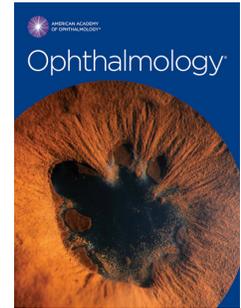


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# The Port Delivery System with Ranibizumab for Neovascular Age-Related Macular Degeneration: Results from the Randomized Phase 2 Ladder Clinical Trial

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**Supplemental materials:** This article contains additional online-only material. The following should appear online-only: Tables S1–S5, Figures S1–S4, Videos S1–S2, Appendices S1–S3.

## Previous presentation

Portions of these data were presented at the American Society of Retina Specialists 2018 Annual Meeting, Vancouver, British Columbia, Canada, July 20–25, 2018; the Retina Society 2018 Annual Scientific Meeting, San Francisco, California, September 12–15, 2018; the EURETINA 2018 Congress, Vienna, Austria, September 20–23, 2018; the American Academy of Ophthalmology 2018 Annual Meeting; Chicago, Illinois, October 27–30, 2018; the Bascom Palmer Eye Institute Angiogenesis, Exudation, and Degeneration 2019 Meeting, Miami, Florida, February 9, 2019; the 42<sup>nd</sup> Annual Macula Society Meeting, Bonita Springs, Florida, February 13–16, 2019; and the EURETINA 9<sup>th</sup> Winter Meeting, Prague, Czech Republic, March 1–2, 2019.

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66

**67 Running head**

68 Phase 2 Trial of the Port Delivery System with Ranibizumab

69

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74

**75 Abbreviations and Acronyms:**

76 **ADA** = antidrug antibody; **AE** = adverse event; **BCVA** = best-corrected visual acuity; **CFT** =  
77 central foveal thickness; **CI** = confidence interval; **CNV** = choroidal neovascularization;  
78 **ETDRS** = Early Treatment Diabetic Retinopathy Study; **HR** = hazard ratio; **ILM** = inner  
79 limiting membrane; **MMRM** = mixed-effect model repeated measures; **nAMD** = neovascular  
80 age-related macular degeneration; **NA** = not applicable; **NE** = not evaluable; **NI** =  
81 noninferiority; **PDS** = Port Delivery System with ranibizumab; **PED** = pigment epithelial  
82 detachment; **RPE** = retinal pigment epithelium; **SAE** = serious adverse event; **SD** = standard  
83 deviation; **SD-OCT** = spectral domain optical coherence tomography; **VEGF** = vascular  
84 endothelial growth factor.

85

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87 **Abstract**

88 **Purpose:** To evaluate the safety and efficacy of the Port Delivery System with ranibizumab  
89 (PDS) for neovascular age-related macular degeneration (nAMD) treatment.

90 **Design:** Phase 2, multicenter, randomized, active treatment–controlled clinical trial.

91 **Participants:** Patients diagnosed with nAMD within 9 months who had received  $\geq 2$  prior  
92 anti–vascular endothelial growth factor intravitreal injections and were responsive to  
93 treatment.

94 **Methods:** Patients were randomized 3:3:3:2 to receive the PDS filled with ranibizumab 10  
95 mg/mL, 40 mg/mL, and 100 mg/mL formulations or monthly intravitreal ranibizumab 0.5 mg  
96 injections.

97 **Main Outcome Measures:** Time to first implant refill assessed when the last enrolled patient  
98 completed the month 9 visit (primary efficacy endpoint); improvement in best-corrected visual  
99 acuity (BCVA) and central foveal thickness (CFT); and safety.

100 **Results:** The primary analysis population was 220 patients, with 58, 62, 59, and 41 patients  
101 in the PDS 10 mg/mL, 40 mg/mL, and 100 mg/mL arms and the monthly intravitreal  
102 ranibizumab 0.5 mg arm, respectively. Median time to first implant refill was 8.7, 13.0, and  
103 15.0 months in the PDS 10 mg/mL, 40 mg/mL, and 100 mg/mL arms, respectively. At month  
104 9, the adjusted mean BCVA change from baseline was  $-3.2$ ,  $-0.5$ ,  $+5.0$ , and  $+3.9$  Early  
105 Treatment Diabetic Retinopathy Study letters in the PDS 10 mg/mL, 40 mg/mL, and 100  
106 mg/mL arms and the monthly intravitreal ranibizumab 0.5 mg arms, respectively. At month 9,  
107 the adjusted mean CFT change from baseline was similar in the PDS 100 mg/mL and the  
108 monthly intravitreal ranibizumab 0.5 mg arms. The optimized PDS implant insertion and refill  
109 procedures were generally well tolerated. After surgical procedure optimization, postoperative

110 vitreous hemorrhage rate was 4.5% (7/157; 1 event classified as serious). There was no  
111 evidence of implant clogging.

112 **Conclusions:** In the phase 2 Ladder trial, the PDS was generally well tolerated and  
113 demonstrated a dose response across multiple endpoints in patients with nAMD. The PDS  
114 100 mg/mL arm had visual and anatomic outcomes comparable with monthly intravitreal  
115 ranibizumab 0.5 mg injections, but with a reduced total number of ranibizumab treatments.  
116 The PDS has the potential to reduce treatment burden in nAMD while maintaining vision.

## 117 **Introduction**

118 Intravitreal anti-vascular endothelial growth factor (VEGF) therapy is the accepted standard of  
119 care for patients with neovascular age-related macular degeneration (nAMD).<sup>1,2</sup> Despite the  
120 documented benefits of anti-VEGF treatment, a great challenge has been translating the  
121 vision improvements achieved in clinical trials to patients in real-world clinical practice. In  
122 clinical trials, anti-VEGF-treated patients consistently experienced 1- to 2-line vision gains  
123 from baseline, with the highest benefit observed in patients who were monitored and treated  
124 monthly.<sup>3-7</sup> In contrast, in observational studies tracking patient outcomes in clinical practice,  
125 vision gains from baseline are generally limited to < 1 line of vision.<sup>8-13</sup> Part of the gap  
126 between clinical trial results and clinical practice outcomes may be a result of the high  
127 treatment burden associated with nAMD management and treatment.<sup>14-17</sup> Observational data  
128 indicate that patients are monitored and treated less frequently, potentially contributing to the  
129 poorer vision outcomes compared with clinical trial results.<sup>3-13</sup> Thus, difficulty with maintaining  
130 office visit and injection frequency is a major problem that adversely impacts patient  
131 outcomes, and new approaches to prolonged VEGF suppression are needed.

132 The Port Delivery System with ranibizumab (PDS) is a novel, innovative, long-acting  
133 drug delivery system with the potential to reduce treatment burden while maintaining optimal  
134 vision outcomes by enabling the continuous delivery of a customized formulation of  
135 ranibizumab into the vitreous. The PDS includes a permanent, refillable implant that is  
136 surgically inserted through a small incision in the sclera and pars plana. A self-sealing  
137 septum in the center of the implant flange allows access to the implant reservoir for drug  
138 replenishment without the need to remove the implant from the eye (Fig 1). Ranibizumab  
139 moves by passive diffusion down a concentration gradient from the implant reservoir, through  
140 a porous metal release control element specifically designed for ranibizumab, and into the

141 vitreous cavity. This passive diffusion through the release control element results in the  
142 controlled continuous release of ranibizumab into the vitreous over time.

143 A phase 1 study in patients with nAMD demonstrated that the PDS was well tolerated,  
144 and secondary outcomes, including change from baseline in best-corrected visual acuity  
145 (BCVA) and implant functionality, supported further investigation.<sup>18</sup> The PDS used in the  
146 phase 1 study was a prototype that allowed proof-of-concept testing. Subsequently,  
147 numerous technical improvements were made to ensure reliability, durability, and drug  
148 exchange, and to enable high-volume manufacturability. The phase 2 Ladder trial  
149 (ClinicalTrials.gov NCT02510794), whose primary analysis results are reported herein,  
150 assessed the safety and efficacy of the technically improved PDS in patients with nAMD  
151 responsive to anti-VEGF treatment.

## 152 **Methods**

### 153 **Study Design**

154 The Ladder trial is an ongoing phase 2, multicenter, randomized, active treatment–controlled,  
155 dose-ranging clinical trial of the PDS for nAMD conducted at 49 sites in the United States  
156 (see Appendix S1, available at [www.aaojournal.org](http://www.aaojournal.org), for full list of investigators and study  
157 sites). The trial adhered to the tenets of the Declaration of Helsinki<sup>19</sup> and was conducted in  
158 accordance with the International Conference on Harmonisation E6 Guidelines for Good  
159 Clinical Practice<sup>20</sup> and with applicable local, state, and federal laws. All trial sites received  
160 institutional review board approval before trial initiation and all patients provided written  
161 informed consent before enrollment. All results reported herein are for the completed primary  
162 analysis.

