

Advanced Practice Perspectives on CDK 4/6 Inhibitors:

Paving the Way for HR+, HER2-Negative Early Breast Cancer





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- Kristi K. Orbaugh, MSN, NP, AOCN®, reported a financial interest/relationship or affiliation in the form of Serve(d) as a speaker or a member of a speakers' bureau for: Bristol-Myers Squibb Co; Pfizer, Inc; AstraZeneca Pharmaceuticals LP; Daiichi-Sankyo, Inc; Astellas Pharma US, Inc; Lilly USA; MorphoSys; Immunomedics, Inc; Gilead; and Coherus BioSciences.
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Learning Objectives

Upon completion of this activity, participants should be better able to:

- Evaluate recent evidence supporting the use of CDK 4/6 inhibitors for the adjuvant treatment of HR+, HER2- early breast cancer to prevent early disease recurrences and reduce the risk of distant metastases
- Assess the efficacy of CDK 4/6 inhibitors as adjuvant therapy in high-risk early breast cancer
- Integrate strategies to promote and improve adherence in patients receiving oral CDK 4/6 inhibitors for the treatment of breast cancer

- Develop a plan for assessing, monitoring, and managing side effects that may occur with oral CDK 4/6 inhibitors to prevent and reduce toxicities, treatment delays, and treatment discontinuation
- Implement shared decision-making to foster co-creation of treatment plans, optimal adherence, and management of side effects with patients and their families





Currently Approved CDK 4/6 Inhibitors in HR+, HER2- Advanced/Metastatic Breast Cancer

FDA Approvals: CDK 4/6 Inhibitors in HR+/HER2- Advanced/Metastatic Breast Cancer

CDK 4/6 Inhibitor	FDA Approval Date	Initial Endocrine-based Therapy	FDA Approval Date	After Disease Progression Following Endocrine Therapy
Palbociclib	2/3/15	with letrozole first-line postmenopausal women	2/19/16	with fulvestrant
	3/31/17	with an AI in postmenopausal women		
	4/4/19	with an AI in postmenopausal women or in men		
Ribociclib	3/13/17	with an AI for postmenopausal women	7/18/18	with fulvestrant for postmenopausal women
	7/18/18	with an AI for pre/perimenopausal women		
		with fulvestrant for postmenopausal women		
Abemaciclib	2/26/18	with an AI for postmenopausal women	9/28/17	with fulvestrant
				as monotherapy for adult patients with prior chemotherapy in metastatic setting



Overview of CDK 4/6 Inhibitors: First-Line Treatment

Study/Arms	Phase	N	Median PFS (mo)		HR P	Median OS (mo)		HR	P	
			Placebo	CDK 4/6i			Placebo	CDK 4/6i		
PALOMA-1 ^{1,8} Letrozole ± Palbociclib	2	165	10.2	20.2	0.488	.0004	34.5	37.5	0/897	.281
PALOMA-2 ² Letrozole ± Palbociclib	3	666	14.5	24.8	0.58	.000001	-	-	-	-
MONALEESA-2 ³ Letrozole ± Ribociclib	3	668	16.0	25.3	0.568	9.63 x 10 ⁻⁸	-	-	-	-
MONALEESA-7 ^{4,7} Tamoxifen/NSAI + goserelin ± Ribociclib	3	672	13.0	23.8	0.553	.0000000983	40.9	Not reached	0.712	.00973
MONARCH 3 ^{5,6} NSAIs ± Abemaciclib	3	493	14.76	28.18	0.540	.000002	-	-	•	-



Overview of CDK 4/6 Inhibitors: After Disease Progression Following Endocrine Therapy

Study/Arms	Phase	N	Median PFS (mo)		HR	P	Median OS (mo)		HR	P
			Placebo	CDK 4/6i			Placebo	CDK 4/6i		
PALOMA-3 ^{1,2,8} Fulvestrant ± palbociclib	3	521	4.6	9.5	0.46	.0001	28.0	34.8	0.806	.0221
MONALEESA-3 ^{3,6,9} Fulvestrant ± ribociclib	3	726	12.8	20.5	0.593	.00000041	41.5	53.7	0.726	.0045
MONARCH 2 ^{4,7} Fulvestrant ± abemaciclib	3	669	9.3	16.4	0.553	.000001	37.3	46.7	0.757	.0137

Study/Arms	Phase	N	Investigator-assessed ORR CDK 4/6i
MONARCH1 ⁵ Single-agent abemaciclib	2	132	19.7%



How Do the CDK 4/6 Inhibitors Differ?

