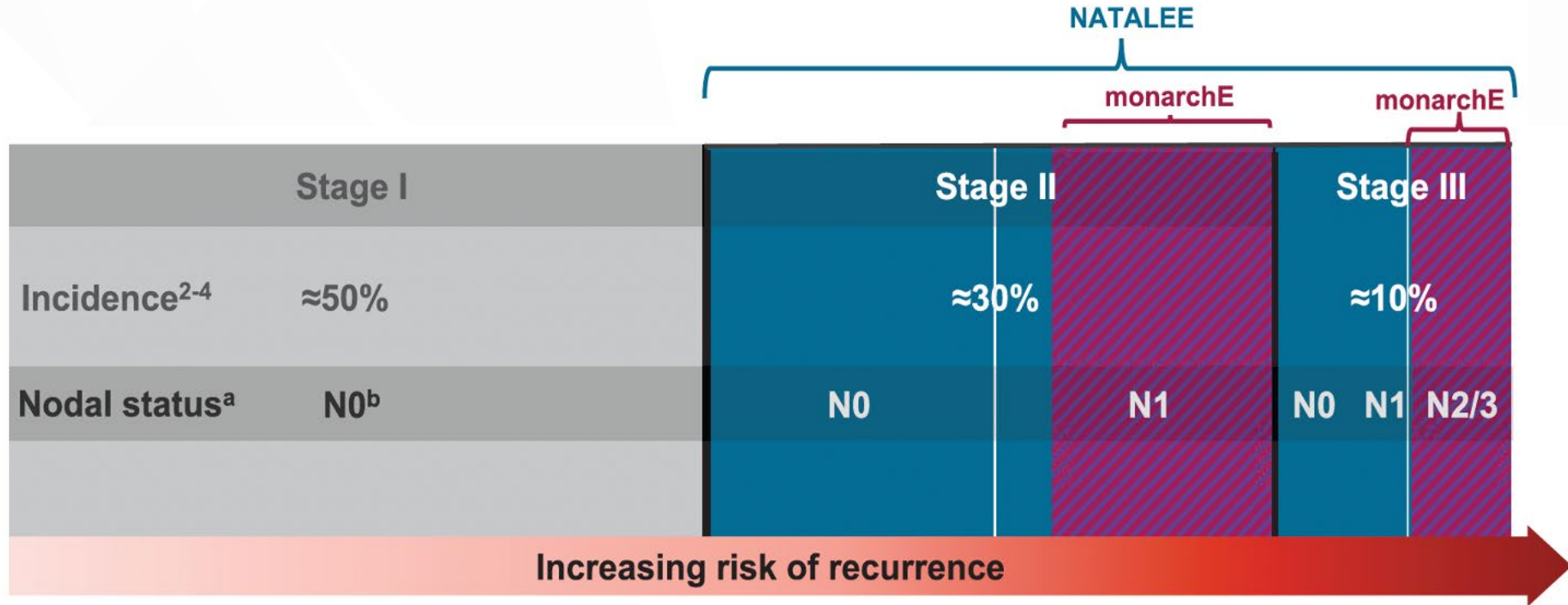
Consider sequential administration of abemaciclib after olaparib
in patients with the highest risk of relapse

Which Patients Should Be Considered for Adjuvant Abemaciclib with N+, High-Risk Early-Stage BC?



- ✓ ≥ 4 positive lymph nodes (any T, grade)
- ✓ T3, N1-3 (any grade)
- ✓ T1-2, N1-3, AND grade 3

NATALEE: Potential for Expanding Adjuvant CDK4/6i Use



Slide courtesy of Sara M. Tolaney, MD, MPH.
 Slamon DJ, et al. *Ther Adv Med Oncol.* 2023;15:17588359231178125.
 CDK, cyclin-dependent kinase; N, node.

NATALEE: Study Design: Unique Features

- Adult patients with HR+/HER2- EBC
- Prior ET allowed up to 12 months
- **Anatomic stage IIA**
 - **N0** with:
 - > Grade 2 and evidence of high risk:
 - Ki-67 $\geq 20\%$
 - Oncotype DX Breast Recurrence Score ≥ 26 or
 - High risk via genomic risk profiling
 - > Grade 3
 - **N1**
- **Anatomic stage IIB**
 - N0 or N1
- **Anatomic stage III**
 - N0, N1, N2, or N3

N = 5,101

R1:1

Ribociclib

400 mg/day
3 weeks on/1 week off
for 3 y

NSAI

Letrozole 2.5 mg/day or
anastrozole 1 mg/day
for ≥ 5 y
+ goserelin in men and
premenopausal women

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Letrozole 2.5 mg/day or
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- **Primary Endpoint**
 - iDFS using STEEP criteria
- **Secondary Endpoints**
 - Recurrence-free survival
 - Distant disease-free survival
 - OS
 - PROs
 - Safety and tolerability
 - PK
- **Exploratory Endpoints**
 - Locoregional recurrence-free survival
 - Gene expression and alterations in tumor ctDNA/ctRNA samples

Randomization stratification

Anatomical stage: II vs III

Menopausal status: men and premenopausal women vs postmenopausal women

Receipt of prior (neo)adjuvant chemotherapy: yes vs no

Geographic location: North America/Western Europe/Oceania vs rest of world

Hortobagyi G, et al. SABCs 2023. Abstract GS03-03.

1. ClinicalTrials.gov. NCT03701334. 2. Slamon D, et al. ASCO 2023. Abstract LBA500.

ctDNA/RNA, circulating tumor DNA/RNA; EBC, early breast cancer; ET, endocrine therapy; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; iDFS, invasive disease-free survival; N, node; NSAI, nonsteroidal aromatase inhibitor; OS, overall survival; PK, pharmacokinetics; PRO, patient-reported outcomes; R, randomized; STEEP, Standardized Definitions for Efficacy End Points in Adjuvant Breast Cancer Trials; y, years.

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Anatomical stage III

- N0, N1, N2, or N3

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Ribociclib

400 mg/day
3 weeks on/1 week off for
3 y

Rationale for Broad Population of Patients

Patients with stage II and III HR+/HER2- EBC, including those with no nodal involvement, are at risk of disease recurrence for decades after initial diagnosis

and premenopausal women

Primary Endpoint

- iDFS using STEEP criteria

Secondary Endpoints

- Recurrence-free survival
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- OS
- PROs
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Rationale for 400 mg RIBOCICLIB
To improve tolerability while maintaining efficacy

Survival

- OS
- PROs
- Safety and tolerability
- PK

Exploratory Endpoints

- Locoregional recurrence-free survival
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Survival

Rationale for 3-year Treatment Duration

Extended duration of treatment is crucial to prolong cell cycle arrest and drive more tumor cells into irreversible senescence

- Gene expression and alterations in tumor ctDNA/ctRNA samples

Hortobagyi G, et al. SABCS 2023. Abstract GS03-03.

1. ClinicalTrials.gov. NCT03701334. 2. Slamon D, et al. ASCO 2023. Abstract LBA500.

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NATALEE and monarchE: Difference in Patient Populations

NATALEE (N=5,101)			monarchE (N=5,637)		
	ribociclib+ET (N= 2549)	ET (N= 2552)		Abema + ET (N= 2808)	ET (N=2879)
Stage II	1011 (40%)	1033 (40%)	Stage II (derived)	25.5%	26.2%
Stage III	1528 (60%)	1512 (59%)	Stage III (derived)	73.9%	73.4%
Prior chemo (neo) adjuvant			Prior chemo		
Yes	2249 (88%)	2245 (88%)	Neoadjuvant	1025 (36.5%)	1031(36.4%)
			Adjuvant	1631 (58.1%)	1633 (57.7%)
			None	152 (5.4%)	165 (5.8%)
Premenopausal/ Men	1126 (44%)	1132 (44%)	Premenopausal	1221 (43.5%)	1232 (43.5%)
Postmenopausal	1423 (56%)	1420 (56%)	Postmenopausal	1587 (56.5%)	1597 (56.5%)
Age, median (min-max)	52 (24-90)	52 (24-89)	Age, (median IQR), years	51 (44-60)	51 (44-60)
			<65	2371 (84.4%)	2416 (85.4%)
			≥65	437 (15.6%)	413 (14.6%)
ALN			ALN		
NX	272 (11%)	264 (10%)	N0	7 (0.2%)	7 (0.2%)
N0	694 (27%)	737 (29%)	N1 1-3	1118 (39.8%)	1142 (40.4%)
N1 1-3	1050 (41%)	1049 (41%)	N2, N3 ≥4	1682 (59.9%)	1680 (59.4%)
N2, N3 ≥4	483 (19%)	467 (18%)			
ECOG PS			ECOG PS		
0	2106 (83%)	2132 (84%)	0	2405 (85.7%)	2369 (83.8%)
1	440 (17%)	418 (16%)	1	401 (14.3%)	455 (16.1%)

12% at SURGERY

Hortobagyi G, et al. SABCS 2023. Abstract GS03-03.

ALN, axillary lymph node; ECOG, Eastern Cooperative Oncology Group; ET, endocrine therapy; IQR, interquartile range; N, node; PS, performance status.