**163 Study Population**

164 Eligible patients were age  $\geq 50$  years with anti-VEGF–responsive nAMD in the study eye  
165 diagnosed within the 9 months before screening (see Appendix S2, available at  
166 [www.aajournal.org](http://www.aajournal.org)). Patients had to have received  $\geq 2$ , but not more than 9, injections with  
167 any anti-VEGF agent in the study eye. To meet anti-VEGF responsiveness criteria, the study  
168 eye must either have demonstrated a documented decrease in central foveal thickness (CFT)  
169 of 50  $\mu\text{m}$  or stable or improved BCVA following intravitreal anti-VEGF treatment initiation.  
170 Prescreening with run-in intravitreal ranibizumab treatment was available to eligible patients  
171 to determine eligibility. All nAMD choroidal neovascularization (CNV) lesion subtypes were  
172 permitted and patients were required to have Snellen equivalent BCVA of 20/20–20/200  
173 using Early Treatment Diabetic Retinopathy Study (ETDRS) charts. Diagnosis of nAMD and  
174 CNV features were confirmed at screening by a central reading center. Investigators  
175 confirmed anti-VEGF responsiveness and all other inclusion and exclusion criteria. Key  
176 ocular exclusion criteria were subfoveal fibrosis, atrophy, or large submacular hemorrhage in  
177 the study eye. Treatment with oral anticoagulants or antiplatelets other than aspirin was also  
178 exclusionary for the main Ladder trial.

**179 Randomization, Intervention, and Masking**

180 Patients were randomly assigned 3:3:3:2 to treatment with the PDS filled with ranibizumab 10  
181 mg/mL, 40 mg/mL, or 100 mg/mL formulations or to treatment with monthly intravitreal  
182 ranibizumab 0.5 mg injections (Lucentis<sup>®</sup>, Genentech, Inc., South San Francisco, CA). For  
183 PDS patients, implant refills were performed on a pro re nata basis according to predefined  
184 criteria. Trial duration was up to ~38 months. Randomization was performed by interactive  
185 voice/web response system and stratified based on BCVA score ( $\leq 65$  ETDRS letters vs.  $\geq 66$   
186 ETDRS letters) and number of prior intravitreal anti-VEGF injections ( $\leq 3$  injections vs.  $\geq 4$   
187 injections). Visual acuity assessors were masked to both the patient study eye and patient

188 treatment. Within the PDS treatment arms, patients and all study site personnel were masked  
189 to ranibizumab formulation assignment. Patients and other study site personnel were not  
190 masked regarding patient assignment to either PDS treatment or monthly intravitreal  
191 ranibizumab 0.5 mg injections.

## 192 **Study Treatments and Assessments**

### 193 *Port Delivery System with Ranibizumab Implant*

194 The PDS consists of a surgically implanted, refillable intraocular implant (Fig 1) designed for  
195 the continuous delivery of a customized formulation of ranibizumab, as well as ancillary  
196 devices for the surgical, initial fill, and in-office refill procedures. In Ladder, the PDS was  
197 tested with 3 customized ranibizumab formulations (10 mg/mL, 40 mg/mL, and 100 mg/mL).

### 198 *Port Delivery System with Ranibizumab Implant Insertion and Removal Surgery*

199 Implant insertion was performed in an operating room under local anesthesia, using standard  
200 sterile aseptic surgical techniques. After conjunctival peritomy in the superotemporal  
201 quadrant, a stab incision at the pars plana was performed 4 mm posterior to the limbus  
202 (original surgical technique); alternatively, a scleral dissection followed by ablation of the  
203 exposed pars plana with 532-nm laser with additional diathermy as required was performed  
204 (optimized surgical technique, implemented in the May 2016 Instructions for Use procedure  
205 update). The implant, filled in the operating room with 1 of the 3 ranibizumab formulations,  
206 was then inserted in the scleral wound using the PDS insertion tool, followed by careful  
207 suturing of conjunctiva and Tenon's capsule to provide good coverage of the implant flange  
208 (Video S1, available at [www.aaojournal.org](http://www.aaojournal.org)). When required by the protocol, implant removal  
209 was performed using the customized PDS explant tool. The procedure was performed in an  
210 operating room using standard sterile aseptic techniques and local anesthesia.

211 *Port Delivery System with Ranibizumab Implant Refill Procedure*

212 When required, implant refill procedures were performed in office as part of the monthly study  
213 visit. Briefly, using standard aseptic techniques and local anesthesia, the PDS refill needle  
214 was inserted perpendicularly through the conjunctiva and the center of the underlying implant  
215 septum. For each refill, 0.1 mL of the specified ranibizumab formulation was injected into the  
216 implant using a dual lumen refill needle that simultaneously withdraws the preexisting  
217 ranibizumab solution remaining in the implant, ensuring total fluid exchange of old drug with  
218 new drug in the reservoir (Video S2, available at [www.aaojournal.org](http://www.aaojournal.org)).

219 *Port Delivery System with Ranibizumab Implant Refill Criteria*

220 All PDS patients were assessed at each monthly visit and implant refills were performed if  
221 any of the following occurred due to nAMD disease activity: 1) increase in CFT  $\geq 75$   $\mu\text{m}$  on  
222 spectral domain optical coherence tomography (SD-OCT) at the current visit compared with  
223 the average CFT over the last 2 available measurements, 2) increase in CFT of  $\geq 100$   $\mu\text{m}$   
224 from the lowest CFT measurement on study, 3) decrease of  $\geq 5$  letters in BCVA at the current  
225 visit compared with the average BCVA over the last 2 available measurements, 4) decrease  
226 of  $\geq 10$  letters from best recorded BCVA on study, or 5) presence of new macular  
227 hemorrhage. Best-corrected visual acuity and CFT criteria were slightly modified during the  
228 trial; see Appendix S3, available at [www.aaojournal.org](http://www.aaojournal.org), for a full description of modifications.

229 *Port Delivery System with Ranibizumab Rescue Criteria and Treatment*

230 Open-label intravitreal ranibizumab 0.5 mg injections were available to all PDS patients 1–2  
231 months after vitreous hemorrhage associated with BCVA loss, if neither assessment of the  
232 macula nor SD-OCT could be performed, if lack of clinical efficacy criteria were met, or in  
233 case of progressive worsening of BCVA and/or CFT over 2 consecutive visits due to nAMD  
234 disease activity that did not hit thresholds to trigger a refill (discussion with medical monitor  
235 necessary). Lack of clinical efficacy was defined as: 1) BCVA loss of  $\geq 15$  letters from best

236 recorded BCVA following 2 consecutive implant refills occurring 1 month apart due to nAMD  
237 disease activity unless there was  $\geq 5$ -letter increase in BCVA that would trigger an implant  
238 refill, or 2) an increase in CFT of  $\geq 150 \mu\text{m}$  from lowest recorded CFT measurement following  
239 2 consecutive implant refills occurring 1 month apart unless there was a decrease in CFT  $\geq$   
240  $75 \mu\text{m}$  from last refill that would trigger implant refill (Appendix S3).

241 When the trial started, implant removal was mandated if lack of clinical efficacy criteria  
242 were met. Subsequent internal assessment of 8 explanted implants determined that lack of  
243 clinical efficacy was not associated with inadequate implant performance or implant clogging.  
244 The trial protocol was then amended so patients meeting lack of clinical efficacy criteria could  
245 keep the implant in the eye, receive a rescue open-label intravitreal ranibizumab 0.5 mg  
246 injection, and undergo a mandatory implant refill with the 100 mg/mL formulation at the next  
247 monthly visit. At all subsequent visits, patients were assessed for implant refill criteria, and if  
248 criteria were met, implant refill was performed with the ranibizumab 100 mg/mL formulation  
249 (Appendix S3).

#### 250 *Monthly Intravitreal Ranibizumab 0.5 mg Injections*

251 In the control arm, patients received intravitreal ranibizumab 0.5 mg injections (50  $\mu\text{L}$  of the  
252 10 mg/mL US Food and Drug Administration–approved formulation) at day 1 and then at  
253 each monthly visit through trial completion.

#### 254 *Assessments*

255 Standard safety and ocular assessments, including BCVA and CFT, were performed at each  
256 monthly visit. In the PDS treatment arms, additional safety and functional outcomes were  
257 assessed at days 2, 7, and 14 to monitor the implant insertion procedure; additional safety  
258 assessments were also performed 7 days after each implant refill.

**259 Outcomes**

260 The prespecified primary efficacy endpoint was the time to first implant refill assessed when  
261 the last enrolled patient completed the month 9 visit. Secondary efficacy outcomes included  
262 change in visual function and changes in CFT, as assessed by the central reading center.  
263 Central foveal thickness measurements were conducted using 2 methods. The prespecified  
264 measurement was performed from the inner limiting membrane to Bruch's membrane. The  
265 second, additional measurement, was performed from the inner limiting membrane to the  
266 retinal pigment epithelium, excluding pigment epithelial detachment (PED) height. Explanted  
267 implants were visually inspected to assess implant integrity and in vitro drug release  
268 evaluations were performed to assess implant functionality. In addition, samples were  
269 collected for serum pharmacokinetics and antidrug antibody (ADA) assessment. Safety  
270 outcomes were assessed through a summary of ocular and nonocular adverse events (AEs)  
271 and incidence of ADA. Prespecified PDS-associated AEs were also assessed.

**272 Data Analysis and Statistical Methods**

273 An estimated trial sample size of 220 randomized patients was determined to be adequate to  
274 meet the primary objective of evaluating the relative efficacy of the ranibizumab 10 mg/mL,  
275 40 mg/mL, and 100 mg/mL formulations as assessed by time to first implant refill. A sample  
276 size of ~60 patients in each PDS treatment arm provided 80% power to detect a hazard ratio  
277 (HR) of 0.66 in time to first implant refill between the PDS 10 mg/mL and PDS 100 mg/mL  
278 treatment arms using a log-rank test at a 1-sided significance level of 15% assuming 85  
279 events occurred at the time of primary analysis.