HR+/HER2- Advanced or Metastatic Breast Cancer	Palbociclib	Ribociclib	Abemaciclib
Initial endocrine-based therapy in postmenopausal women	with AI	with fulvestrant or Al	with AI
Initial endocrine-based therapy in pre-/perimenopausal women	1	with AI	-
With disease progression following	with fulvestrant	with fulvestrant	with fulvestrant
endocrine therapy			as monotherapy*
Administration	Oral (tablets or capsules)	Oral (tablets)	Oral (tablets)
Recommended starting dose	125 mg	600 mg	with AI or fulvestrant:150 mg
		(three 200 mg tablets)	monotherapy: 200 mg
Dose frequency	Once daily	Once daily	Twice daily
Schedule	21 days on, 7 days off (28 day cycle)	21 days on, 7 days off (28 day cycle)	Continuously until disease progression or unacceptable toxicity
With/without food	With (capsules) With or without (tablets)	With or without	With or without

^{*}In patients with prior chemotherapy in the metastatic setting. See full prescribing information.



CDK 4/6 Inhibitor Trials Summary

- No head-to-head trials among any of the 3 agents
- Similarities
 - All oral agents
 - All indicated for HR+/HER2- advanced or metastatic disease
 - All are given until disease progression or unacceptable toxicity
 - All improved PFS
 - OS benefits have recently been reported





Exploring Emerging Evidence: CDK 4/6 Inhibitors in Adjuvant Early Breast Cancer

Up to 30% of patients with high-risk clinical and/or pathologic features may experience distant recurrence, many in the first few years

Adjuvant treatment: to prevent early recurrence and development of metastases

CDK 4/6 Inhibitors in the Adjuvant Setting

CDK 4/6 Inhibitor	Trial	Setting	Study Arms	Results/Status
Abemaciclib	monarchE NCT03155997	High-risk, node-positive	Abemaciclib + standard adjuvant ET vs standard adjuvant ET alone	2-year iDFS: 92.3% vs 89.3% (HR 0.75) ¹
		HR+, HER2- EBC		Ki-67 ≥20% 2-year iDFS: 91.6% vs 87.1%
				FDA approval in October 2021: abemaciclib with ET (tamoxifen or an AI) for adjuvant treatment of adult patients with HR+, HER2-, node-positive, EBC at high risk of recurrence and a Ki-67 score ≥20%⁴
	ADAPTlate NCT04565054	High-risk HR+, HER2- EBC	Adjuvant dynamic marker-adjusted personalized therapy comparing abemaciclib + standard adjuvant ET vs standard adjuvant ET	Trial recruiting
Palbociclib	PALLAS NCT02513394	HR+, HER2- EBC	Palbociclib + standard adjuvant ET vs standard adjuvant ET alone	Did not improve iDFS 3-year IDFS: 88.2% vs 88.5% (HR 0.93) ²
	PENELOPE-B NCT01864746	HR+, HER2- EBC at high risk of recurrence	Palbociclib + standard adjuvant ET vs placebo + standard adjuvant ET	Did not improve iDFS 3-year IDFS: 81.2% vs 77.7% (HR 0.93) 4 year IDFS: 73% vs 72.4% ³
Ribociclib	NATALEE NCT03701334	HR+, HER2- EBC	Ribociclib + ET vs ET	Recently completed enrollment
	ADAPTcycle NCT04055493	Intermediate-risk HR+, HER2- EBC	Adjuvant dynamic marker-adjusted personalized therapy comparing ET + ribociclib vs chemotherapy	Trial recruiting



CDK 4/6 Inhibitors in the Neoadjuvant Setting

CDK 4/6 Inhibitor	Trial	Setting	Study Arms	Results/Status
Abemaciclib	CARABELA NCT04293393	HR+, HER2- high/intermediate risk breast cancer	Chemotherapy vs letrozole + abemaciclib	Trial recruiting
	neoMONARCH NCT02441946	HR+, HER2- EBC	Abemaciclib + anastrozole vs abemaciclib vs anastrozole	Abemaciclib + anastrozole induced complete cell cycle arrest, the primary end point, as measured by Ki67 for 67.8% of patients ¹
Palbociclib	PALLET NCT02296801	ER+, HER2- EBC	Letrozole + palbociclib vs letrozole alone	Palbociclib + letrozole increased rates of complete cell-cycle arrest, reduced apoptosis, and did not significantly improve clinical response rate ²
Ribociclib	FELINE NCT02712723	ER+, HER2- EBC	Letrozole + ribociclib vs letrozole + placebo	Trial active, not recruiting



monarchE Trial Design

R 1:1

HR+/HER2-, node+ high risk early breast cancer N=5,637^a

- Women or men
- Pre-/post menopausal
- •With or without prior neo- and/or adjuvant chemotherapy
- No distant metastasis
- •Maximum of 16 months from surgery to randomization and 12 weeks of ET following the last non-ET