NATALEE: Patient Disposition

Second Interim Efficacy Analysis

Data cutoff: January 11, 2023

iDFS events: n=426

Final iDFS Analysis

Data cutoff: July 21, 2023

iDFS events: n=509

Ribociclib + NSAI, n=2549

- NSAI ongoing: 1984 (77.8%)
- RIB ongoing: 1147 (45.0%)
- Stopped RIB: 1377 (54.0%)
 - Completed 3 years: 515 (20.2%)
 - Early discontinuation: 862 (33.8%)
 - Discontinued due to AEs: 477 (18.7%)

Ribociclib + NSAI, n=2549

- NSAI ongoing: 1914 (75.1%)
- RIB ongoing: 528 (20.7%)
- Stopped RIB: 1996 (78.3%)
 - Completed 3 years: 1091 (42.8%)
 - Early discontinuation: 905 (35.5%)
 - Discontinued due to AEs: 498 (19.5%)

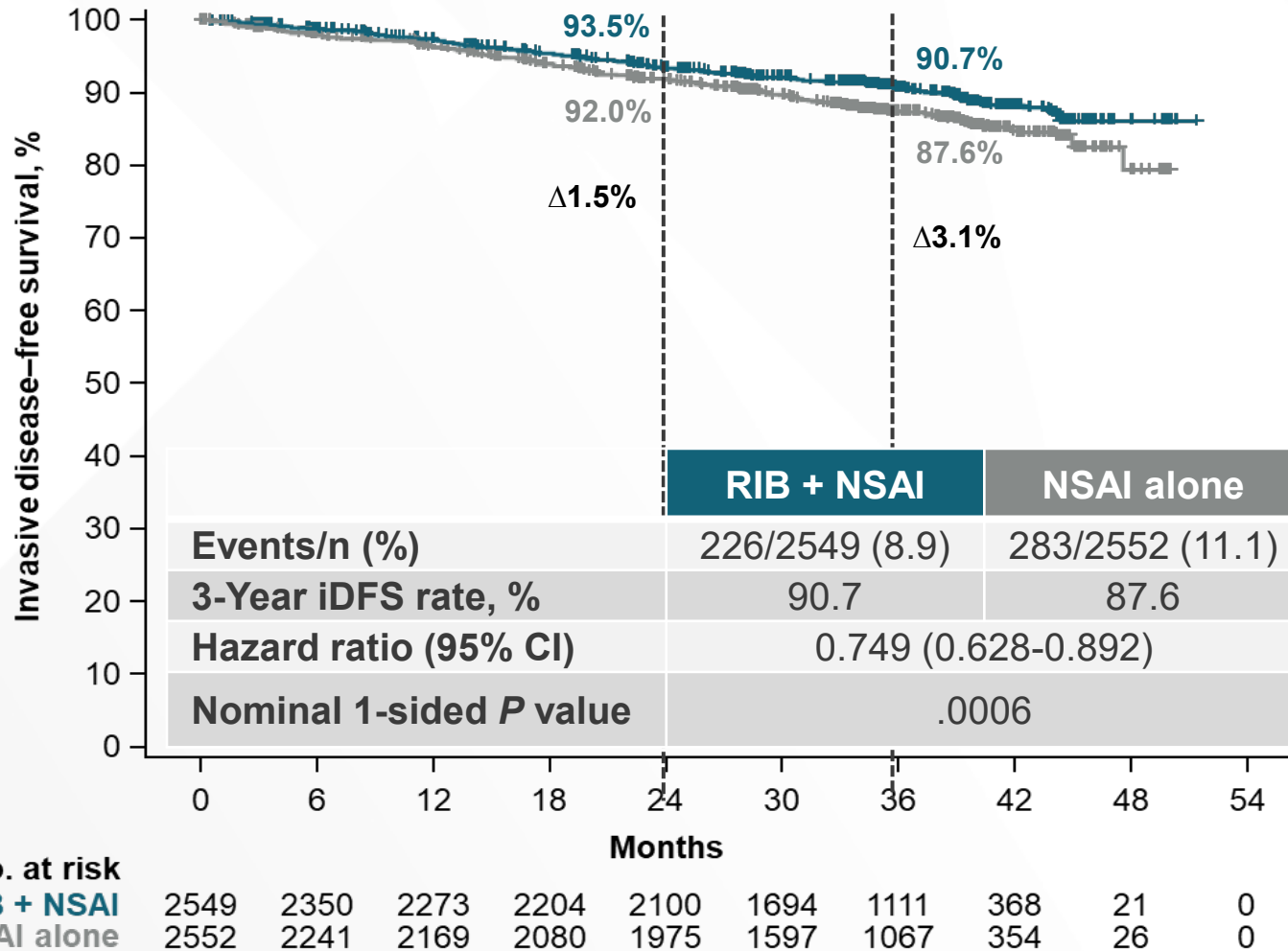
NSAI Alone, n=2552

- NSAI ongoing: 1826 (71.6%)
- Discontinued NSAI: 617 (24.2%)

NSAI Alone, n=2552

- NSAI ongoing: 1748 (68.5%)
- Discontinued NSAI: 693 (27.2%)