280 Efficacy outcomes were evaluated in the modified intent-to-treat population comprised  
281 of patients who were randomized to a study treatment arm and received  $\geq 1$  study treatment.  
282 The safety-evaluable population comprised all patients who received  $\geq 1$  dose of study drug  
283 according to the assigned treatment. Time to first implant refill analysis was conducted using

284 observed data. The censoring date was defined as the date of a patient's last visit before the  
285 cutoff date or the date when the patient discontinued from the study, whichever occurred first.  
286 Time to first implant refill was also censored for the following patients: 1) at the time of an  
287 intravitreal anti-VEGF injection in study eye if administered before the first required refill; 2) at  
288 the time the refill criteria could not be assessed, defined as when  $\geq 2$  refill variables (BCVA,  
289 CFT, or new macular hemorrhage) could not be evaluated for any reason, or were affected  
290 by a clinical reason different from nAMD activity, before the first required refill; and 3) at the  
291 time of implant explantation. Both unstratified and stratified log-rank tests were used to  
292 estimate the pairwise HR and its 70% confidence interval (CI) among the 3 PDS treatment  
293 arms. For the stratified log-rank test with a 1-sided significance level of 15%, the stratification  
294 factors were baseline BCVA score ( $\leq 65$  ETDRS letters vs.  $\geq 66$  ETDRS letters) and number  
295 of intravitreal anti-VEGF injections before baseline ( $\leq 3$  injections vs.  $\geq 4$  injections). The  
296 Kaplan-Meier approach was used to estimate median time to first implant refill and the 6-  
297 month percentages of patients without any refill for each treatment group.

298 A mixed-effect model repeated measures (MMRM) analysis was used to generate  
299 adjusted mean BCVA change from baseline values that accounted for baseline differences  
300 across the treatment arms. The MMRM analysis used change from baseline in BCVA as the  
301 response and included terms for treatment group, visit, treatment-by-visit, interaction,  
302 baseline BCVA score (continuous), baseline BCVA ( $\leq 65$  ETDRS letters vs.  $\geq 66$  ETDRS  
303 letters), and number of intravitreal anti-VEGF injections before baseline ( $\leq 3$  injections vs.  $\geq 4$   
304 injections). An unstructured covariance structure was used, and assessment was censored  
305 for PDS patients at the time of an intravitreal anti-VEGF injection in the study eye if  
306 administered before month 9 and at the time of implant explant. Observed, descriptive data  
307 were used to assess mean BCVA change over time comparing the early treatment response  
308 in all patients versus patients enrolled after implementation of the optimized surgical

309 procedure on May 2016. The same MMRM analysis method for BCVA outcomes was used to  
310 assess adjusted mean CFT change from baseline. Descriptive summaries were used for all  
311 secondary endpoints for preliminary assessments of differences between each of the PDS  
312 arms and the monthly intravitreal treatment arm.

### 313 **Oral Antithrombotic Substudy**

314 A nonrandomized, uncontrolled, open-label exploratory substudy assessing the safety,  
315 efficacy, and pharmacokinetics of the PDS filled with ranibizumab 100 mg/mL in patients with  
316 nAMD who required ongoing oral antithrombotic therapy was conducted as part of the Ladder  
317 trial. The substudy was initiated at selected sites (Appendix S1) after Ladder enrollment was  
318 complete and enrolled a separate trial population of 11 patients who were on oral  
319 antithrombotic therapy for a preexisting medical condition. The primary endpoint of the  
320 substudy was the rate of vitreous hemorrhage secondary to choroidal bleeding that did not  
321 spontaneously resolve by the month 1 visit after implant insertion surgery. Oral antithrombotic  
322 substudy patients were evaluated separately and were not included in any of the main Ladder  
323 analyses.

## 324 **Results**

### 325 **Patient Disposition**

326 A total of 232 patients with nAMD were randomized 3:3:3:2 to 1 of 4 treatment arms: 1) PDS  
327 ranibizumab 10 mg/mL, 2) PDS ranibizumab 40 mg/mL, 3) PDS ranibizumab 100 mg/mL, or  
328 4) monthly intravitreal ranibizumab 0.5 mg injections. The first patient was enrolled on  
329 September 29, 2015, and the last patient was enrolled on September 5, 2017. The data from  
330 7 patients were unusable due to a breach of Good Clinical Practice at 1 study site and  
331 another 5 randomized patients were never treated, resulting in a modified intent-to-treat  
332 population of 220 patients with 58, 62, 59, and 41 patients in the PDS 10 mg/mL, 40 mg/mL,

333 100 mg/mL, and monthly intravitreal ranibizumab 0.5 mg injection arms, respectively (Fig S1,  
334 available at [www.aaojournal.org](http://www.aaojournal.org)). For the primary analysis, the modified intent-to-treat and  
335 safety populations were identical (N = 220). At the time of the primary analysis, the  
336 percentages of patients that withdrew from the study or discontinued treatment in the study  
337 eye were comparable across treatment arms (Fig S1). Of the 220 patients in the modified  
338 intent-to-treat population, 11 (5.0%) discontinued the study and 18 (8.2%) discontinued  
339 treatment in the study eye.

#### 340 **Demographics and Baseline Characteristics of the Study Population**

341 Baseline demographic and ocular characteristics are summarized in Table 1. Overall patient  
342 demographics were well balanced across treatment arms. Ocular characteristics were  
343 generally well balanced across arms, with a slight imbalance in CFT with increased baseline  
344 values in 2 of the PDS arms. The imbalance was minimized when PED height was excluded.  
345 Also of note was the generally good baseline vision across arms, with two-thirds of all  
346 patients having 20/40 or better vision at baseline. In the overall population, the mean number  
347 of anti-VEGF injections before baseline was 2.9.

#### 348 **Primary Efficacy Outcome**

349 The prespecified primary outcome measure was the time to first implant refill assessed when  
350 the last patient enrolled completed the month 9 visit, at which point the mean (range) time on  
351 study was 16.8 (9.8–33.0) months for all PDS patients. A Kaplan-Meier survival analysis was  
352 used to compare rates at which first implant refills occurred in each PDS treatment arm (Fig  
353 2A). The median time to first implant refill was 8.7, 13.0, and 15.0 months in the PDS 10  
354 mg/mL, 40 mg/mL, and 100 mg/mL arms, respectively. The percentage of patients who did  
355 not require an implant refill for  $\geq 6$  months was 63.5%, 71.3%, and 79.8% in the PDS 10  
356 mg/mL, 40 mg/mL, and 100 mg/mL arms, respectively (Fig 2B). In a stratified analysis that  
357 adjusted for baseline BCVA and number of prior anti-VEGF injections, the median time to first

358 implant refill was significantly longer in the PDS 100 mg/mL arm than the PDS 10 mg/mL arm  
359 (15.0 vs. 8.7 months, respectively; HR, 0.50 [70% CI, 0.38–0.66];  $P = 0.0066$ ) and for the  
360 PDS 40 mg/mL arm versus the PDS 10 mg/mL arm (median, 13.0 vs. 8.7 months,  
361 respectively; HR, 0.60 [70% CI, 0.46–0.78];  $P = 0.0415$ ; Table 2). Although the PDS 100  
362 mg/mL arm trended towards a longer median time to first implant refill, there was no  
363 significant difference compared with the PDS 40 mg/mL arm (15.0 vs. 13.0 months,  
364 respectively; HR, 0.7523 [70% CI, 0.69–1.22];  $P = 0.7523$ ). Results of an unstratified analysis  
365 were consistent with those of the stratified analysis.

### 366 **Secondary Efficacy Outcomes**

#### 367 *Vision Outcomes*

368 Because patients were previously treated and anti-VEGF responsive with good baseline  
369 BCVA, the treatment arms were assessed for their ability to maintain baseline vision. A dose  
370 response was observed across the PDS arms. At month 9, the adjusted mean BCVA change  
371 from baseline in the PDS 10 mg/mL, 40 mg/mL, and 100 mg/mL arms was  $-3.2$ ,  $-0.5$ , and  
372  $+5.0$  ETDRS letters, respectively. At month 9, the mean adjusted BCVA change from  
373 baseline was  $+3.9$  ETDRS letters in the monthly intravitreal ranibizumab 0.5 mg injection arm  
374 (Fig 3). At month 9, there was a  $+1.1$  (95% CI,  $-2.4$ ,  $+4.7$ ) ETDRS letter difference between  
375 the PDS 100 mg/mL and monthly intravitreal ranibizumab 0.5 mg injection arms. Neither a  
376 noninferiority (NI) test nor a NI margin were prespecified in Ladder. In a post hoc analysis  
377 assuming a 4.5 ETDRS letter NI margin, the NI test was met between the PDS 100 mg/mL  
378 and monthly intravitreal ranibizumab 0.5 mg injection treatment arms at month 9 (lower  
379 bound of the 95% CI in the difference calculation was larger than 4.5 ETDRS letters). These  
380 results indicate that vision outcomes in the PDS 100 mg/mL arm were comparable with that  
381 of the monthly intravitreal ranibizumab 0.5 mg injection treatment arm.

382 Observed data (Fig S2, available at [www.aaojournal.org](http://www.aaojournal.org)) were comparable with the  
383 MMRM analysis shown in Figure 3 and a dose response was also observed across the PDS  
384 arms for the percentage of patients with a mean BCVA improvement from baseline of  $\geq 5$  or  $\geq$   
385 10 ETDRS letters at month 9 (Table S1, available at [www.aaojournal.org](http://www.aaojournal.org)). In the PDS arms,  
386 there was a temporary and reversible postinsertion surgery drop in vision that was expected  
387 after vitreoretinal surgery. In the overall population, vision generally returned to baseline by  
388 month 2; in PDS patients who were implanted after the May 2016 procedure update, the drop  
389 in BCVA from baseline was reduced in magnitude and vision generally returned to baseline  
390 by month 1 (Fig S3, available at [www.aaojournal.org](http://www.aaojournal.org)).