Cohort 1: Inclusion based on clinicopathological risk factors:

- ≥4 ALN or
- 1–3 ALN and at least 1 of the below:
 - G3 disease
 - Tumor size ≥5 cm

ITT includes both C1 and C2

Cohort 2: Inclusion based on Ki-67:

- 1–3 ALN and
- Centrally tested Ki-67 ≥20%^d
- No G3 or tumor size ≥5 cm

Abemaciclib

150 mg twice daily for up to 2 years^b
+ standard of care endocrine therapy^c
5–10 years as clinically indicated

Standard of care endocrine therapy^{b,c}

5–10 years as clinically indicated

Primary Endpoint:

iDFS (STEEP criteria)

Key Secondary Objectives:

 iDFS in Ki-67 high (≥20%) population, DRFS, overall survival, safety, patient reported outcomes, and pharmacokinetics

Stratified for:

- Prior chemotherapy
- Menopausal status
- Region

pharma pharma





monarchE Trial: Key Endpoints

- Primary key endpoint: invasive disease-free survival
- Secondary endpoints:
 - Invasive disease-free survival in patients with high Ki-67expression
 - Distant relapse-free survival
 - Overall survival
 - Safety
 - Patient-related outcomes
- Median follow-up: 19.1 months in both arms



monarchE Trial

- Phase 3 trial
- Comparing adjuvant abemaciclib 150 mg bid + endocrine therapy vs endocrine therapy alone for a 2-year duration
- Patients with HR+, HER2-, node positive, high-risk early breast cancer
- Patients continued their standard of care endocrine therapy for a total of 5-10 years as clinically indicated
- Included pre- and postmenopausal women and men
- All patients underwent surgery, radiation therapy, and /or chemotherapy as clinically indicated

- Eligible patients were at <u>increased risk</u> for recurrence based on clinicopathologic risk factors including:
 - Number of positive nodes
 - Tumor size
 - Histologic grade
 - Ki-67 expression