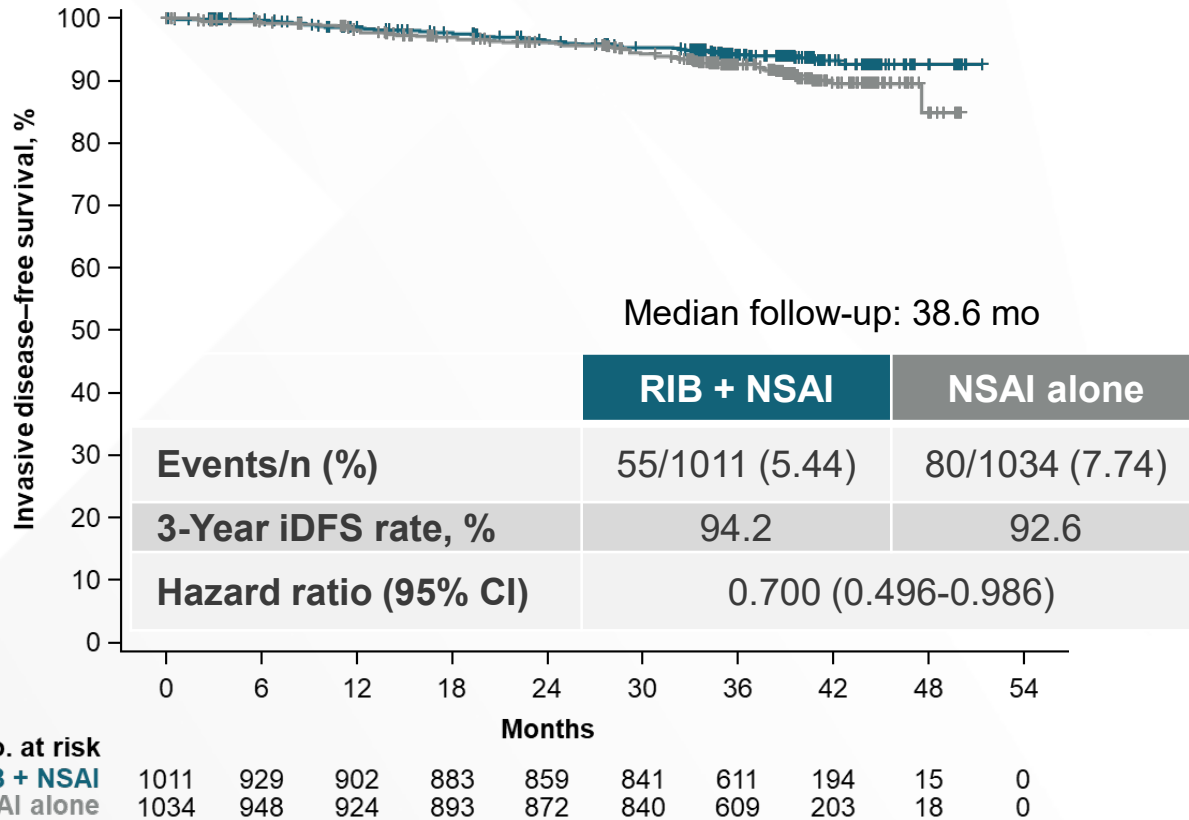
NATALEE: Invasive Disease–Free Survival



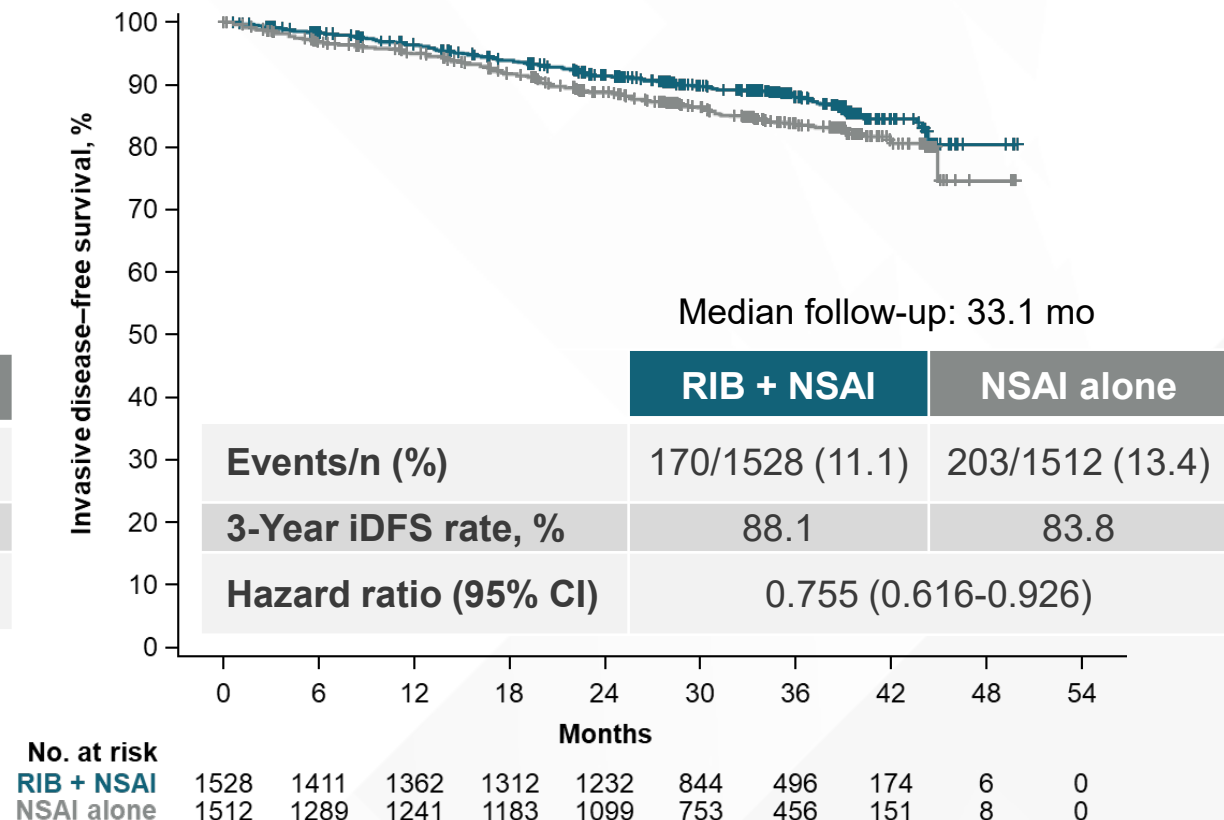
- The median follow-up for iDFS was 33.3 months (maximum, 51 months)—an additional 5.6 months from the second interim efficacy analysis
- The absolute iDFS benefit with ribociclib plus NSAI was 3.1% at 3 years
- The risk of invasive disease was reduced by 25.1% with ribociclib plus NSAI vs NSAI alone

NATALEE: iDFS by Anatomical Stage

Stage II



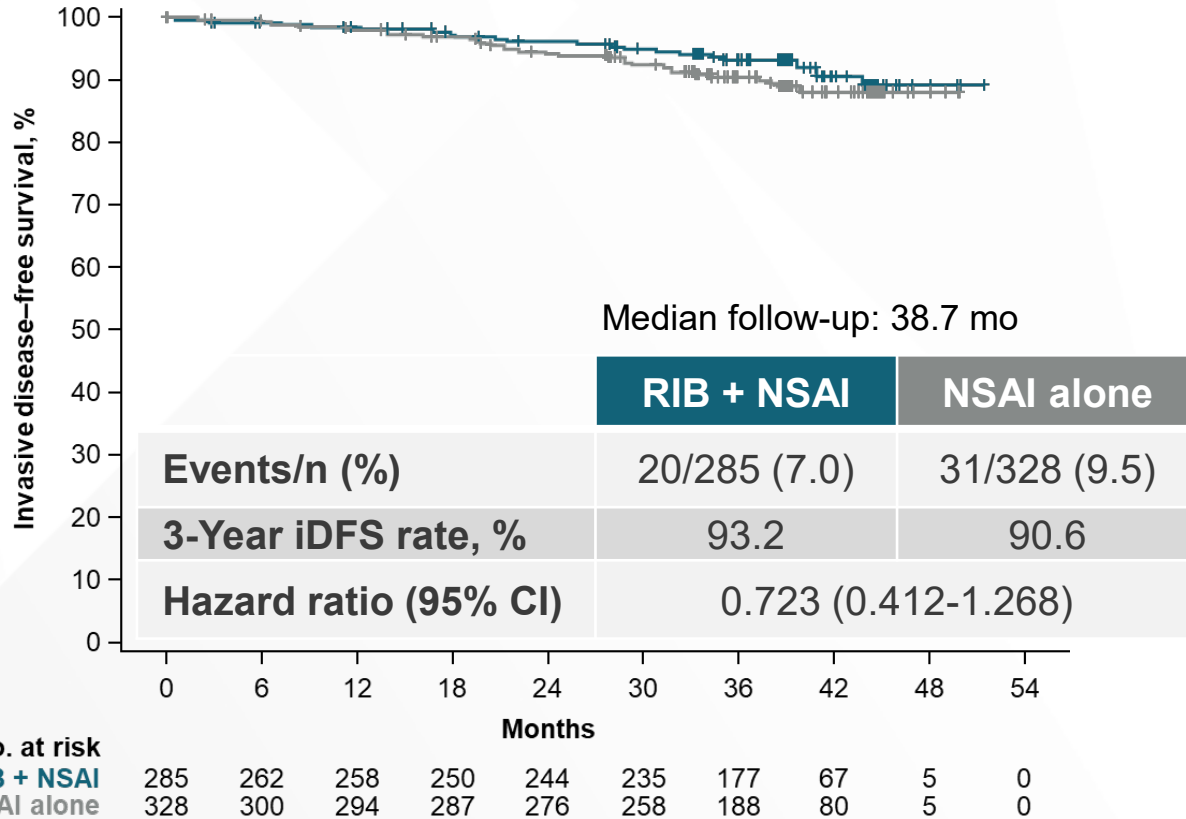
Stage III



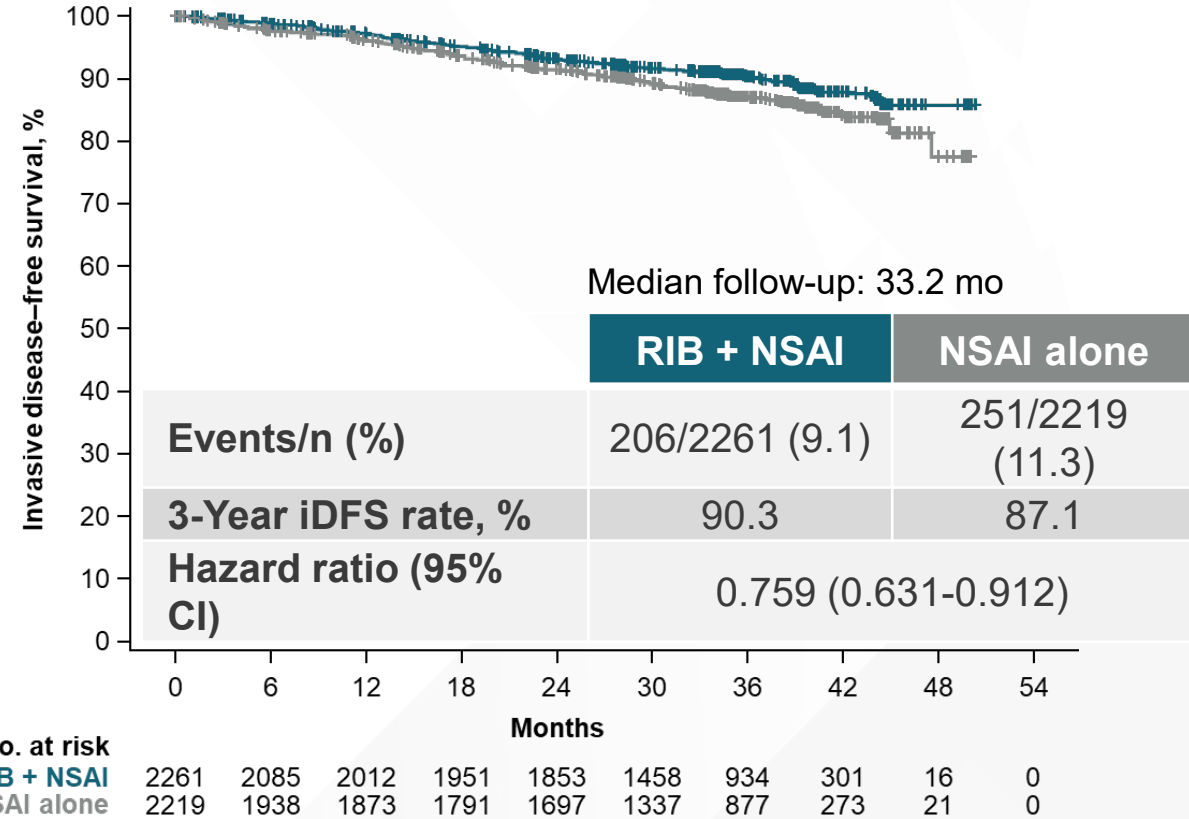
The risk of invasive disease was reduced by 30% for stage II and by 24.5% for stage III disease with ribociclib plus NSAI vs NSAI alone