#### 391 *Anatomic Outcomes*

392 Similar to vision outcomes, as Ladder patients were previously treated with and responsive to  
393 anti-VEGF therapy, patients were assessed for their ability to maintain baseline CFT (Fig 4).  
394 As with baseline values, variability in CFT change from baseline across arms was generally  
395 reduced when subfoveal PED height was excluded. At month 9, adjusted mean CFT change  
396 from baseline excluding PED height was +54.4  $\mu\text{m}$ , -0.5  $\mu\text{m}$ , -1.7  $\mu\text{m}$ , and -6.3  $\mu\text{m}$  in the  
397 PDS 10 mg/mL, 40 mg/mL, and 100 mg/mL and monthly intravitreal ranibizumab 0.5 mg  
398 injection treatment arms, respectively. At month 9, adjusted mean CFT change from baseline  
399 including PED height was +57.4  $\mu\text{m}$ , 22.2  $\mu\text{m}$ , 11.1  $\mu\text{m}$ , and -29.3  $\mu\text{m}$  in the PDS 10 mg/mL,  
400 40 mg/mL, and 100 mg/mL and monthly intravitreal ranibizumab 0.5 mg injection treatment  
401 arms, respectively (Fig 4). Observed data (Fig S4, available at [www.aaojournal.org](http://www.aaojournal.org)) were  
402 similar to the MMRM analysis shown in Figure 4.

#### 403 **Implant Functionality and Drug Exposure**

404 In the early part of the trial, patients who experienced progressive nAMD disease worsening  
405 that met lack of clinical efficacy criteria underwent surgical removal of the PDS implant to  
406 evaluate implant functionality. At the time of the primary analysis, 12 PDS implants had been

407 explanted: 6 due to lack of clinical efficacy, 4 due to an AE, and 2 due to physician's decision.  
408 The time of explant ranged from day 8 to day 500 following implant insertion, with a median  
409 time of explanation of 274 days. Measurable levels of ranibizumab were present in serum at  
410 the time of implant removal, suggesting that drug was still being released from the reservoir  
411 into the eye and exiting the eye into the systemic circulation. In vitro testing of 8 explanted  
412 implants confirmed that all implants had appropriate release of ranibizumab with no evidence  
413 of clogging. Because implant functionality was not a cause for lack of clinical efficacy, the  
414 protocol was amended to allow PDS patients who met the lack of clinical efficacy criteria to  
415 keep the implant in the eye with a modified treatment protocol (see Methods and Appendix  
416 S3).

417 At the time of the primary analysis, the mean time on study was 16.8 months for  
418 patients in the PDS treatment arms and 16.4 months for patients in the monthly intravitreal  
419 ranibizumab 0.5 mg injection arm (Table 3). The mean total number of ranibizumab  
420 treatments was 3.7, 2.6, and 2.4 in the PDS 10 mg/mL, 40 mg/mL, and 100 mg/mL treatment  
421 arms, respectively. In contrast, the total number of ranibizumab treatments was 16.8 in  
422 patients in the monthly intravitreal ranibizumab 0.5 mg injection treatment arm. In total,  
423 22.4%, 4.8%, and 1.7% of patients in the PDS 10 mg/mL, 40 mg/mL, and 100 mg/mL  
424 treatment arms, respectively, met lack of clinical efficacy criteria. In the PDS 10 mg/mL and  
425 40 mg/mL treatment arms, the majority of patients (11/16) who met lack of clinical efficacy  
426 criteria kept the PDS implant and were managed with rescue intravitreal ranibizumab  
427 treatment and implant refills with the ranibizumab 100 mg/mL formulation.

#### 428 **Safety**

429 As expected given the surgical nature of the study, more ocular AEs were observed in the  
430 PDS arms than in the monthly intravitreal ranibizumab 0.5 mg injection arm, particularly  
431 during the perioperative period (Table S2, available at [www.aaojournal.org](http://www.aaojournal.org)). No ocular

432 serious AEs (SAEs) were reported in the monthly intravitreal ranibizumab 0.5 mg injection  
433 treatment arm. Ocular SAEs were reported in 16 of 179 (8.9%) PDS-treated patients. The  
434 most frequent SAE was vitreous hemorrhage, occurring in 7 (3.9%) patients in the overall  
435 PDS-treated population.

436 Table 4 shows all PDS-associated AEs in the safety-evaluable population. The  
437 majority of AEs occurred within 1 month of PDS implant insertion. At study outset, vitreous  
438 hemorrhage occurred in 11 of the first 22 (50.0%) PDS-treated patients. Following  
439 implementation of the optimized implant insertion procedure in May 2016 that incorporated  
440 pars plana laser ablation, vitreous hemorrhage occurred in 7 of 157 (4.5%) PDS-treated  
441 patients, of which 1 event was classified as serious. Endophthalmitis occurred in 3 PDS  
442 patients, 1 in each treatment arm. In terms of timing, 1 endophthalmitis event occurred a few  
443 days after PDS implant insertion. The other 2 events occurred months after PDS implant  
444 insertion, with 1 event being preceded by conjunctival retraction that was not promptly  
445 repaired due to patient noncompliance; the second late event was not associated with any  
446 proximate intervention or conjunctival defect. For all 3 patients, cultures were negative, the  
447 PDS implant was explanted, and, after resolution, BCVA returned to baseline. Four  
448 rhegmatogenous retinal detachments occurred in PDS-treated patients. One event occurred  
449 soon after PDS implant insertion, while the remaining 3 occurred later in the trial. The implant  
450 was retained in 2 patients in whom the retinal detachment was repaired by pneumatic  
451 retinopexy or vitrectomy and was explanted in 2 patients in whom the detachment was  
452 repaired by scleral buckle.

453 In general, the systemic safety profile of PDS treatment was comparable with monthly  
454 intravitreal ranibizumab 0.5 mg injection treatment (Table S3, available at  
455 [www.aaojournal.org](http://www.aaojournal.org)). There was, however, a higher rate of a few System Organ Class events  
456 in the PDS treatment arms compared with the monthly intravitreal ranibizumab 0.5 mg arm.

457 These included gastrointestinal AEs; injury, poisoning, and procedural complications; and  
458 nervous system disorders. The majority of gastrointestinal disorder events were nonserious,  
459 with nausea, constipation, and gastroesophageal reflux disease being the most common  
460 events (> 2 patients; Table S4, available at [www.aaojournal.org](http://www.aaojournal.org)). The events were mild and  
461 moderate in severity and resolved quickly. The majority of procedural complication events  
462 were nonserious and included fractures, sprains, and dislocations that had no temporal  
463 relationship with PDS implant insertion or refill procedures. The majority of nervous system  
464 disorders were nonserious events such as headache that occurred in the postoperative  
465 period and were associated with postoperative pain and discomfort from conjunctival sutures  
466 (19/25 [76.0%] events). Eighteen of 19 headache events in the postoperative period resolved  
467 within 1 month.

#### 468 **Additional Assessments**

469 In terms of pharmacokinetics, in vitro studies have shown that ranibizumab release from the  
470 PDS is a function of concentration in the reservoir and decays exponentially over time,  
471 following Fick's law.<sup>21</sup> In the current study, active ranibizumab was measurable (with a lower  
472 limit of quantification of 15 pg/mL)<sup>22</sup> in serum for  $\geq 15$  months after insertion of the PDS  
473 implant filled with ranibizumab 100 mg/mL, as would be expected based on the in vitro  
474 studies. Antidrug antibody development was also assessed (Table S5, available at  
475 [www.aaojournal.org](http://www.aaojournal.org)). Because all Ladder patients were previously treated with ranibizumab,  
476 ADA status at time of study entry may reflect response to previous ranibizumab treatment in  
477 the study or fellow eye, rather than treatment-naïve prevalence. Overall, at the time of the  
478 primary analysis, the percentages of patients in each arm who were ADA positive at time of  
479 study entry (0–10.5%) or developed treatment-emergent ADAs during the course of the study  
480 (3.5–13.6%) were within the range observed in previous clinical trials with ranibizumab  
481 administered via intravitreal injection.<sup>3,7,23</sup>

**482 Oral Antithrombotic Agent Substudy**

483 Patients on an oral antithrombotic agent were prohibited from enrolling in the main Ladder  
484 trial, resulting in the exclusion of a large number of otherwise eligible patients. Once it was  
485 determined that laser ablation of the pars plana before incision markedly reduced the  
486 incidence and severity of vitreous hemorrhage after PDS implant insertion, a substudy was  
487 initiated in a limited number of study sites to determine the safety of the optimized implant  
488 insertion procedure in patients on oral anticoagulants. Eleven patients with nAMD on oral  
489 antithrombotic therapy were recruited and received the PDS implant with the ranibizumab  
490 100 mg/mL formulation. Interruption of the antithrombotic agent before PDS implant insertion  
491 was left to the discretion of the investigator after consultation with the prescribing physician to  
492 assess the risk based on the needs of each patient and the antithrombotic agent in question.  
493 Anticoagulant treatment was briefly interrupted in 3 of 4 patients on warfarin sodium  
494 (Coumadin<sup>®</sup>, Bristol-Myers Squibb Company, Princeton, NJ), 4 of 5 patients on apixaban  
495 (Eliquis<sup>®</sup>, Bristol-Myers Squibb Company), and 2 of 2 patients on dabigatran etexilate  
496 mesylate (Pradaxa<sup>®</sup>, Boehringer Ingelheim Pharmaceuticals, Inc., Ridgefield, CT). In the 9  
497 patients whose anticoagulant treatment was interrupted, the mean duration of interruption  
498 was 3 days (range, 2–5 days). No patients experienced vitreous hemorrhage after PDS  
499 implant insertion with the optimized surgical technique, with a minimum follow-up of 2  
500 months.