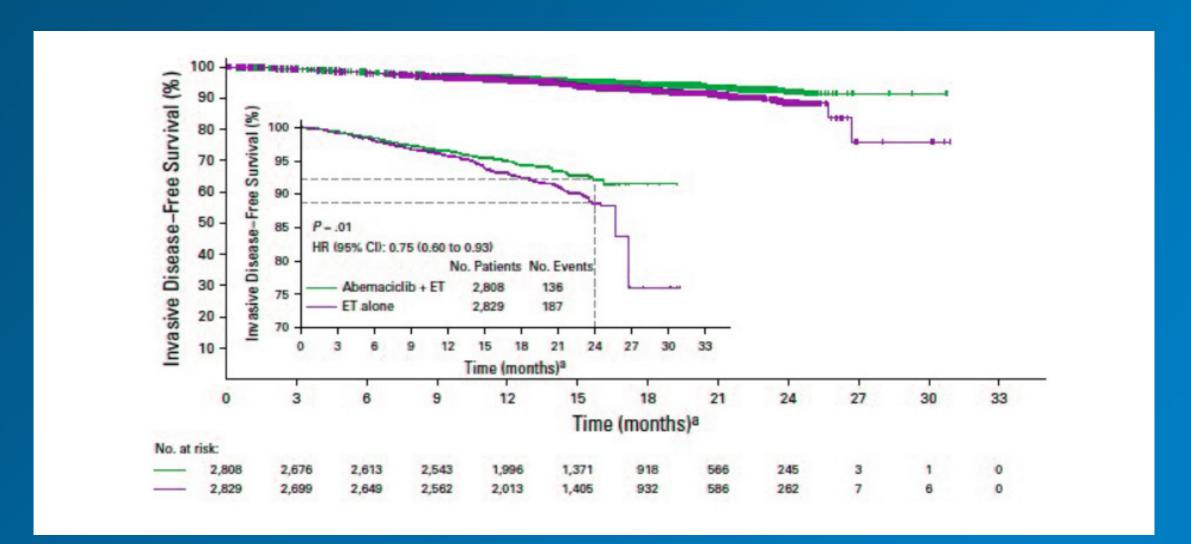
monarchE Trial: Key Findings

- Statistically significant and clinically meaningful improvement in iDFS in patients treated with abemaciclib compared to endocrine therapy alone:
 - 2-year iDFS: 92.3% vs 89.3%
 - Nominal P = .0009
 - HR 0.713
- Abemaciclib used in combination with standard endocrine therapy significantly decreased the risk of invasive disease by 28.7% compared to standard adjuvant endocrine therapy alone in people with HR+, HER2-, node-positive, high-risk early breast cancer

- Ki-67 ≥20% shown to be a clinicopathological feature that could be used for identifying high-risk patients
 - Benefit from abemaciclib was seen independent of Ki-67 level
 - 2-year iDFS rate in Ki-67 high population: 91.6% vs 87.1%
 - P = .0111
 - HR 0.691

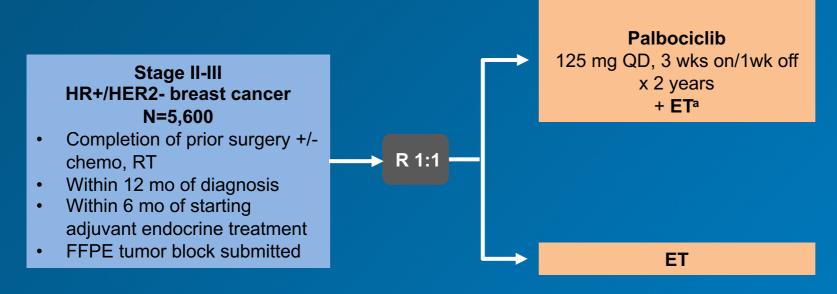


monarchE Trial: Key Findings





PALLAS Trial Design



Primary Endpoint:

•iDFS

Stratification:

- Stage (IIA vs IIB/III)
- Chemotherapy (yes vs no)
- •Age (≤50 vs >50 yr)
- Geographic region

(N America vs Europe vs other)





PALLAS Trial

- Phase 3 trial
- Investigating the addition of 2 years of palbociclib to standard adjuvant endocrine treatment (HR+, HER2-)
- Patients with stage II and stage III invasive breast cancer were included
- Had to have completed definitive breast surgery, adjuvant or neoadjuvant chemotherapy, and/or RT

- Stratified by anatomic stage, previous adjuvant or neoadjuvant chemotherapy, age, and region
- Randomized 1:1 to palbociclib 125 mg po daily d1-21 every 28 days plus standard adjuvant endocrine therapy vs endocrine therapy alone
- Palbociclib was given for 2 years; endocrine therapy was given for at least 5 years



PALLAS Trial: Results

- In the second interim analysis, the addition of palbociclib to adjuvant endocrine therapy did not improve invasive disease-free survival compared to endocrine therapy alone
 - 3-year invasive disease-free survival: 88.2% vs 88.5%
 - HR 0.93
 - log-rank *P* = .51

- Analysis was done after 67% of expected invasive disease-free survival events had occurred
- Post-hoc analyses did not demonstrate any subgroups that appeared to benefit from the addition of palbociclib



PENELOPE-B Trial Design

Palbociclib 125 mg QD, days 1-21 followed by Residual high-risk 7 days off in a 28-day cycle for 13 cycles invasive HR+/HER2-+ standard ET early breast cancer N=1,250 Residual invasive disease after neoadjuvant chemotherapy and surgery **Placebo** +/- radiation therapy QD, days 1-21 followed by 7 days off in a 28-day cycle for 13 cycles + standard ET

Primary Endpoint:

•iDFS

Secondary Endpoints:

•iDFS excluding second primary invasive non-BC, safety, DRFS, LRRFS, OS

Stratification:

- Lymph node status (at surgery)
- •Age
- •Ki-67
- Global region
- •CPS-EG score



BC, breast cancer; CPS-EG, pretreatment clinical stage and post-treatment pathologic stage + estrogen receptor status and tumor grade; DRFS, distant recurrence-free survival; EBC, early breast cancer; ET, endocrine therapy; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; iDFS, invasive disease-free survival; LRRFS, locoregional recurrence-free survival; OS, overall survival; QD, once daily; R, randomized.