NATALEE: iDFS by Nodal Status

N0

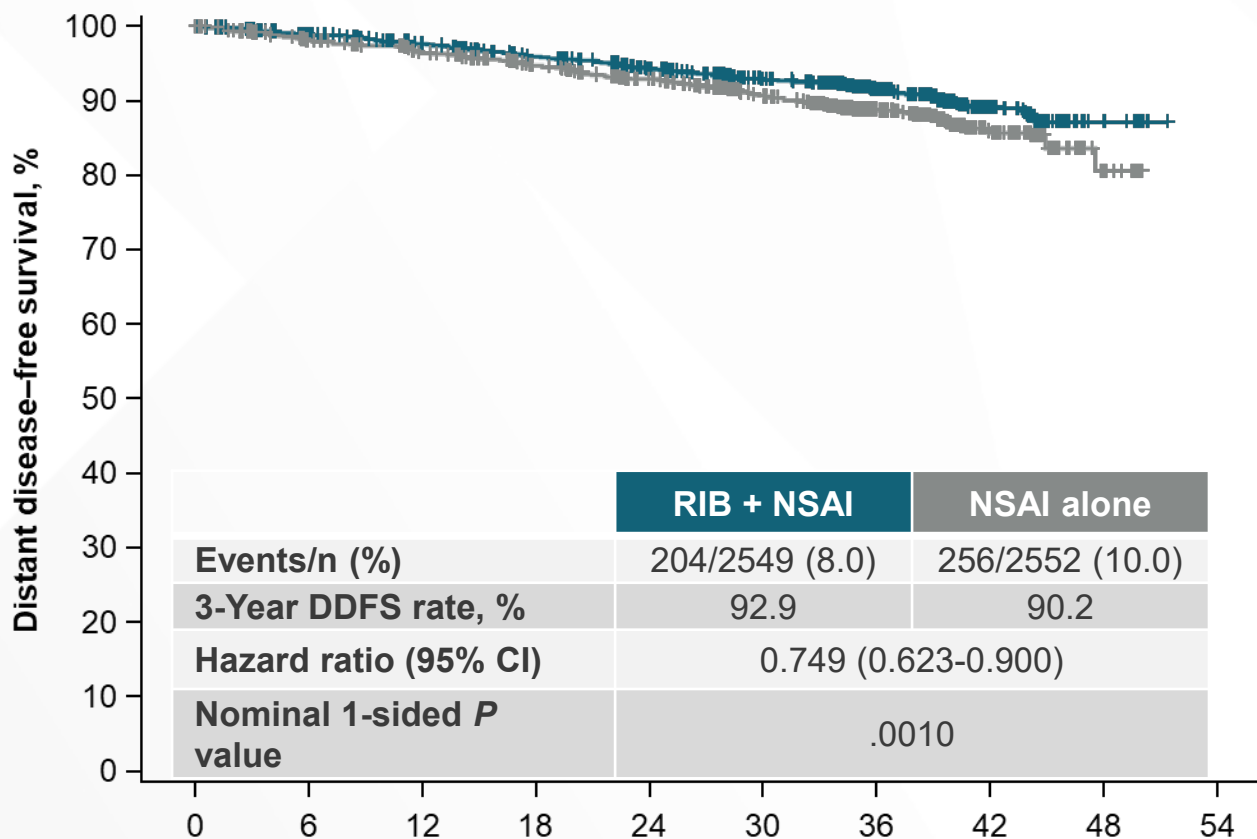


N1-N3



The risk of invasive disease was reduced by 27.7% for node-negative and by 24.1% for node-positive disease with ribociclib plus NSAI vs NSAI alone

NATALEE: Distant Disease-Free Survival



- The absolute DDFS^a benefit with ribociclib plus NSA was 2.7% at 3 years
- The risk of distant disease was reduced by 25.1% with ribociclib plus NSA vs NSA alone at the final analysis

	RIB + NSA	NSAI alone
Events/n (%)	204/2549 (8.0)	256/2552 (10.0)
3-Year DDFS rate, %	92.9	90.2
Hazard ratio (95% CI)	0.749 (0.623-0.900)	
Nominal 1-sided <i>P</i> value	.0010	

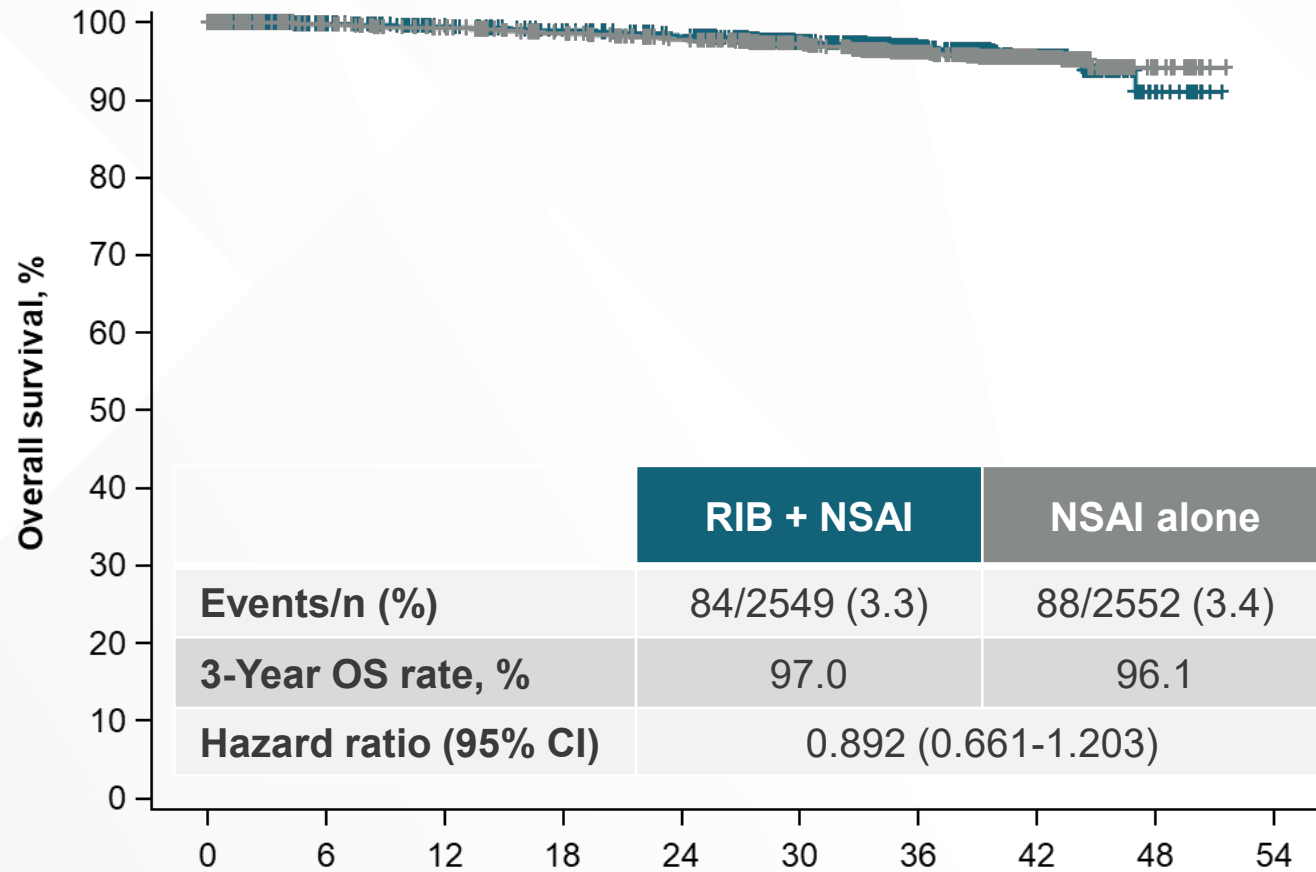
No. at risk	Months									
	0	6	12	18	24	30	36	42	48	54
RIB + NSA	2549	2352	2280	2212	2113	1704	1119	369	21	0
NSAI alone	2552	2245	2171	2091	1990	1609	1080	356	26	0

^aDDFS is the time from randomization to the date of the first event of distant recurrence, death by any cause, or second primary nonbreast invasive cancer (excluding basal and squamous cell carcinomas of the skin).

Hortobagyi G, et al. SABCS 2023. Abstract GS03-03.

DDFS, distant disease-free survival; iDFS, invasive disease-free survival; NSA, nonsteroidal aromatase inhibitor; RIB, ribociclib.