**501 Discussion**

502 The phase 2 Ladder trial met its primary objective and successfully assessed the relative  
503 efficacy of PDS treatment with ranibizumab 10 mg/mL, 40 mg/mL, and 100 mg/mL  
504 formulations. For primary and secondary vision endpoints, a dose response was observed  
505 across the PDS treatment arms, with patients in the PDS 100 mg/mL treatment arm

506 experiencing the greatest clinical benefit. In addition, a dose response was seen in the  
507 percentage of patients not receiving any refill at month 6 and other refill-related endpoints.  
508 Results for the PDS 100 mg/mL were the most promising, with a median time to first implant  
509 refill of 15.0 months and 79.8% of patients who went  $\geq 6$  months without meeting implant refill  
510 criteria. PDS treatment also successfully reduced the overall treatment burden for patients,  
511 with PDS patients receiving ~80% fewer ranibizumab treatments than patients in the monthly  
512 intravitreal ranibizumab 0.5 mg injection treatment arm at the time of the primary analysis.  
513 Importantly, vision and anatomic outcomes at month 9 were comparable between patients in  
514 the PDS 100 mg/mL arm and patients in the monthly intravitreal ranibizumab 0.5 mg injection  
515 treatment arm, indicating that clinical efficacy need not be sacrificed to reduce treatment  
516 burden. Taken together, these results suggest that the PDS is a good candidate to change  
517 the treatment paradigm in nAMD and respond to the current unmet need to reduce treatment  
518 burden while maintaining or improving patient outcomes.

519 While the optimized PDS implant insertion surgery and in-office refill procedure were  
520 generally well tolerated, the Ladder trial also provided a number of valuable technical insights  
521 into the best surgical methods for PDS implant insertion. The high rate of vitreous  
522 hemorrhage following PDS implant insertion early in the trial (11/22 patients [50%]) led to  
523 enrollment being temporarily paused. This allowed for a preclinical surgical study to be  
524 conducted as part of a comprehensive analysis to determine the root cause of the vitreous  
525 hemorrhage. Based on the study results that identified the pars plana at the incision site as  
526 the main source of bleeding,<sup>24</sup> an optimized surgical procedure that included laser ablation of  
527 the pars plana at the incision site was implemented in the study when enrollment restarted.  
528 Following the introduction of the optimized surgical procedure, the incidence of postoperative  
529 vitreous hemorrhage decreased to less than 5% (7/157 patients).

530           The reduction in the incidence of vitreous hemorrhage after optimization of the implant  
531 insertion procedure raised the question of whether patients on an oral antithrombotic therapy  
532 could safely undergo PDS implant insertion. This is an important question, because ~25% of  
533 patients with nAMD are on an oral antithrombotic therapy.<sup>21</sup> A small substudy of 11 patients  
534 with nAMD receiving concurrent treatment with different antithrombotic agents helped to  
535 address this question. While the substudy was not powered to assess meaningful differences  
536 between patients who did and did not interrupt oral antithrombotic treatment, it did generate  
537 useful information regarding whether laser ablation of the pars plana was sufficient to mitigate  
538 the risk of vitreous hemorrhage in this patient population, which has a higher risk of bleeding.  
539 As none of the patients experienced vitreous hemorrhage after PDS implant insertion, the  
540 results suggest there is a low risk of vitreous hemorrhage for patients with nAMD on  
541 anticoagulants who undergo the optimized PDS implant insertion procedure.

542           Additional clinically relevant AEs related to the PDS implant insertion procedure,  
543 including endophthalmitis, rhegmatogenous retinal detachment, retinal tears, and conjunctival  
544 erosion or retraction were reported in PDS patients. These events were managed accordingly  
545 and did not result in severe vision loss. Overall, postsurgical AEs in PDS-treated patients  
546 were consistent with what would be expected for similar surgical procedures. Videos of each  
547 implant insertion procedure were analyzed to identify areas for improvement of the surgical  
548 procedure to minimize the risk of procedure-related AEs. Rigorous surgical training has been  
549 instituted with the aim to help further mitigate the risk of AEs as the PDS continues to be  
550 developed and studied.

551           As an indwelling nonbiodegradable implant, the PDS is one of a number of strategies  
552 being tested in clinical trials aimed at achieving sustained intravitreal suppression of VEGF.  
553 Other strategies include surgically inserted or injectable biodegradable polymer implants and  
554 drug encapsulation in injectable liposomes, microparticles, or nanoparticles. Various forms of

555 gene therapy using different vectors are also being explored.<sup>25,26</sup> The PDS is the first system,  
556 however, that has provided the opportunity to investigate the biologic response to sustained  
557 intravitreal suppression of VEGF in patients with nAMD. The extended median time to first  
558 implant refill and the maintenance of vision in PDS-treated patients indicate the clinical  
559 efficacy of sustained VEGF suppression. These results raise the question of whether  
560 sustained intravitreal VEGF suppression mediated by continuous ranibizumab delivery is  
561 capable of better controlling the nAMD disease process compared with pulsatile intravitreal  
562 treatment. Another important open question is whether patients with nAMD who need more  
563 frequent anti-VEGF treatment can be preidentified; however, biomarkers for treatment  
564 response have not been found at this time. Further studies are needed to address these  
565 important issues. Additional imaging analyses and long-term data from the ongoing Portal  
566 extension study (NCT03683251) may shed light on these compelling questions.

567         The heterogeneous response of patients with nAMD to bolus intravitreal injections is  
568 one of the more challenging aspects of nAMD management. While the idea of individualizing  
569 treatment by identifying the optimal interval between injections to prevent recurrences is  
570 appealing, disease activity can vary over time in the same patient, allowing occasional  
571 disease reactivation and associated vision loss. With 79.8% of patients in the PDS 100  
572 mg/mL arm going  $\geq 6$  months before requiring an implant refill, the data suggest that PDS  
573 treatment may introduce disease control predictability to the management of what has to date  
574 been an unpredictable disease. Furthermore, pharmacokinetic analysis indicates that the  
575 patients who met implant refill criteria before month 6 were still having ranibizumab released  
576 into the eye. Taken together, these results indicate that with the PDS, it may be feasible to  
577 use a fixed multimonth refill schedule to reduce treatment burden without sacrificing efficacy  
578 in patients with nAMD.

579 A limitation of this study is the unavoidable variability that occurs in any clinical trial  
580 that has a surgical component. A number of steps were taken to reduce, manage, and learn  
581 from this variability, including surgical training aimed to standardize the surgical procedure  
582 across investigators, support from well-trained study team members during PDS implant  
583 insertion and refill procedures, and video recording for documentation, review, and study.  
584 This provided important learnings that contributed to the optimization of the implant insertion  
585 and refill procedures and shaped the investigator training plan for future studies. While the  
586 procedural and protocol amendments throughout the course of the study would be  
587 considered a weakness of a pivotal study, they allowed this phase 2 trial to efficiently  
588 evaluate a novel method for continuous delivery with a surgical component for the first time  
589 on a large scale. Initial learnings in Ladder led to the optimization of study methods and  
590 procedures that will help to enhance the safety and efficacy for future trials. Another limitation  
591 is that Ladder enrolled patients who were responsive to anti-VEGF treatment and were  
592 diagnosed with nAMD in the study eye within 9 months from the screening visit; therefore, the  
593 results may not be generalizable to patients with a longstanding nAMD diagnosis who have  
594 been receiving anti-VEGF treatment for years. Further studies will be needed to assess the  
595 usefulness of the PDS in different nAMD populations.

596 In conclusion, the phase 2 Ladder trial of the PDS evaluated the efficacy and safety of  
597 continuous intraocular delivery of an anti-VEGF biologic in patients with nAMD. Continuous  
598 intravitreal delivery of ranibizumab through the PDS implant resulted in sustained  
599 suppression of VEGF activity sufficient to confer clinical efficacy without the need for monthly  
600 intravitreal injections in the majority of patients. The results demonstrate that sustained VEGF  
601 inhibition for months at a time is possible, and that visual and anatomic results comparable  
602 with monthly intravitreal injection can be achieved with a substantial reduction in the  
603 treatment burden. Finally, the Ladder results provide proof of concept that biologics or small

604 molecules can be safely delivered to the eye for months at a time through a permanent  
605 refillable intraocular reservoir. The results from the phase 2 Ladder trial provide a glimpse of  
606 how treatments for nAMD and other retinal vascular diseases, including diabetic eye disease  
607 and retinal vein occlusion, may evolve in the future. The next step in this evolution of PDS for  
608 nAMD is the pivotal Archway phase 3 trial (ClinicalTrials.gov NCT03677934).