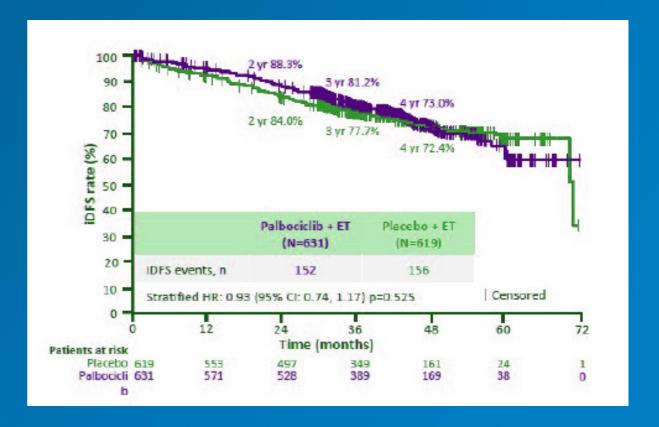
PENELOPE-B Trial

- Phase 3 double blind study
- Women with HR+, HER2- breast cancer without a complete pathologic response after a neoadjuvant taxane-containing regimen
- Randomized 1:1 to receive 13 cycles of palbociclib 125 mg daily days 1-21 in a 28-day cycle plus ET vs placebo plus ET, which was given for at least 5 years
- Primary endpoint: iDFS
- Median follow-up: 42.8 months



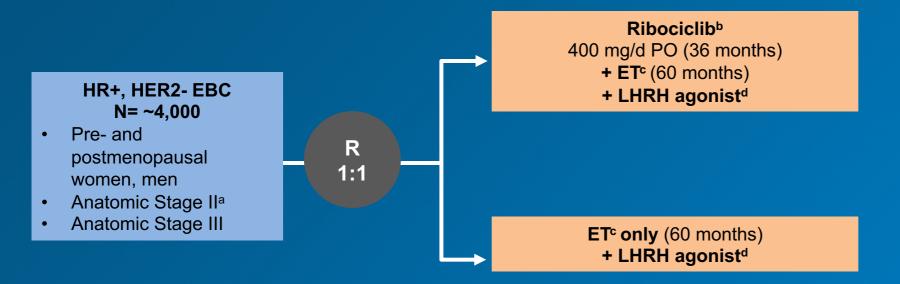
PENELOPE-B Trial: Results

- Palbociclib for 1 year in addition to standard of care ET did not improve iDFS in women with residual invasive disease after neoadjuvant chemotherapy
 - Estimated 3-year iDFS: 81.2% vs 77.7%
 - HR 0.93





NATALEE Trial Design



Primary Endpoint:

iDFS

Secondary Endpoints:

- Recurrence-free survival
- Distant disease-free survival
- Overall survival
- Patient-reported outcomes
- PK parameters
- Safety and tolerability

^aStage II: N1 or N0 (T2-3, N0) with G2-3 and/or Ki67 ≥ 20% (testing for Ki67 not mandatory), excluding G1.

b3 weeks on/1 week offl, 36 months (-39 cycles).

^cLetrozole or anastrozole; treatment with NSAI may start up to 12 months before study treatment start date.

dGoserelin in premenopausal women and men.

EBC, early breast cancer; ET, endocrine therapy; HER2-, human epidermal growth factor receptor-2-negative; HR+, hormone receptor-positive; LHRH, luteinizing hormone-releasing hormone; NSAI, nonsteroidal aromatase inhibitor; PK, pharmacokinetic; PO, orally; R, randomization.

Slamon et al. *J Clin Oncol*. 2019;37(15):TPS597.