NATALEE: Overall Survival



- The median follow-up for OS was 35.9 months at the final analysis
- The OS data require longer-term follow-up, as there were so few events in both treatment arms (4%)

	RIB + NSA	NSAI alone
Events/n (%)	84/2549 (3.3)	88/2552 (3.4)
3-Year OS rate, %	97.0	96.1
Hazard ratio (95% CI)	0.892 (0.661-1.203)	

No. at risk	Months									
	0	6	12	18	24	30	36	42	48	54
RIB + NSA	2549	2405	2337	2305	2259	1902	1259	455	24	0
NSAI alone	2552	2302	2256	2209	2158	1815	1207	444	31	0

NATALEE: Safety Profile of Ribociclib at 400 mg

AESIs, %	RIB + NSAI n=2525		NSAI alone n=2442	
	Any grade	Grade ≥3	Any grade	Grade ≥3
Neutropenia ^a	62.5	44.3	4.6	0.9
Febrile neutropenia	0.3	0.3	0	0
Liver-related AEs ^b	26.4	8.6	11.2	1.7
QT interval prolongation ^c	5.3	1.0	1.4	0.6
ECG QT prolonged	4.3	0.3	0.7	0
Interstitial lung disease/pneumonitis ^d	1.5	0	0.9	0.1
Other clinically relevant AEs, %				
Arthralgia	37.3	1.0	43.3	1.3
Nausea	23.3	0.2	7.8	0.0
Headache	22.8	0.4	17.0	0.2
Fatigue	22.3	0.8	13.2	0.2
Diarrhea	14.5	0.6	5.5	0.1
VTE ^e	1.5	0.6	0.8	0.4

- In this updated analysis:
 - No AESIs
 - No >1% increase in clinically relevant AEs
 - Only an 0.8% increase in discontinuations
- The most frequent all-grade AEs (with ribociclib + NSAI vs NSAI alone) leading to discontinuation in both treatment arms were:
 - Liver-related AEs
 - Arthralgia

^aGrouped term that combines neutropenia and neutrophil count decreased. ^bGrouped term that includes all preferred terms identified by standardized MedDRA queries for drug-related hepatic disorders. ^cGrouped term. ^dGrouped term that includes all preferred terms identified by standardized MedDRA queries for interstitial lung disease. ^eGrouped term that includes all preferred terms identified by standardized MedDRA queries for venous thromboembolism. Hortobagyi G, et al. SABCS 2023. Abstract GS03-03. Slamon D, et al. ASCO 2023. Abstract LBA500. AE, adverse event; AESI, adverse event of special interest; ECG, electrocardiogram; MedDRA, Medical Dictionary for Regulatory Activities; NSAI, nonsteroidal aromatase inhibitor; RIB, ribociclib; VTE, venous thromboembolism.

NATALEE: Subgroup Analysis of Patients with High-risk, Node-negative (N0) HR+/HER2- EBC

- Ribociclib +ET, compared to ET alone, showed an improvement in rates of iDFS, DRFS, and DDFS in high-risk EBC patients with N0 disease
 - 28% risk reduction in iDFS in subgroup of patients with node-negative (N0) disease at high risk of recurrence

	Ribociclib + ET	ET Alone	HR
3-year iDFS rate, %	93.2	90.6	0.72
3-year DRFS rate, %	96.3	92.5	0.58
3-year DDFS rate, %	94.3	91.5	0.70

NATALEE: 4-Year Outcomes

- At data cutoff (29 Apr 2024), all patients in the ribociclib + ET arm (n=2,549) were off ribociclib treatment
 - 1,601 (62.8%) completed 3 years of ribociclib
- Ribociclib + NSAI demonstrated a significant iDFS benefit over NSAI alone
 - Absolute improvement of 4.9%
 - iDFS benefit was observed across subgroups, including nodal status and stage
 - Ribociclib + NSAI reduced the risk of invasive and distant disease recurrence by 28.5% compared with NSAI
- OS remains immature but trended to favoring ribociclib (HR 0.827)

	4-year iDFS rate, %			4-year iDFS absolute benefit, %
	Ribociclib + ET	ET Alone	HR	
ITT Population	88.5	83.6	0.715	4.9
AJCC Tumor Stage II	93.9	89.6	0.644	4.3
AJCC Tumor Stage III	84.3	78.4	0.737	5.9
Node-negative disease	92.1	87.0	0.666	5.1

Fasching PA, et al. *Ann Oncol.* 2024;35(suppl_2):S1207.

AJCC, American Joint Committee on Cancer; ET, endocrine therapy; iDFS, invasive disease-free survival; ITT, intent to treat; NSAI, nonsteroidal aromatase inhibitor; OS, overall survival.

NATALEE: DDFS Across Key Subgroups

- Extended efficacy beyond the duration of treatment with ribociclib in combination with ET
- Sustained reduction in distant recurrence with ribociclib + ET of 28.5% (HR=0.715), compared to ET alone
- DDFS benefit was consistent regardless of anatomic stage
- DDFS consistent across all pre-specified patient subgroups, including those with node-negative (N0) disease
- DDFS benefit sustained after the 3-year ribociclib treatment duration, with increasing absolute benefit up to 4 years

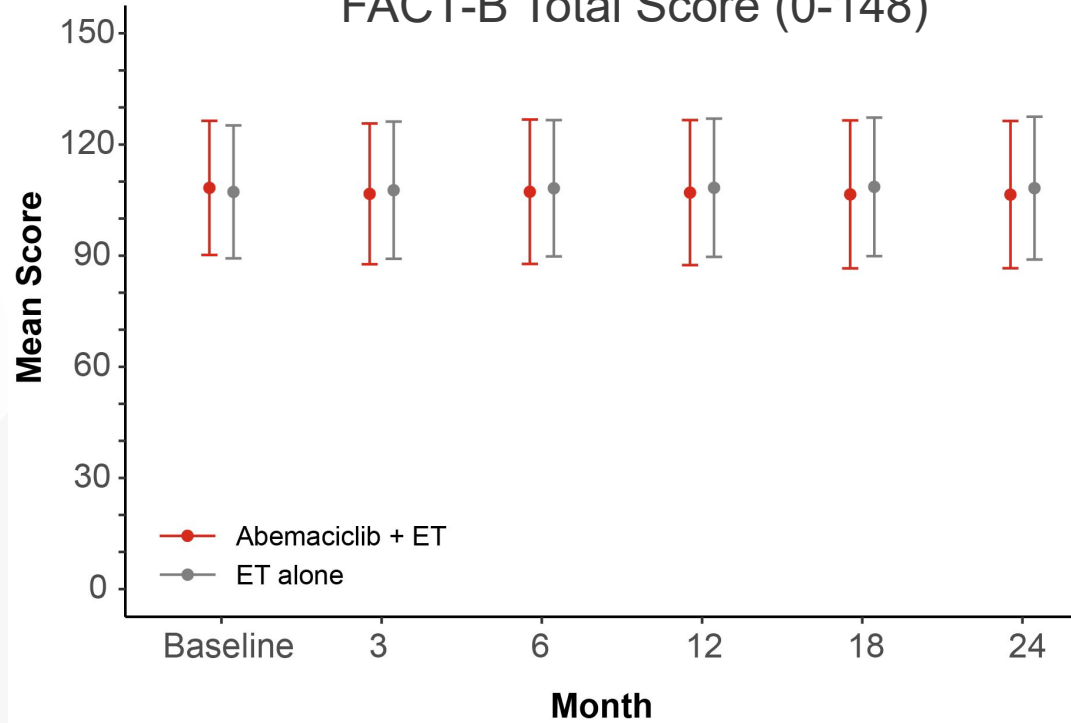
Subgroup	Hazard Ratio
ITT Population	0.715
AJCC Tumor Stage IIA	0.396
AJCC Tumor Stage IIB	0.806
AJCC Tumor Stage IIIA	0.697
AJCC Tumor Stage IIIB	0.569
AJCC Tumor Stage IIIC	0.878
Node-negative disease	0.696
Node-positive disease	0.726

Adjuvant CDK 4/6 Inhibitors in ER+ EBC

QOL Scores Maintained Over Time on Treatment

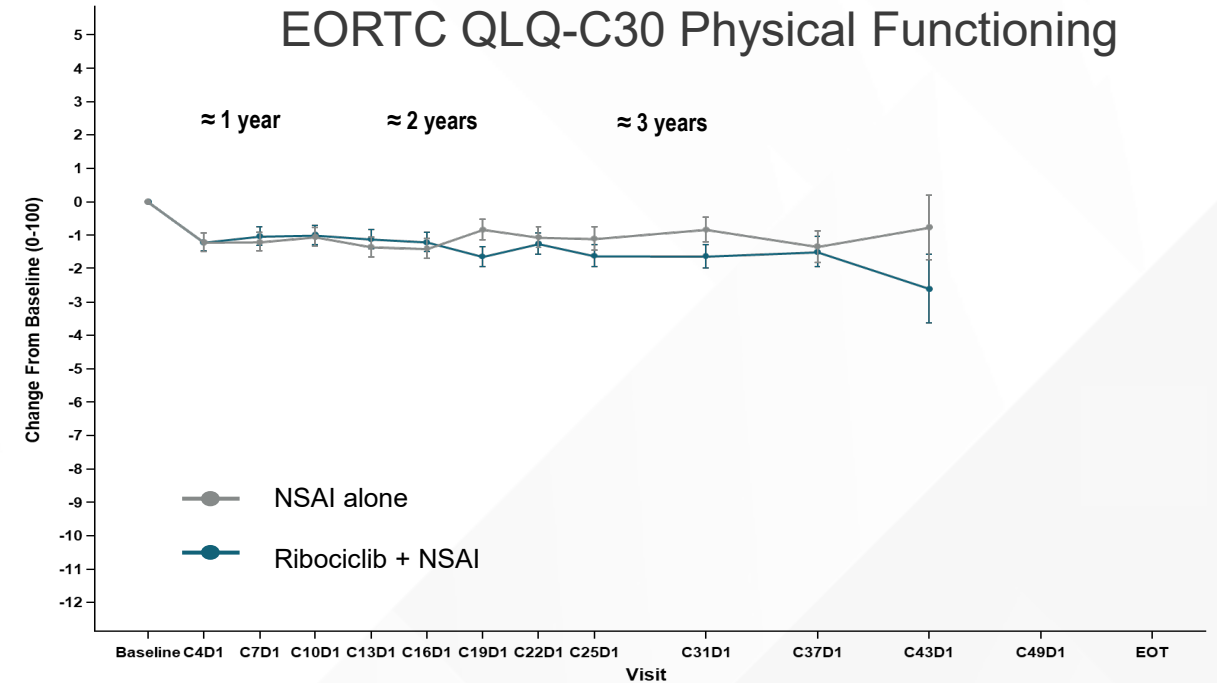
MONARCH-E

FACT-B Total Score (0-148)



NATALEE

EORTC QLQ-C30 Physical Functioning



Harbeck N, et al. ESMO Breast Cancer Congress 2023. Abstract 93MO. Fasching P. Virtual Plenary 2023.