609

ACCEPTED MANUSCRIPT

**610 Data Sharing Statement**

611 Qualified researchers may request access to individual patient level data through the clinical  
612 study data request platform ([www.clinicalstudydatarequest.com](http://www.clinicalstudydatarequest.com)). Further details on Roche's  
613 criteria for eligible studies are available here (<https://clinicalstudydatarequest.com/Study-Sponsors/Study-Sponsors-Roche.aspx>). For further details on Roche's Global Policy on the  
614 Sharing of Clinical Information and how to request access to related clinical study documents,  
615 see here  
616 ([https://www.roche.com/research\\_and\\_development/who\\_we\\_are\\_how\\_we\\_work/clinical\\_trials/our\\_commitment\\_to\\_data\\_sharing.htm](https://www.roche.com/research_and_development/who_we_are_how_we_work/clinical_trials/our_commitment_to_data_sharing.htm)).  
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696

697 **Figure legends**

698 **Figure 1.** Port Delivery System with ranibizumab (PDS) implant. **A**, PDS implant showing 4  
699 key components: the extrascleral flange that anchors the implant in the sclera, the self-  
700 sealing septum that allows for implant refills, the implant body that contains the drug reservoir  
701 for the ranibizumab formulation, and the release control element that controls the rate of  
702 ranibizumab diffusion from the implant into the vitreous. Patient images from a PDS-  
703 implanted patient with **(B)** eye in primary position (implant not visible), **(C)** eye looking up with  
704 implant visible through dilated pupil, and **(D)** eye looking down to visualize PDS septum.

705  
706 **Figure 2.** Time to first implant refill, modified intent-to-treat population. **A**, Data are included  
707 for all Port Delivery System with ranibizumab (PDS) patients through month 9 and for all  
708 study visits completed after month 9 (data collection ongoing). Patient data censored when  
709 last visits were before cutoff date or if they discontinued the study, whichever occurred first.  
710 Time to first implant refill censored at the time of intravitreal injection, at the time refill criteria  
711 could not be assessed, and at the time of explant before the first refill. **B**, Bars show the  
712 percentage of patients in each PDS arm that did not meet refill criteria through month 6.

713  
714 **Figure 3.** Adjusted mean best-corrected visual acuity (BCVA) change from baseline, modified  
715 intent-to-treat population. All patients were previously treated with and responsive to anti-  
716 vascular endothelial growth factor (VEGF) therapy. The mixed-effect model repeated  
717 measures analysis used change from baseline BCVA as the response and included terms for  
718 treatment group, visit, treatment-by-visit, interaction, baseline BCVA score (continuous),  
719 baseline BCVA ( $\leq 65$  Early Treatment Diabetic Retinopathy Study [ETDRS] letter score vs.  $\geq$   
720 66 ETDRS letter score), and number of intravitreal anti-VEGF injections before baseline ( $\leq 3$

721 injections vs.  $\geq 4$  injections). An unstructured covariance structure was used; assessment  
722 was censored for PDS patients at the time of an intravitreal anti-VEGF injection in the study  
723 eye if administered before month 9 and at the time of PDS removal. Data from 13, 2, and 4  
724 patients in the PDS 10 mg/mL, 40 mg/mL, and 100 mg/mL arms were censored before month  
725 9, respectively. The vertical bars represent 95% confidence intervals.

726

727 **Figure 4.** Adjusted mean central foveal thickness (CFT) change from baseline, modified  
728 intent-to-treat population. All patients were previously treated with and responsive to anti-  
729 vascular endothelial growth factor (VEGF) therapy. The mixed-effect model repeated  
730 measures analysis used change from baseline CFT as the response and included terms for  
731 treatment group, visit, treatment-by-visit, interaction, baseline CFT value (continuous),  
732 baseline best-corrected visual acuity ( $\leq 65$  Early Treatment Diabetic Retinopathy Study  
733 [ETDRS] letter score vs.  $\geq 66$  ETDRS letter score), and number of intravitreal anti-VEGF  
734 injections before baseline ( $\leq 3$  injections vs.  $\geq 4$  injections). An unstructured covariance  
735 structure was used; assessment was censored for Port Delivery System with ranibizumab  
736 (PDS) patients at the time of an intravitreal anti-VEGF injection in the study eye if  
737 administered before month 9 and at the time of PDS removal. The points show the adjusted  
738 mean change from baseline CFT (**A**) excluding subfoveal pigment epithelial detachment  
739 (PED) height or (**B**) including PED height. Data from 13, 2, and 4 patients in the PDS 10  
740 mg/mL, 40 mg/mL, and 100 mg/mL arms were censored before month 9, respectively.  
741 Vertical bars represent 95% confidence intervals. ILM = inner limiting membrane; RPE =  
742 retinal pigment epithelium.

743 **Online Only Supplemental Materials**744 **Table S1.** Percentage of Patients with Mean Best-Corrected Visual Acuity Gain/Loss of  $\geq 5$  or745  $\geq 10$  Early Treatment Diabetic Retinopathy Study Letters from Baseline at Month 9746 **Table S2.** Ocular Adverse Events for Safety-Evaluable Population747 **Table S3.** Systemic Safety for Safety-Evaluable Population748 **Table S4.** Systemic Safety by Adverse Event Severity for Safety-Evaluable Population749 **Table S5.** Antidrug Antibody Assessment, Safety-Evaluable Population750 **Figure S1.** Ladder Randomized Clinical Trial Patient Allocation and Disposition751 **Figure S2.** Observed Mean Best-Corrected Visual Acuity Change from Baseline, Modified

752 Intent-to-Treat Population

753 **Figure S3.** Observed Mean Best-Corrected Visual Acuity Change from Baseline, All Patients

754 versus Optimized Port Delivery System with Ranibizumab Implant Insertion Procedure

755 **Figure S4.** Observed Mean Central Foveal Thickness Change from Baseline, Modified

756 Intent-to-Treat Population

757 **Video S1.** Port Delivery System with Ranibizumab Implant Insertion Surgical Video758 **Video S2.** Port Delivery System with Ranibizumab Implant Refill Animation Video759 **Appendix S1.** Ladder Investigators and Study Sites760 **Appendix S2.** Full Ladder Eligibility Criteria761 **Appendix S3.** Summary of Key Protocol Amendments

1 Table 1. Demographic and Baseline Characteristics of Ladder Participants, Modified Intent-to-Treat Population

	<b>PDS Ranibizumab 10 mg/mL (n = 58)</b>	<b>PDS Ranibizumab 40 mg/mL (n = 62)</b>	<b>PDS Ranibizumab 100 mg/mL (n = 59)</b>	<b>Monthly Intravitreal Ranibizumab 0.5 mg (n = 41)</b>	<b>All Patients (N = 220)</b>
Demographics					
Age (yrs)					
Mean (SD)	74.3 (8.3)	74.9 (8.4)	73.4 (8.0)	71.8 (8.8)	73.8 (8.4)
Range	56–92	50–90	57–91	52–85	50–92
Sex, n (%)					
Male	22 (37.9%)	23 (37.1%)	21 (35.6%)	13 (31.7%)	79 (35.9%)
Race, n (%)					
White	57 (98.3%)	61 (98.4%)	56 (94.9%)	41 (100.0%)	215 (97.7%)
Asian	0	0	2 (3.4%)	0	2 (0.9%)
American Indian or Alaska Native	0	0	1 (1.7%)	0	1 (0.5%)
Black or African American	1 (1.7%)	0	0	0	1 (0.5%)
Not available	0	1 (1.6%)	0	0	1 (0.5%)
Ethnicity, n (%)					
Not Hispanic or Latino	55 (94.8%)	56 (90.3%)	57 (96.6%)	39 (95.1%)	207 (94.1%)
Hispanic or Latino	3 (5.2%)	3 (4.8%)	2 (3.4%)	1 (2.4%)	9 (4.1%)
Not available	0	3 (4.8%)	0	1 (2.4%)	4 (1.8%)
Study eye baseline characteristics					
BCVA (ETDRS letter score)					
Mean (SD)	69.3 (12.8)	69.9 (11.7)	70.4 (9.8)	70.6 (12.7)	70.0 (11.7)
Approximate Snellen equivalent	20/40	20/40	20/40	20/40	20/40
Median	72.5	71.5	72.0	73.0	72.0

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Range	34–87	34–88	37–85	34–88	32–88
BCVA (approximate Snellen equivalent), n (%)					
20/200 or worse	2 (3.4%)	2 (3.2%)	1 (1.7%)	2 (4.9%)	7 (3.2%)
Better than 20/200 to worse than 20/40	17 (29.3%)	19 (30.6%)	20 (33.9%)	12 (29.3%)	68 (30.9%)
20/40 or better	39 (67.2%)	41 (66.1%)	38 (64.4%)	27 (65.9%)	145 (65.9%)
Lens status, n (%)					
Phakic	31 (53.4%)	26 (41.9%)	28 (47.5%)	26 (63.4%)	111 (50.5%)
Pseudophakic	27 (46.6%)	36 (58.1%)	31 (52.5%)	15 (36.6%)	109 (49.5%)
Anti-VEGF treatment-naïve patients who completed run-in, n (%)	23 (39.7%)	30 (48.4%)	23 (39.0%)	12 (29.3%)	88 (40.0%)
No. of prior anti-VEGF injections					
Mean (SD)	2.7 (1.2)	2.8 (1.2)	3.1 (1.5)	2.9 (1.3)	2.9 (1.3)
Median	2.0	2.0	3.0	2.0	2.0
Range	2–7	2–6	2–8	2–7	2–8
Baseline CFT ( $\mu\text{m}$ )					
CFT ILM-Bruch's, including PED height, mean (SD)	306.8 (131.6)	297.3 (127.3)	274.7 (110.2)	280.1 (118.1)	290.0 (122.4)
CFT ILM-RPE, excluding PED height, mean (SD)	194.4 (72.6)	181.8 (73.2)	183.1 (69.2)	185.0 (61.6)	186.1 (69.6)
Baseline RPE + PED thickness ( $\mu\text{m}$ )					
Mean (SD)	107.6 (118.6)	110.1 (111.4)	81.5 (79.9)	86.9 (87.8)	97.4 (101.9)
Time since nAMD diagnosis (mo)					
Mean (SD)	3.4 (2.0)	3.2 (1.5)	3.9 (2.1)	3.4 (1.8)	3.5 (1.9)
Median	2.5	2.5	3.1	2.3	2.7
Range	1.0–10.5	1.0–7.6	1.9–10.2	1.3–8.6	1.0–10.5

- 3 BCVA = best-corrected visual acuity; CFT = central foveal thickness; ETDRS = Early Treatment Diabetic Retinopathy Study;
- 4 ILM = inner limiting membrane; nAMD = neovascular age-related macular degeneration; PDS = Port Delivery System with
- 5 ranibizumab; PED = pigment epithelial detachment; RPE = retinal pigment epithelium; SD = standard deviation; VEGF =
- 6 vascular endothelial growth factor.
- 7 Observed data, modified intent-to-treat population (N = 220).