NATALEE Trial

- Phase 3, open label trial evaluating the efficacy and safety of ribociclib plus ET vs ET alone as adjuvant treatment in women and men with HR+, HER2- early breast cancer
- Includes stage II and III patients
- Two interim analyses are planned

- Patients will be stratified by anatomic stage, menopausal status, prior (neo)adjuvant chemotherapy and geographical region
- Dose of ribociclib will be 400 mg po daily for 21 days on and 7 days off





Understanding What Constitutes a "High-Risk" Patient and How This May Inform Which Patients Will Most Likely Benefit From a CDK 4/6 Inhibitor in Early-Stage Disease

Disease Staging

- T,N,M classificastion
- Size of primary tumor

- Nodal status
- Metastatic sites



Pathology

- Tumor morphology
- Histologic grade
- Differentiation

- Hormone status
- HER2 status
- Ki-67 expression



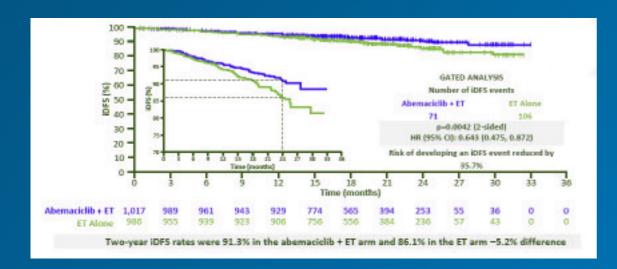
Ki-67

- Ki-67 is a protein that is associated with cellular proliferation
- As cells are dividing more rapidly, eg, cancer cells, the expression of Ki-67 increases; thus, a higher Ki-67 score represents a higher grade or more aggressive cancer
- Ki-67 protein level is determined based on staining of pathologic tissue from breast cancer samples
 - -<10% staining = low, 10%-20% = borderline, >20% = high
- Ki-67 biomarker can be used to predict response as well as provide a prognosis for likelihood of survival



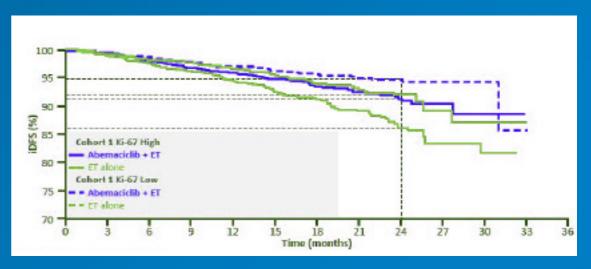
monarchE: High Ki-67 as a Biomarker for Identifying Patients With High-Risk EBC

Significant and clinically meaningful improvement in iDFS in patients with high Ki-67 tumors



Among patients with high clinicopathological risk factors, patients with high Ki-67 tumours had a greater risk of disease recurrence

 Patients with high Ki-67 tumors had an even greater risk of disease recurrence than those with low Ki-67 tumors – confirming the prognostic value of Ki-67





Other Factors to Consider

- o Age
- Menopausal status (SOFT trial)
- Race
- Molecular subtypes
 - Luminal A HR+/HER2-
 - Luminal B HR+/HER2+
 - Triple Negative HR-/HER2-
 - HER2-positive



Gene Expression Assays

- Oncotype DX
- MammaPrint
- Category 1 NCCN Guidelines[®]



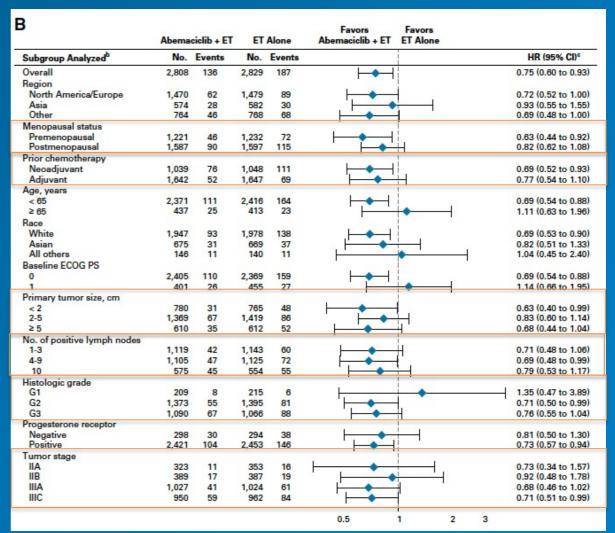
monarchE: High-Risk Disease and Subgroup Analysis

iDFS of Patient Subgroups

- High risk defined as:
 - ≥4 positive pathologic axillary lymph nodes

OR

- 1-3 positive axillary lymph nodes
 and at least 1 of the following:
 - Tumor size ≥5 cm
 - Histologic grade 3
 - Centrally assessed Ki-67 ≥20%





monarchE trial: Patients Who Received Neoadjuvant Chemotherapy

- Patients with HR+, HER2- EBC who received neoadjuvant chemotherapy were noted to be at a higher risk of recurrence
- Abemaciclib + ET demonstrated treatment benefit in iDFS vs ET alone
 - HR: 0.614
 - 2-year iDFS rates: 87.2% vs 80.6%
- Addition of abemaciclib to ET resulted in an improvement in distant relapsefree survival
 - HR: 0.609
 - 2-year distant relapse-free survival rates: 89.5% and 82.8%