CDK, cyclin-dependent kinase; FACT-B, Functional Assessment of Cancer Therapy - Breast; EBC, early breast cancer; EORTC, European Organisation for Research and Treatment of Cancer; ER, estrogen receptor; ET, endocrine therapy; QLQ-C30, EORTC Quality of Life Group Core Questionnaire; QOL, quality of life.

CDK4/6 Inhibitors in Early Breast Cancer Summary

CDK4/6 Inhibitor	Trial	Approval/Status
Abemaciclib	monarchE	<p>FDA-approved with endocrine therapy (tamoxifen or an aromatase inhibitor) for the adjuvant treatment of adult patients with HR+, HER2-, node-positive, early breast cancer at high risk of recurrence.</p> <ul style="list-style-type: none"> • High risk: either ≥ 4 pALN or 1-3 pALN and either tumor grade 3 or a tumor size ≥ 50 mm • Previously approved for the above high-risk population with the additional requirement of having a Ki-67 score $\geq 20\%$. Ki-67 testing requirement now removed
Ribociclib	NATALEE	<p>FDA-approved with an aromatase inhibitor for the adjuvant treatment of adults with hormone receptor HR+, HER2- stage II and III early breast cancer at high risk of recurrence.</p> <p>The FDA also approved the ribociclib and letrozole co-pack for the same indication.</p>
Palbociclib	PALLAS Penelope-B	Palbociclib did not show a benefit in this setting

Other Ongoing Randomized Phase III Trials of CDK4/6 Inhibitors in HR+/HER2- eBC

POETIC-A (NCT04584853)

Target N = 2500

Patient Population: Postmenopausal and high baseline Ki-67 $\geq 20\%$ or predicted Ki-67 $\geq 8\%$ after 2 wk of AI therapy by clinicopathologic factors

Randomization of patients with Ki-67 $\geq 8\%$ after 2 wk of AI therapy given prior to surgery

Regimen:

ET alone **VS** ET + abemaciclib

ADAPTcycle (NCT04055493)

N = 1670

Patient Population: Pre/postmenopausal with intermediate risk: RS ≤ 25 and Ki67_{postendocrine} $> 10\%$, RS > 25 and Ki67_{postendocrine} $< 10\%$ in p/cN0-1 pts, or RS ≤ 25 and Ki67_{postendocrine} $< 10\%$ in c/pN2-3 pt

Preoperative ET

Regimen:

ET + ribociclib \rightarrow adjuvant ET **VS**
SoC chemotherapy \rightarrow adjuvant ET

Adverse Events Related to CDK4/6 Inhibitor Therapies and ET



Ribociclib was associated with higher rates of hematological toxicity, primarily neutropenia, and liver—related adverse events



Abemaciclib was associated with a high rate of gastrointestinal toxicities, primarily diarrhea (grade 1–2)



Adjuvant abemaciclib has a tolerable safety profile with symptoms that are reversible and can be managed by dose reductions without compromising efficacy

Monitoring and Managing Common Adverse Events

Diarrhea

- Take action immediately at the first signs of symptoms
 1. Start an over-the-counter anti-diarrheal and call your doctor
 2. Stay hydrated and drink clear fluids
 3. Watch for improvement and follow up with your doctor
- Dietary suggestions
 - Eat smaller meals more frequently
 - Choose foods that are easy to digest
 - > Look for soft, bland foods
 - > Eat foods that are high in sodium and potassium
 - Avoid:
 - > Dairy products
 - > High-fiber foods
 - > Fatty or greasy foods
 - > Spicy foods
 - > Sugar-free candy or gum made with sugar alcohol
 - > Food or drinks that have caffeine
 - > Alcoholic drinks
 - > Food or drinks that are too hot or too cold

Neutropenia and Liver Problems

- CBCs: Monitor complete blood counts prior to the start of therapy, every 2 weeks for the first 2 months, monthly for the next 2 months, and as clinically indicated
- LFTs: Monitor ALT, AST, and serum bilirubin prior to the start of therapy, every 2 weeks for the first 2 months, monthly for the next 2 months, and as clinically indicated

**Generally managed
by dose adjustments**

VERZENIO (abemaciclib). Prescribing information. Eli Lilly and Company; 2024.

KISQALI (ribociclib). Prescribing information. Novartis; 2023.

ALT, alanine transaminase; AST, aspartate transaminase; CBC, complete blood count; LFT, liver function test.

Summary: HR+ eBC

- Adjuvant abemaciclib reduces risk of recurrence by one-third in high-risk HR+ breast cancer and should be considered for patients meeting monarchE eligibility
 - LN \geq 4 OR 1-3+ LNs and T \geq 5 cm or grade 3
 - Benefit seen in patients with both low and high Ki-67 tumors
- Ribociclib is now also FDA-approved in combination with an aromatase inhibitor for the adjuvant treatment of adults with HR+/HER2- stage II and III early breast cancer at high risk of recurrence
- Olaparib is the preferred standard adjuvant therapy for *gBRCAm* patients
- Trials ongoing to evaluate the role of SERDs in the immediate and extended adjuvant settings

Racial/Ethnic Disparities Among Minority Patients With HR+/HER2- BC

Improving Health Outcomes of Racial/Ethnic Minorities

- BC mortality has been steadily decreasing for the past few decades
- However, there are persistent racial and ethnic disparities in US outcomes
 - Black patients have a 40% higher mortality rate compared to White patients
 - BC is the leading cause of cancer death for Black and Hispanic women
 - Black patients have higher mortality rates for HR+/HER2- BC compared to other subgroups
- Unconscious or implicit bias refers to associations or attitudes that reflexively alter our perceptions, thereby affecting behavior, interactions, and decision-making.
 - May influence the way information about an individual is processed, leading to unintended disparities
- Implementing organizational and individual strategies to recognize and mitigate unconscious bias can contribute to reducing these disparities
 - Meaningful diversity training
 - Self-reflection on personal biases
 - Questioning and actively countering stereotypes
 - Mentorship and sponsorship
 - Cultural humility and curiosity
 - Intentionally diversifying experiences

“Increased frequency of non-luminal A/high risk of recurrence breast tumors coupled with suboptimal provision of prognostic tests and adjuvant treatment contribute significantly to the higher mortality rates in Black compared to White women with breast cancer.”