1 Table 2. Time to First Implant Refill in Port Delivery System with Ranibizumab Treatment  
 2 Arms, Modified Intent-to-Treat Population

	PDS Ranibizumab 10 mg/mL (n = 58)	PDS Ranibizumab 40 mg/mL (n = 62)	PDS Ranibizumab 100 mg/mL (n = 59)
Incidence of first implant refill			
Patients who required first implant refill at time of primary analysis, n (%)	37 (63.8%)	29 (46.8%)	27 (45.8%)
Time to first implant refill (mo)			
Median (80% CI)	8.7 (7.1–9.8)	13.0 (11.8–NE)	15.0 (11.9–16.9)
Range	0.3*–29.7*	1.0*–24.6*	0.9*–30.3*
Stratified survival analysis			
Compared with PDS 10 mg/mL			
<i>P</i> value (log-rank test)		0.0415	0.0066
Hazard ratio (70% CI)		0.60 (0.46–0.78)	0.50 (0.38–0.66)
Compared with PDS 40 mg/mL			
<i>P</i> value (log-rank test)			0.7523
Hazard ratio (70% CI)			0.92 (0.69–1.22)
Unstratified survival analysis			
Compared with PDS 10 mg/mL			
<i>P</i> value (log-rank test)		0.0360	0.0185
Hazard ratio (70% CI)		0.60 (0.46–0.77)	0.55 (0.43–0.72)
Compared with PDS 40 mg/mL			
<i>P</i> value (log-rank test)			0.9010
Hazard ratio (70% CI)			0.97 (0.73–1.28)

3  
 4 CI = confidence interval; NE = not evaluable; PDS = Port Delivery System with ranibizumab.  
 5 Stratified log-rank test at a 1-sided significance level of 15%. The stratification factors were  
 6 baseline best-corrected visual acuity (BCVA) score ( $\leq$  65 Early Treatment Diabetic  
 7 Retinopathy Study [ETDRS] letters vs.  $\geq$  66 ETDRS letters) and baseline number of prior  
 8 anti-vascular endothelial growth factor (VEGF) intravitreal injections ( $\leq$  3 injections vs.  $\geq$  4  
 9 injections). The hazard ratio for each pairwise comparison of the treatment arms was

10 estimated using a Cox proportional hazards regression model stratified by baseline BCVA  
11 score ( $\leq 65$  ETDRS letters vs.  $\geq 66$  ETDRS letters) and number of prior anti-VEGF intravitreal  
12 injections ( $\leq 3$  injections vs.  $\geq 4$  injections) with main effects for treatment. The censoring date  
13 was defined as the date of a patient's last visit before the cutoff date or the date when the  
14 patient discontinued from the study, whichever occurred first. Time to first refill was also  
15 censored for the following patients: 1) at the time of an intravitreal anti-VEGF injection in  
16 study eye if administered before the first required refill; 2) at the time the refill criteria could  
17 not be assessed, defined as when  $\geq 2$  refill variables (BCVA, central foveal thickness, or new  
18 macular hemorrhage) could not be evaluated for any reason, or were affected by a clinical  
19 reason different from neovascular age-related degeneration activity, before the first required  
20 refill; and 3) at the time of implant explant.

21 \*Censored data.

1 Table 3. Treatment Exposure for Safety-Evaluable Population

	<b>PDS Ranibizumab 10 mg/mL (n = 58)</b>	<b>PDS Ranibizumab 40 mg/mL (n = 62)</b>	<b>PDS Ranibizumab 100 mg/mL (n = 59)</b>	<b>All PDS Patients (n = 179)</b>	<b>Monthly Intravitreal Ranibizumab 0.5 mg (n = 41)</b>
Overall time on study at time of primary analysis (mo)					
Mean (SD)	16.9 (6.2)	17.0 (6.0)	16.4 (5.8)	16.8 (6.0)	16.4 (6.8)
Median	14.8	15.6	14.7	15.0	14.4
Range	10.2–32.2	10.2–33.0	9.8–32.6	9.8–33.0	7.0–30.7
No. of ranibizumab treatments per patient*					
Mean (SD)	3.7 (6.7)	2.6 (2.3)	2.4 (1.9)	2.9 (2.6)	16.8 (6.7)
Median	2	1	2	2	15
Range	1.0–16.0	1.0–10.0	1.0–9.0	1.0–16.0	7.0–31.0
Study eye, n (%)					
Received any rescue intravitreal ranibizumab <sup>†</sup>	13 (22.4%)	3 (4.8%)	6 (10.2%)	22 (12.3%)	NA
Met lack of clinical efficacy criteria	13 (22.4%)	3 (4.8%)	1 (1.7%)	17 (9.5%)	0
Met lack of clinical efficacy criteria and received rescue PDS 100 mg/mL refill	10 (17.2%)	1 (1.6%)	0	11 (6.1%)	NA

2

3 NA = not applicable; PDS = Port Delivery System with ranibizumab; SD = standard deviation.

4 Observed data; safety-evaluable population.

5 \*Total number of ranibizumab treatments includes both implant refills and any rescue

6 treatments.

7 <sup>†</sup>In the PDS 10 mg/mL, 40 mg/mL, and 100 mg/mL arms, 2 of 13, 0 of 3, and 2 of 6 patients,

8 respectively, received rescue treatment because implant refill criteria could not be assessed

9 due to vitreous hemorrhage.

1 Table 4. Port Delivery System with Ranibizumab–Associated Adverse Events for Safety-Evaluable Population

Patient Incidence, n (%)	PDS Ranibizumab 10 mg/mL (n = 58)		PDS Ranibizumab 40 mg/mL (n = 62)		PDS Ranibizumab 100 mg/mL (n = 59)		All PDS Patients (n = 179)	
	<i>Time from Surgery</i>		<i>Time from Surgery</i>		<i>Time from Surgery</i>		<i>Time from Surgery</i>	
	≤ 1 Mo	> 1 Mo	≤ 1 Mo	> 1 Mo	≤ 1 Mo	> 1 Mo	≤ 1 Mo	> 1 Mo
Eye disorders								
Vitreous hemorrhage before May 2016, procedure update	6/10 (60.0%)	0	2/7 (28.6%)	0	3/5 (60.0%)	0	11/22 (50.0%)	0
Vitreous hemorrhage after May 2016, procedure update	0/48 (0%)	1/48 (2.1%)	3/55 (5.5%)	1/55 (1.8%)	2/54 (3.7%)	0	5/157 (3.2%)	2/157 (1.3%)
Cataract, all types*	0	1 (1.7%)	0	4 (6.5%)	0	8 (13.6%)	0	13 (7.3%)
Conjunctival bleb	3 (5.2%)	0	2 (3.2%)	1 (1.6%)	0	0	5 (2.8%)	1 (0.6%)
Conjunctival erosion	0	1 (1.7%)	0	2 (3.2%)	1 (1.7%)	1 (1.7%)	1 (0.6%)	4 (2.2%)
Rhegmatogenous retinal detachment	1 (1.7%)	1 (1.7%)	0	1 (1.6%)	0	1 (1.7%)	1 (0.6%)	3 (1.7%)
Tractional retinal detachment	0	1 (1.7%)	0	0	0	0	0	1 (0.6%)
Infections and infestations								
Endophthalmitis	1 (1.7%)	0	0	1 (1.6%)	0	1 (1.7%)	1 (0.6%)	2 (1.1%)
Injury, poisoning, and procedural complications								
Hyphema	2 (3.4%)	2 (3.4%)	1 (1.6%)	0	3 (5.1%)	0	6 (3.4%)	2 (1.1%)
Conjunctival retraction	0	0	1 (1.6%)	1 (1.6%)	1 (1.7%)	0	2 (1.1%)	1 (0.6%)
Conjunctival filtering bleb leak	0	0	1 (1.6%)	0	0	0	1 (0.6%)	0

2

3 Observed data, safety-evaluable population. Month 1 visit included data up to 37 days. At the time of the primary analysis, no  
4 Port Delivery System with ranibizumab (PDS) patients reported any the remaining protocol-specified PDS-associated adverse  
5 events: vitreous hemorrhage associated with a > 30 Early Treatment Diabetic Retinopathy (ETDRS) letter decrease of best-  
6 corrected visual acuity (BCVA) compared with the last assessment of BCVA before the onset of vitreous hemorrhage lasting >  
7 1 month, > 30 ETDRS letters BCVA loss from previous scheduled visit, scleral damage, and interference of the implant in the  
8 visual field.