Evaluating Nuances Across Early BreastCancer Clinical Trials

Nuances Across Early Breast Cancer Clinical Trials

	monarchE: abemaciclib	PALLAS: palbociclib	PENELOPE-B: palbociclib	NATALEE: ribociclib	
Patients	 High-risk disease: ≥4 positive nodes Or 1-3 positive nodes with one of the following risk factors: Ki-67 expression ≥20% Grade 3 Tumor size ≥5 cm 	 Initially designed with broad eligibility criteria Stage II included Approx. 13% of patients in each arm were node negative Enrolled within 6 months of adjuvant therapy and 12 months of diagnosis Many patients discontinued due to protocol requirements 	 Chemotherapy given neoadjuvantly Included patients without a pCR after a taxane-containing regimen Most patients had tumors with low Ki-67 expression at surgery; 25% had tumors with high Ki-67 expression Palbociclib given for 1 year; ET given for 5 years 	 Treatment with ribociclib expected to last up to 36 months; treatment with ET will last up to 60 months Tumor tissue samples will be collected to identify biomarkers that might predict benefit Ribociclib dosing: 400 mg daily in the adjuvant trial; 600 mg daily in metastatic 	
Efficacy	 Statistically significant & clinically meaningful improvement in iDFS for abemaciclib vs ET alone Curves separated at 9 to 12 months Duration of follow-up: 19.1 months Most frequent AEs in abemaciclib arm: diarrhea, neutropenia, fatigue Dose adjustments due to AEs: 68.1% Discontinuation due to AEs: 16.6% Discontinued both treatments: 6.2% 	 Addition of palbociclib to adjuvant ET did not improve iDFS compared to ET alone Post-hoc analyses: no subgroup appeared to benefit from addition of palbociclib Median follow-up: 23.7 months 	 No statistical evidence of improvement with the addition of palbociclib plus ET At year 4, curves came together None of the prespecified subgroups benefited from palbociclib Median follow-up: 42.8 months 	Trial recently completed enrollment	
	2-year iDFS: 92.3% vs 89.3%	3-year iDFS: 88.2% vs 88.5%	3 year iDFS: 81.2% vs 77.7%		
	2-year iDFS in Ki-67 ≥20%: 91.6% vs 87.1%				



Points To Consider

- Drug duration and drug exposure
- Discontinuation rate
- Intermittent dosing
- Heterogenicity of breast cancer
- Tumor type: luminal A and luminal B

- Length of follow-up
- Differences in CDK 4/6 inhibitor
- Currently no biomarker is available to select which patient would benefit from CDK 4/6 inhibitors



Shared Decision Making: Collaborative Approach

- SDM occurs when a healthcare provider and a patient work together to make a healthcare decision that is best for the patient
- Optimal decision takes into account:
 - evidence-based information about available options
 - provider's knowledge and experience
 - patient's values and preferences

- SDM includes exploring and comparing the benefits, harms, and risks of each option through meaningful dialogue about what matters most to the patient
- Patients and their families/caregivers who are engaged in an SDM process are more likely to arrive at a treatment decision that works best for all those involved



Key Counseling Questions

- Who is counseling the patient on the medication?
- Who is assessing for drug-drug interactions?
- Who is monitoring the patient for toxicity?





Key Takeaways and Conclusions

- CDK 4/6 inhibitors are a new class of drug for treating HR+/HER2- advanced breast cancer
 - Currently, 3 of these agents have been approved by the FDA in the metastatic setting
- Abemaciclib in combination with ET demonstrated efficacy for patients with HR+/HER2-, node-positive, high-risk EBC
 - Now FDA-approved for adjuvant treatment of adult patients with HR+, HER2-, node-positive, EBC at high risk of recurrence and a Ki-67 score ≥20%, as determined by an FDA approved test
- While well-tolerated in clinical trials for metastatic disease, nurses should be aware of potential drug toxicities and barriers to adherence, especially in the adjuvant setting
- Monitoring for safety and adherence is critical





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Paving the Way for HR+, HER2-Negative Early Breast Cancer