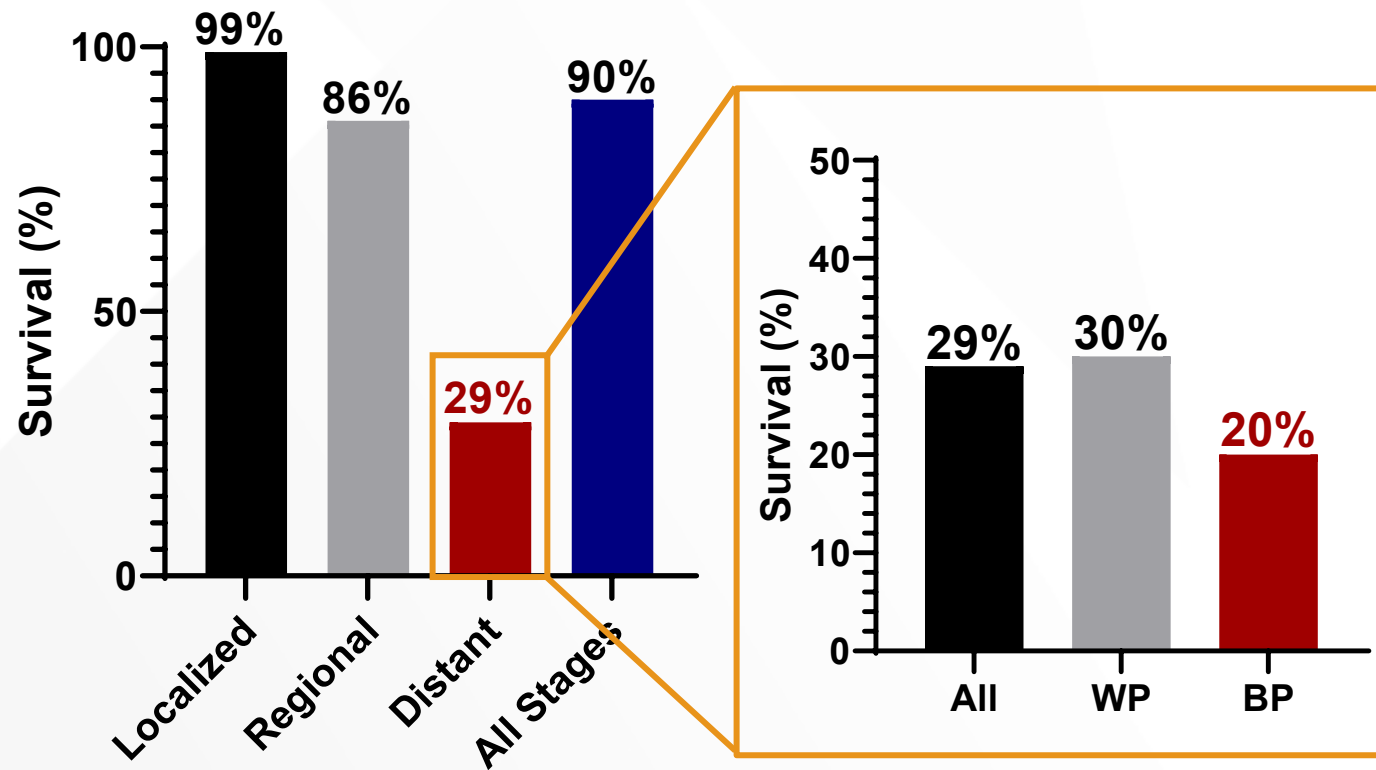
– Lovejoy et al, 2023

Improving Health Outcomes of Racial/Ethnic Minorities

- Racial disparity in breast cancer outcomes is complex
- Various factors contribute to difference in survival rates and outcomes among Black, Hispanic, and White breast cancer patients
 - Non-biological:
 - > Access to care including adherence to endocrine therapy
 - > Access and engagement with screening, mammography, and molecular risk assessment
 - > Delay in referral to cancer providers
 - Biological:
 - > Higher incidence of non-luminal A subtypes (associated with less favorable outcomes)
 - > Higher gene expression-based risk scores
 - > Higher disease stage at presentation for younger patients
 - > Higher 5-year recurrence risk
 - Decreased awareness of cancer risk and/or distrust of the medical system

Distant Metastasis is the Main Reason for Cancer Related Deaths

Five-year relative survival of females with breast cancer, United States 2011-2017



Majority of the breast cancer-related deaths are caused by **DISTANT METASTASES**

Black patients (BP) with breast cancer have 40% higher death rates compared to White patients (WP)

Black Women with ER+ Disease Have Higher Risk of Recurrence

Black race is associated with distant recurrence in ER+/HER2-, but not in TN or HER2+ disease

Randomized Adjuvant Breast Cancer Trials

Study/Cohort	No.	Black	Stage	Black race and risk of recurrence
E1199 (NCT00004125) Sparano JA, et al. <i>J Natl Cancer Inst.</i> , 2012	4,817	405 (8.4%)	II-III	↑ 1.58-fold (p=0.002) in ER+/HER2- disease
E5103 (NCT00433511) Schneider BP, et al. <i>JCO Precision Oncol.</i> , 2017	2,859	386 (13.5%)	II-III	↑ 1.5-fold (p=0.027) in ER+/HER2- disease
Montefiore-Einstein cohort Kabat GC, et al. <i>J Racial Ethn Health Disparities</i> , 2017	3,890	1,394 (35.8%)	I-III	↑ 1.84-fold (p<0.05) in ER+/HER2- disease

↑ Increased

Sparano JA, et al. *J Natl Cancer Inst.* 2012;104(5):406-414. Schneider BP, et al. *JCO Precis Oncol.* 2017;2017:PO.17.00059.
Kabat GC, et al. *J Racial Ethn Health Disparities.* 2017;4(6):1181-1188.
ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; TN, triple-negative.

Case-Based Learning Lab

Case Study Patient Presentation and History

- A 48-year-old premenopausal Black woman palpated a mass in her right breast
- Imaging revealed a 3.5 cm mass
- Biopsy demonstrated a grade 2 invasive lobular carcinoma, ER 95%, PR 95%, HER2 1+
- An enlarged node was noted on axillary ultrasound and FNA was positive for malignant cells
- She underwent upfront surgery and was found to have a 4.1 cm grade 2 invasive lobular cancer, with 2/7 lymph nodes

ER, estrogen receptor; FNA, fine-needle aspiration; HER2, human epidermal growth factor receptor 2; PR, progesterone receptor.

Case Study Audience Question

What would be your next step for this patient?

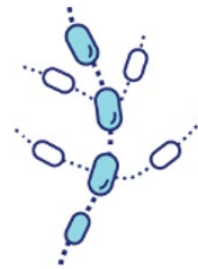
- a) Ki-67 testing
- b) Oncotype Dx testing
- c) Start adjuvant endocrine therapy
- d) Start adjuvant endocrine therapy + chemotherapy
- e) Unsure

Discussion: Risk Assessment

- What factors increase her risk of recurrence?
 - Nodal positivity
 - Grade and stage of disease
 - Positive margins
 - High proliferation rate
 - Younger age
 - HR and HER2 status
- High risk of recurrence based on:
 - Extent of nodal involvement
 - Tumor size
 - Tumor grade

Node-Positive Patients

4+ positive nodes



1-3 positive nodes at least one of the following:

OR



Tumor size \geq 5cm



Grade 3

Which Patients Should Be Considered for Adjuvant Abemaciclib with N+, High-Risk Early-Stage BC?



- ✓ ≥ 4 positive lymph nodes (any T, grade)
- ✓ T3, N1-3 (any grade)
- ✓ T1-2, N1-3, AND grade 3

Risk of Early Breast Cancer Recurrence

Approximately 20-30% of patients with eBC experience relapse^{1,2}

Factors that affect risk of recurrence in people with eBC³⁻⁶:

- Young age at diagnosis

- Tumor morphology (ductal versus lobular)

- Larger tumor size

- Higher tumor grade

- Symptomatic presentation

- Presence of lymphovascular invasion

- Axillary node involvement

- Negative ER or HER2 overexpression

- Positive or close margins

- PR negativity

- High proliferation rate (eg, high Ki-67)

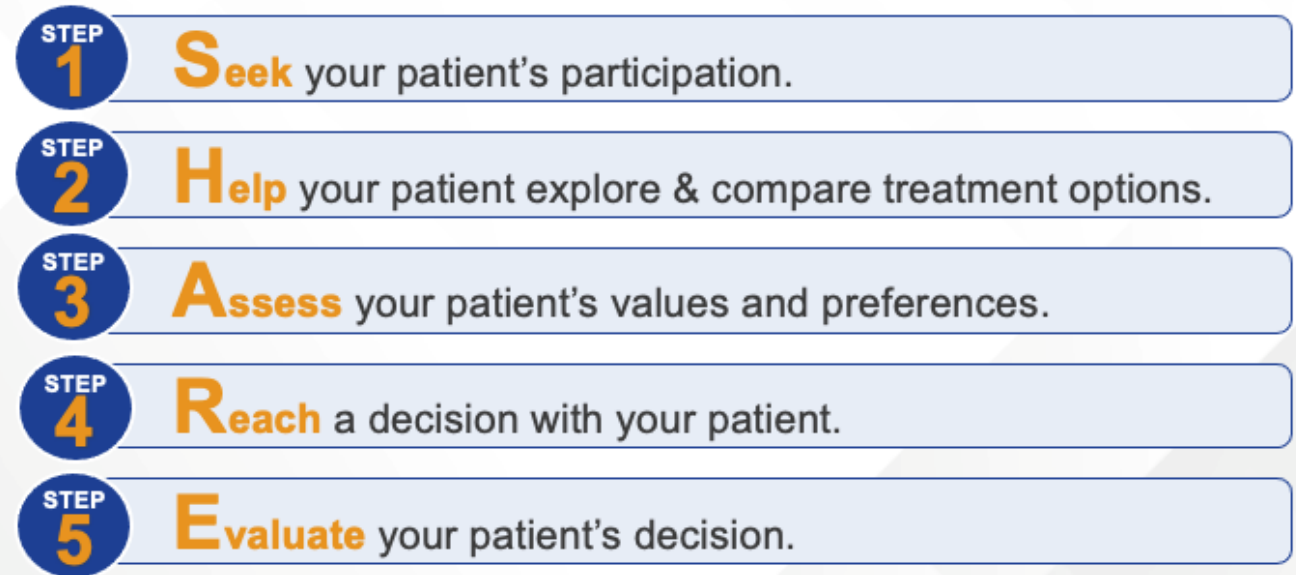
- Metaplastic (vs. non-metaplastic) carcinoma

1. Early Breast Cancer Trialists' Collaborative Group (EBCTCG). *Lancet*. 2005;365(9472):1687-1717. 2. Early Breast Cancer Trialists' Collaborative Group (EBCTCG). *Lancet*. 2015;386(10001):1341-1352. 3. Győrffy B, et al. *Breast Cancer Res*. 2015;17(1):11. 4. Dang CM, Giuliano AE. *Oncology (Williston Park)*. 2011;25(10):895-899. 5. Stuart-Harris R, et al. *Breast*. 2019;44:153-159. 6. Reddy TP, et al. *Breast Cancer Res*. 2020;22(1):121.
eBC, early breast cancer; ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; PR, progesterone receptor.