9 \*Proportion of phakic patients at baseline was similar across treatment arms; in the monthly intravitreal ranibizumab 0.5 mg  
10 injection arm, the incidence of cataract was 3 (7.3%) for onset > 1 month and 0 for onset  $\leq$  1 month.

Figure 1. Port Delivery System with Ranibizumab Implant

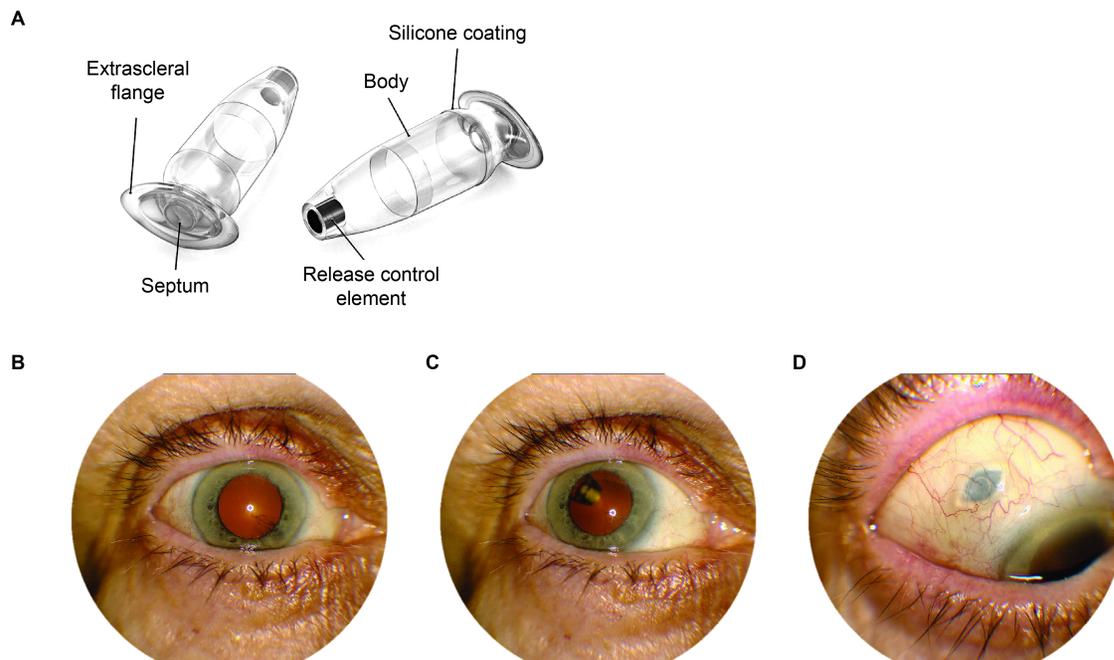


Figure 2. Time to First Implant Refill, Modified Intent-to-Treat Population

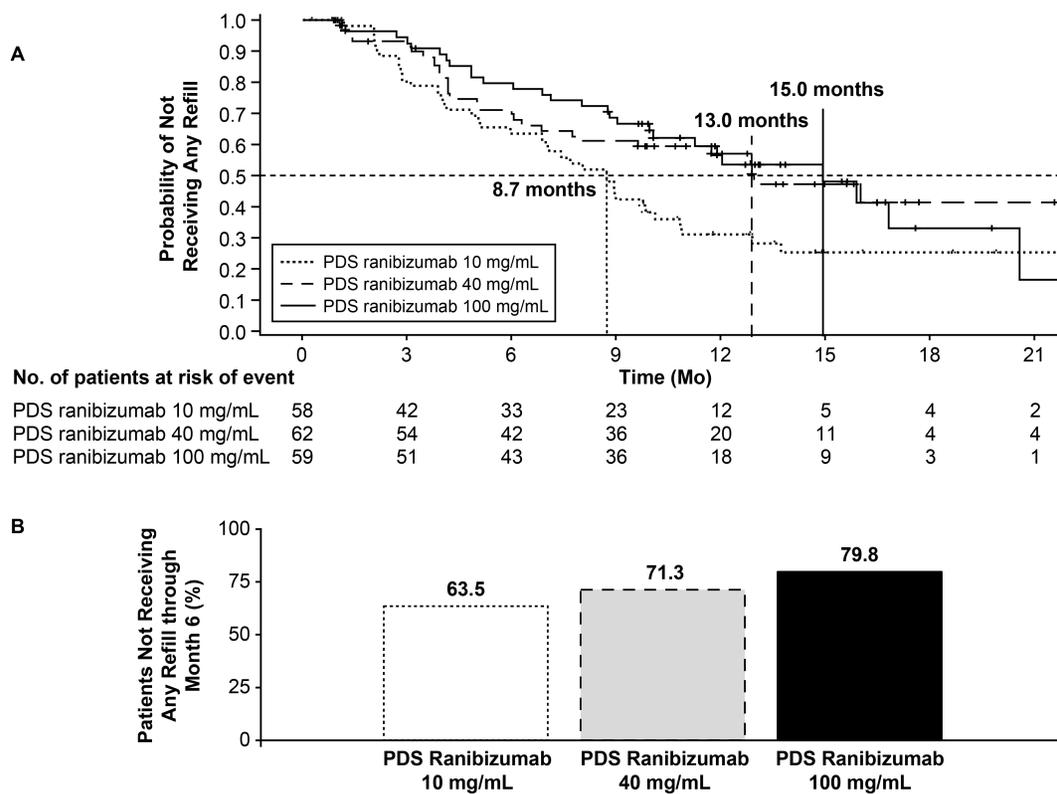


Figure 3. Adjusted Mean Best-Corrected Visual Acuity Change from Baseline, Modified Intent-to-Treat Population

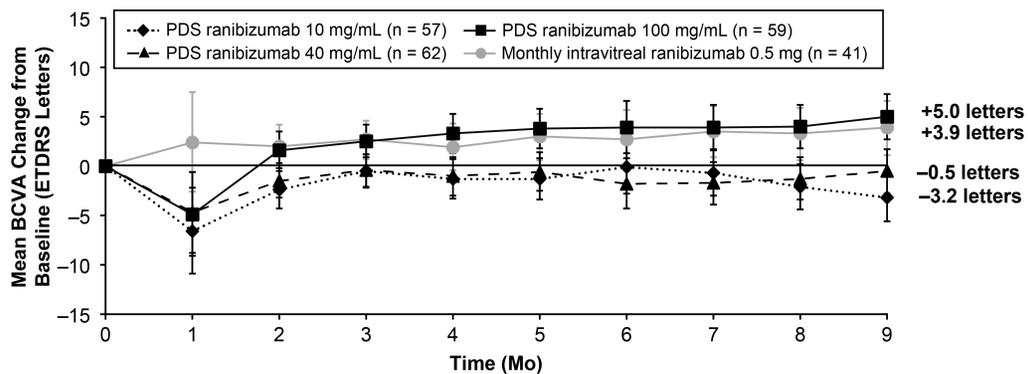
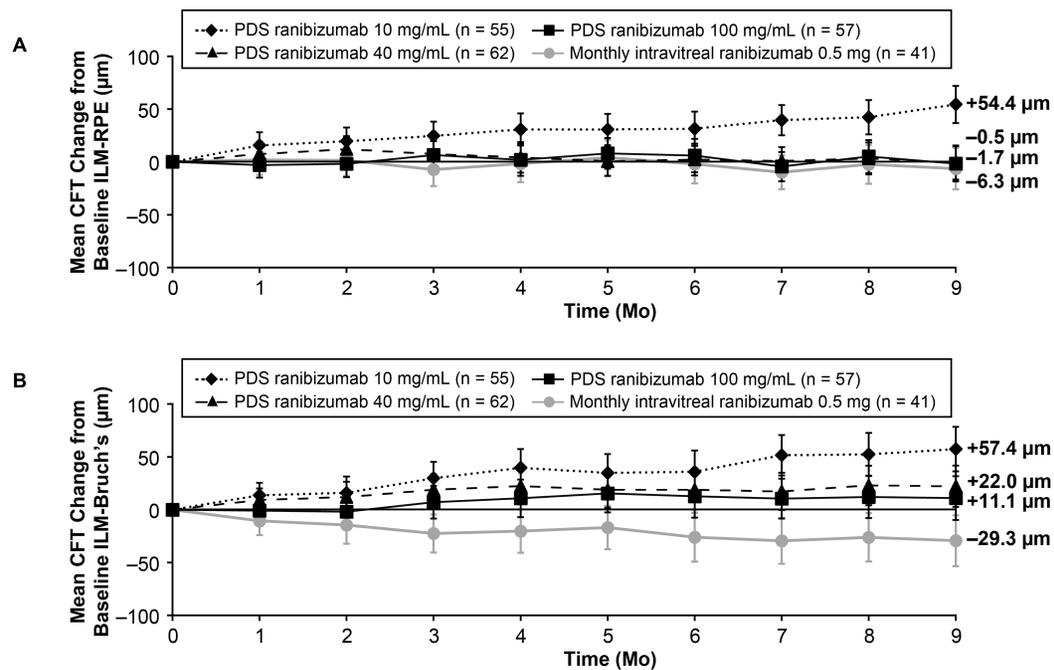


Figure 4. Adjusted Mean Central Foveal Thickness Change from Baseline, Modified Intent-to-Treat Population



**Précis:** The phase 2 Ladder trial met its primary endpoint and demonstrated the safety and efficacy of the Port Delivery System with ranibizumab for the treatment of neovascular age-related macular degeneration.

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