Case Study Clinical Course

- Oncotype Dx Recurrence Score returned at 11
- Discussed recurrence risk, treatment options, and goals and preferences with patient
- Elected not to administer adjuvant chemotherapy and started her on leuprolide + letrozole

SHARE Decision-Making Model



Case Study Audience Question

Would you add a CDK4/6 inhibitor?

- a) Yes
- b) No
- c) Unsure

Case Study Audience Question

Which CDK4/6 inhibitor would you use?

- a) Abemaciclib
- b) Ribociclib
- c) I would not use a CDK4/6 inhibitor
- d) Unsure

Case Study Clinical Course

- You explain to the patient that adding a CDK 4/6 inhibitor to hormone therapy can reduce her risk of recurrence vs hormone therapy alone by helping to kill cancer cells left behind after surgery, chemotherapy, or radiation
 - 35% reduction in the risk of cancer returning compared with hormone therapy alone
 - Reduces risk of cancer from progressing to incurable metastatic disease
- She was started on abemaciclib
 - For the treatment of HR+, HER2–, node-positive high-risk early breast cancer, NCCN® recommends considering the addition of 2 years of abemaciclib + ET as a Category 1 treatment option
 - High risk defined as ≥ 4 positive lymph nodes, or 1-3 positive lymph nodes with one or more of the following: grade 3 disease, tumor size ≥ 5 cm
- Ribociclib is now also FDA-approved
 - In combination with an aromatase inhibitor for the adjuvant treatment of adults with HR+/HER2- stage II and III early breast cancer at high risk of recurrence

Discussion: Choosing a CDK 4/6 Inhibitor

When would you consider treatment with ribociclib?

NATALEE: Study Design Unique Features

- Adult patients with HR+/HER2- EBC
- Prior ET allowed up to 12 months

Anatomical stage IIA

- N0 with:

- > Grade 2 and evidence of high risk:
 - Ki-67 $\geq 20\%$
 - Oncotype DX Breast Recurrence Score ≥ 26 or
 - High risk via genomic risk profiling
- > Grade 3

- N1

Anatomical stage IIB

- N0 or N1

Anatomical stage III

- N0, N1, N2, or N3

N = 5,101

Randomization stratification

Anatomical stage: II vs III

Menopausal status: men and premenopausal women vs postmenopausal women

Receipt of prior (neo)adjuvant chemotherapy: yes vs no

Geographic location: North America/Western Europe/Oceania vs rest of world

Ribociclib

400 mg/day

3 weeks on/1 week off for

for 3 y

Rationale for Broad Population of Patients
Patients with stage II and III HR+/HER2- EBC, including those with no nodal involvement, are at risk of disease recurrence for decades after initial diagnosis

Primary Endpoint

Rationale for 400 mg RIBOCICLIB

To improve tolerability while maintaining efficacy

Survival

Rationale for 3-year Treatment Duration

Extended duration of treatment is crucial to prolong cell cycle arrest and drive more tumor cells into irreversible senescence

- Gene expression and alterations in tumor ctDNA/ctRNA samples

Case Study Audience Question

- 8 days after starting abemaciclib, the patient developed diarrhea with up to 4 bowel movements per day
- What would you do?
 - a) Discontinue abemaciclib
 - b) Hold abemaciclib and utilize anti-diarrheal therapy; once diarrhea is resolved, re-initiate abemaciclib at a lower dose
 - c) Dose reduce abemaciclib
 - d) Continue abemaciclib and use anti-diarrheal therapy
 - e) Unsure

Case Study Conclusion

- Hold abemaciclib and utilize anti-diarrheal therapy
- Once diarrhea is resolved, re-initiate abemaciclib at a lower dose
- Most cases of diarrhea with abemaciclib + ET were low grade and manageable
- Dose modifications can help improve tolerability
- Increase intake of oral fluids

Case Study: Discussion

- How can we best address and mitigate factors surrounding racial/ethnic disparities among minority patients?
 - Higher risk of recurrent breast tumors
 - > Black race is associated with distant recurrence in ER+/HER2-
 - Access to care
 - > Delay in referral to cancer providers
 - Prognostic testing and risk assessment
 - > Access and engagement with screening, mammography, and molecular risk assessment
 - Intervention: adjuvant treatment
 - Assessing and encouraging adherence to endocrine therapy
 - Discussing recurrence risk
 - > Decreased awareness of cancer risk and/or distrust of the medical system

Shared Decision-Making Guide



The SHARE Decision-Making Approach

- STEP 1** **SEEK** your patient's participation.
- STEP 2** **HELP** your patient explore & compare treatment options.
- STEP 3** **ASSESS** your patient's values and preferences.
- STEP 4** **REACH** a decision with your patient.
- STEP 5** **EVALUATE** your patient's decision.

AHRQ. The SHARE Approach. <https://www.ahrq.gov/health-literacy/professional-training/shared-decision/index.html>

Early Breast Cancer

- Disease confined within the breast and/or neighboring lymph nodes
- ~90% of breast cancer diagnoses are early breast cancer (EBC)
- ~70% of patients with EBC are HR+, HER2-
- ~20% of patients with EBC experience recurrence within 10 years
- Risk of recurrence is highest in the first 2 years following diagnosis
- Patients with disease recurrence have a worse prognosis
- Patients with high-risk clinical and/or pathologic features are more likely to experience recurrence or distant metastases

Redig AJ, McAllister SS. *J Intern Med*. 2013;274(2):113-126. Wang R, et al. *BMC Cancer*. 2019;19(1):1091. Sheffield KH, et al. *Future Oncol*. 2022;18(21):2667-2682. Huzarati LA, et al. *CA Cancer J Clin*. 2023;73(5):480-518. Coleoni M, et al. *J Clin Oncol*. 2016;34(9):927-935. Pan H, et al. *N Engl J Med*. 2017;377(9):1836-1846. Richman J, Dowsett M. *Nat Rev Clin Oncol*. 2019;16(5):296-311. HER2, human epidermal growth factor receptor 2; HR, hormone receptor.

Treatment Options for HR+/HER2- EBC

- Biomarker testing for tumor ER, PR, and HER2 status is recommended for all patients
 - Methods for testing include: PCR, NGS, FISH, and IHC
- Goal of HR+, HER2- EBC treatment: eradicate cancer and prevent disease recurrence
- Standard of care for HR+, HER2- EBC includes locoregional therapies (surgery, radiation therapy) and adjuvant/neoadjuvant systemic therapies (chemotherapy, endocrine therapy)
 - Endocrine Therapy
 - Tamoxifen, aromatase inhibitors
 - Ovarian suppression (LHRH analogues) in high risk premenopausal women
 - Extended adjuvant therapy (10 years vs. 5 years)
 - Adjuvant ET for 5 years results in a substantial reduction in the risk of local recurrence, contralateral BC, distant recurrence, and risk of death
- The addition of chemotherapy to adjuvant ET is recommended in certain patients with HR+/HER2- breast cancer based on recurrence risk
 - Molecular profiling tests help to determine whether to add chemotherapy to ET for patients with HR+/HER2- EBC
 - Gene expression assays critical in determining need for adjuvant chemotherapy:
 - The 21-gene assay (Oncotype Dx) is preferred by the NCCN[®] for prognosis and prediction of chemotherapy benefit

High risk of recurrence based on:

- Extent of nodal involvement
- Tumor size
- Tumor grade

Clinical practice guidelines (NCCN[®]) recommend to consider the addition of a CDK4/6 inhibitor (abemaciclib) to systemic adjuvant ET for node-positive, high-risk, HR+/HER2- EBC patients

Node-Positive Patients

4+ positive nodes OR 1-3 positive nodes at least one of the following:

- Tumor size ≥ 5 cm
- Grade 3

- Potential for expanding adjuvant CDK4/6 inhibitor (ribiciclib) use in a broader patient population
- Stage II and III HR+/HER2- EBC, including those with no nodal involvement

Pan H, et al. *N Engl J Med*. 2017;377(9):1836-1846. Sheffield KH, et al. *Future Oncol*. 2022;18(21):2667-2682. Johnston SRD, et al. *J Clin Oncol*. 2023;41(3):377-390. Grakshar W, et al. NCCN Guidelines (Breast Cancer, Version 2.2024, Markopoulos C, et al. *Eur J Surg Oncol*. 2020;46(4 Pt A):656-666. Blanchette P, et al. *Curr Oncol*. 2022;29(6):2599-2605. Harbeck N, et al. *ESMO 2023, Abstract LBA17, Slamon DJ, et al. *Ther Adv Med Oncol*. 2023;15(1):2023011525. ER, estrogen receptor; ET, endocrine therapy; FISH, fluorescence in situ hybridization; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; IHC, immunohistochemistry; NCCN, National Comprehensive Cancer Network; NGS, next-generation sequencing; PCR, polymerase chain reaction; PR, progesterone receptor.*

Improving Outcomes and Addressing Racial Disparities in Patients With HR+/HER2- Early Breast Cancer

